

# Duality in Nuclei: The EMC Effect

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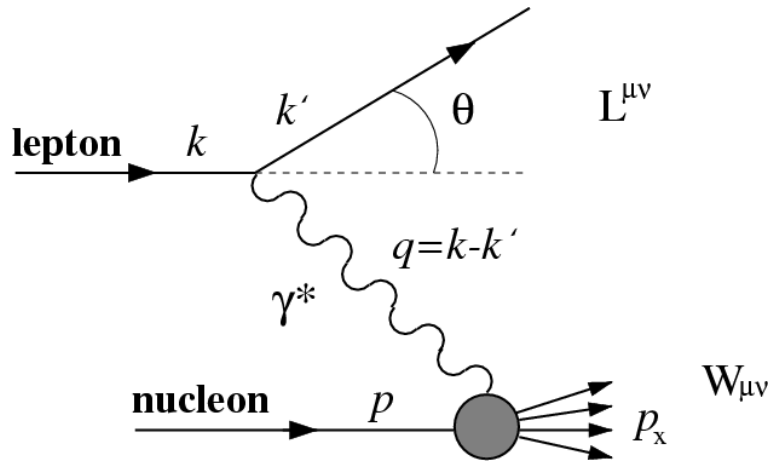
*Quark Hadron Duality Workshop: Probing  
the Transition from Free to Confined Quarks*

*September 23-25, 2018*

# Outline

- The EMC Effect
- Structure functions in the resonance region – nucleons  
→ nuclei
- Duality in nuclear structure functions → the EMC Effect
- JLab measurements at 6 and 11 GeV

# DIS: Structure Functions and Quarks in the Nucleus



Deep Inelastic Scattering provides access to quark distributions in **nucleon** via structure functions:

$$F_2(x) = \sum_i e_i^2 x q_i(x)$$

Nuclear binding energies ( $\sim$ MeV) small compared to typical DIS energies ( $\sim$ GeV)  
 $\rightarrow$  (Naïve) expectation was that nuclear effects in DIS would be small

$$R = \frac{F_2^A}{ZF_2^p + (A - Z)F_2^n}$$

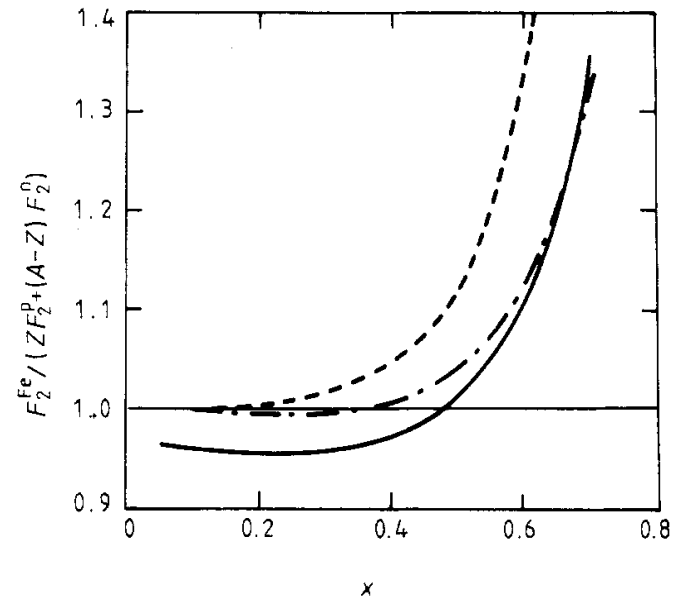
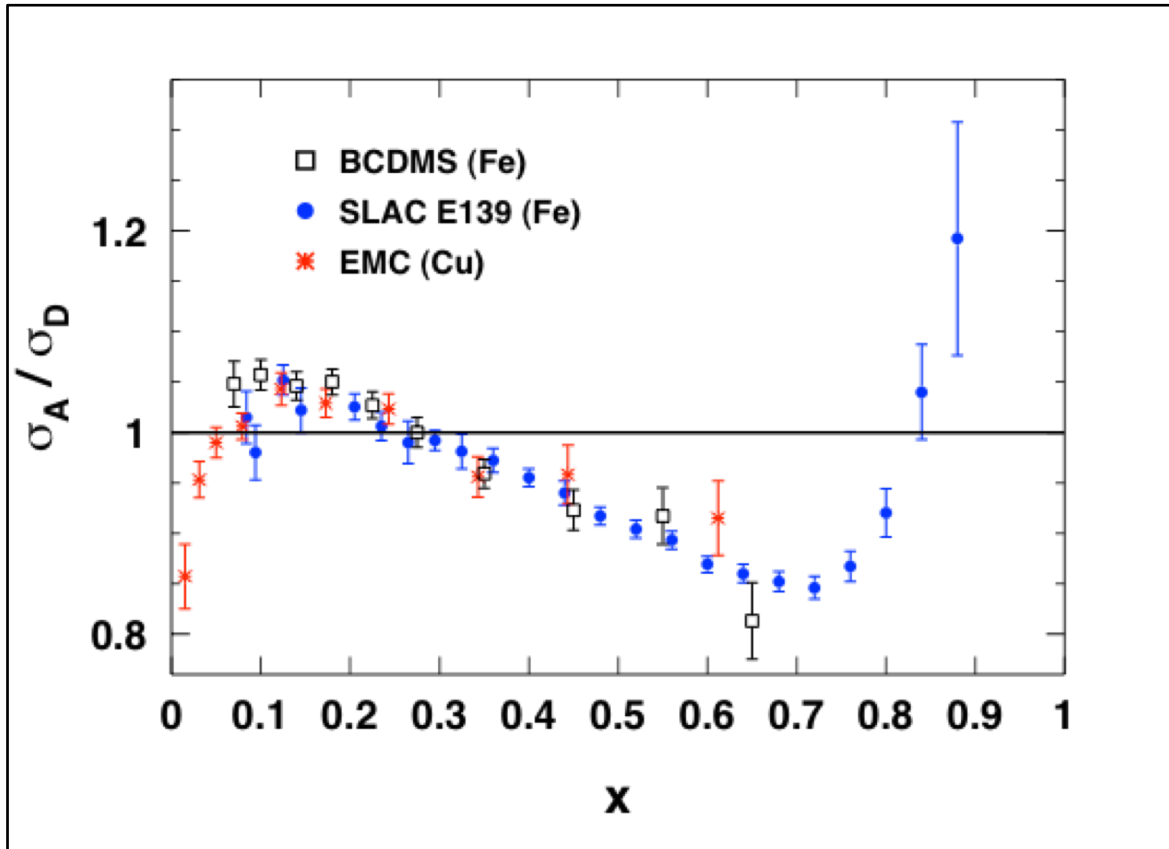


Figure from Bickerstaff and Thomas, *J. Phys. G* 15, 1523 (1989)  
 Calculation: Bodek and Ritchie *PRD* 23, 1070 (1981)

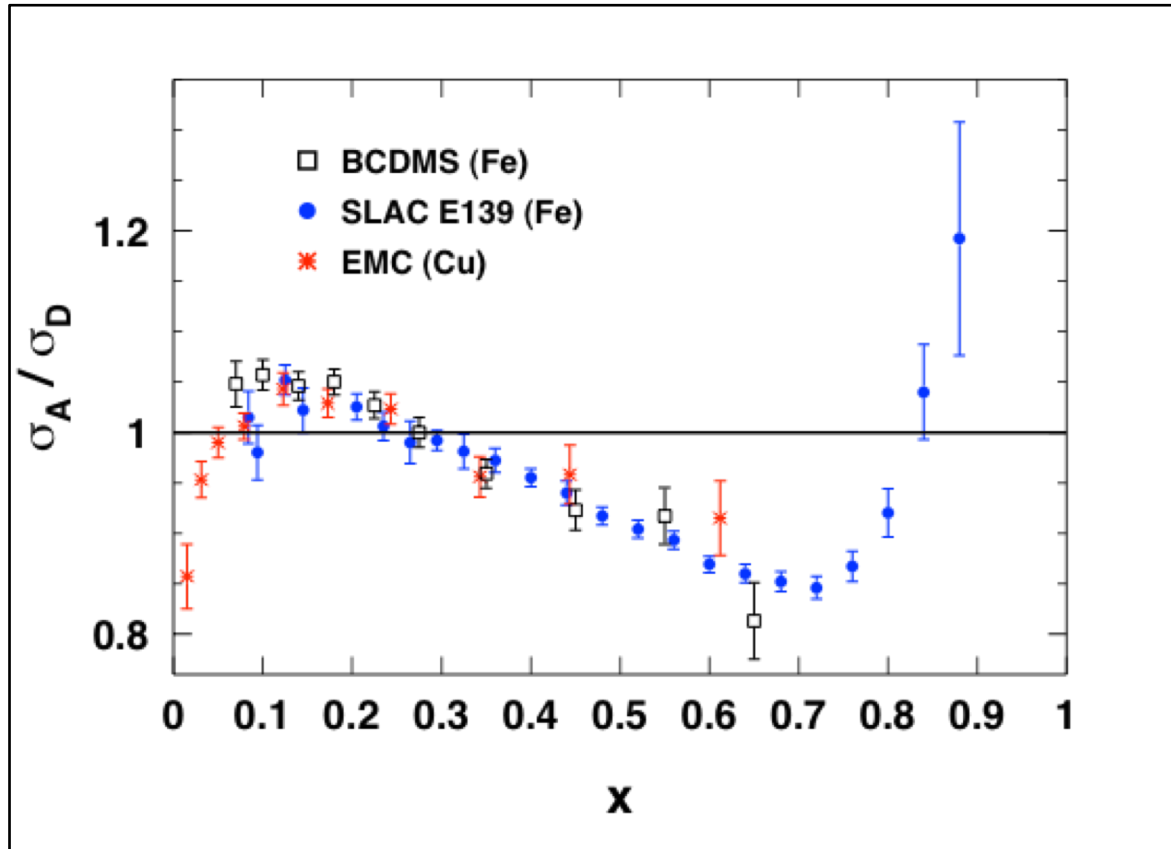
# Properties of the EMC Effect



Global properties of the EMC effect

1. Universal x-dependence
  2. Little  $Q^2$  dependence
  3. EMC effect increases with  $A$
- *Anti-shadowing region shows little nuclear dependence*

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- *Anti-shadowing region shows little nuclear dependence*

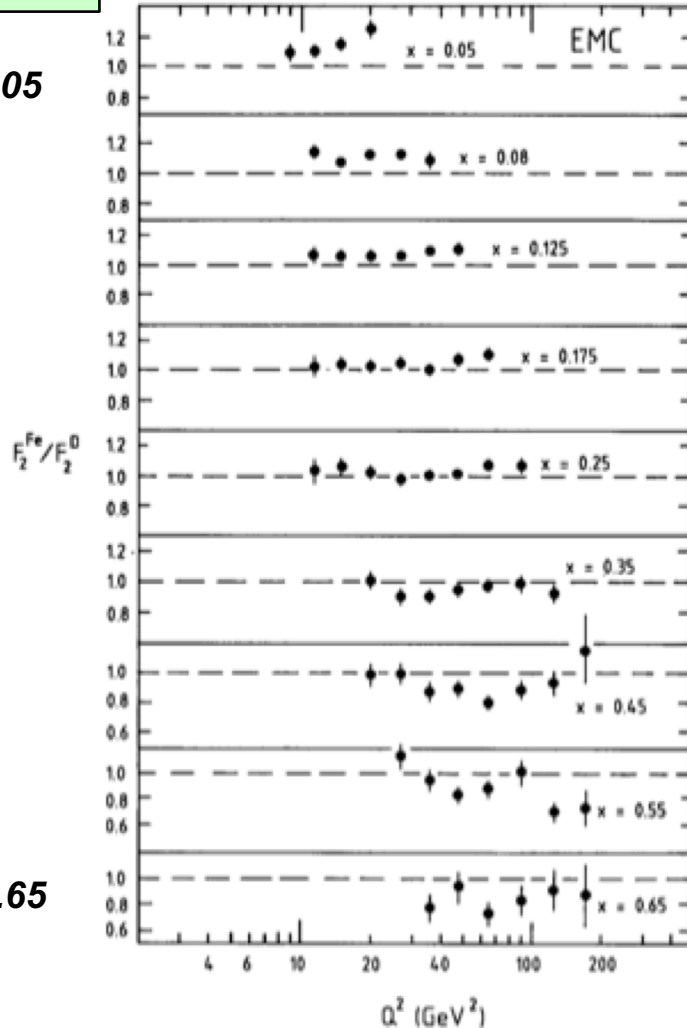
→ Nuclear structure functions evolve with  $Q^2$  in same way as nucleon

# $Q^2$ Dependence of the EMC Effect

EMC

$Q^2=10-200 \text{ GeV}^2$

$x=0.05$

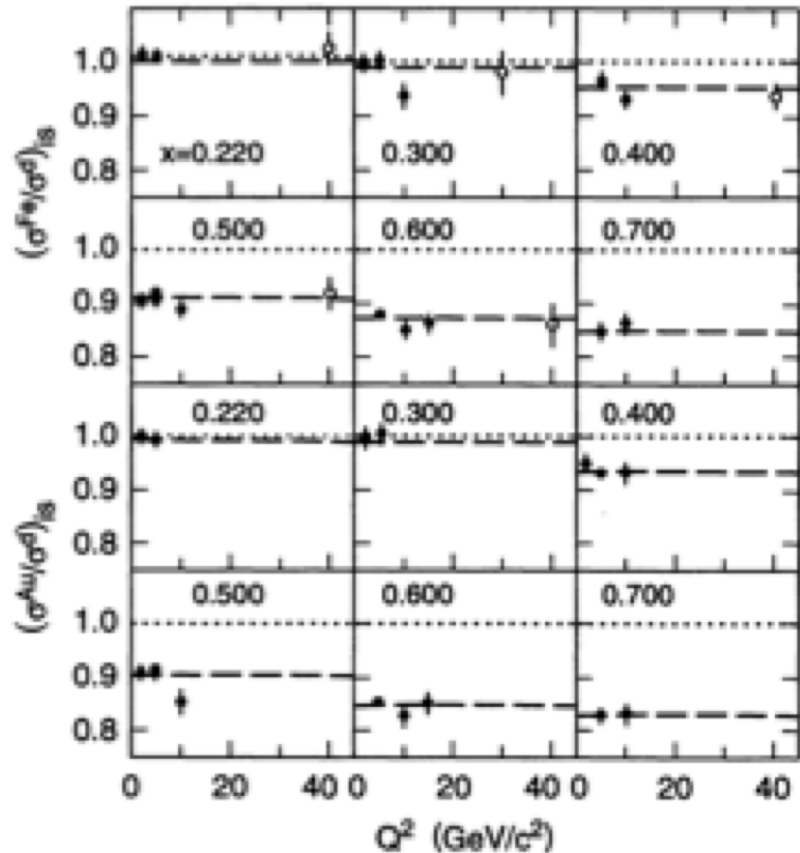


$x=0.65$

Aubert et al, Nucl. Phys. B293, 740 (1987)

SLAC E139

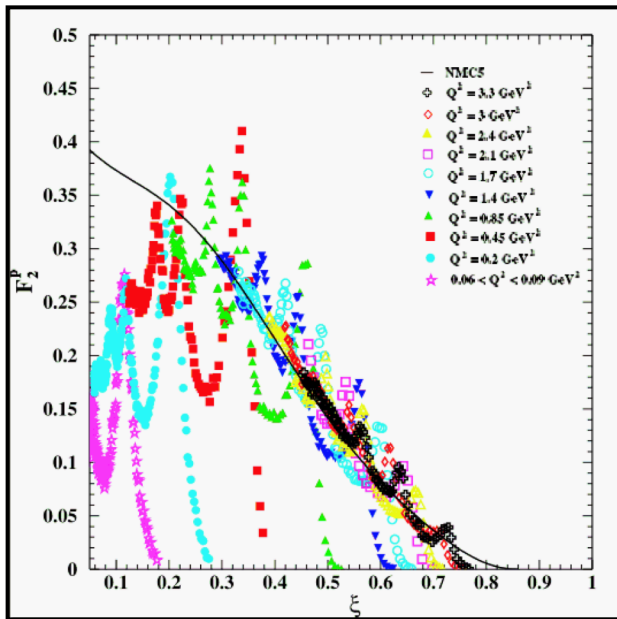
$Q^2=1-10 \text{ GeV}^2$



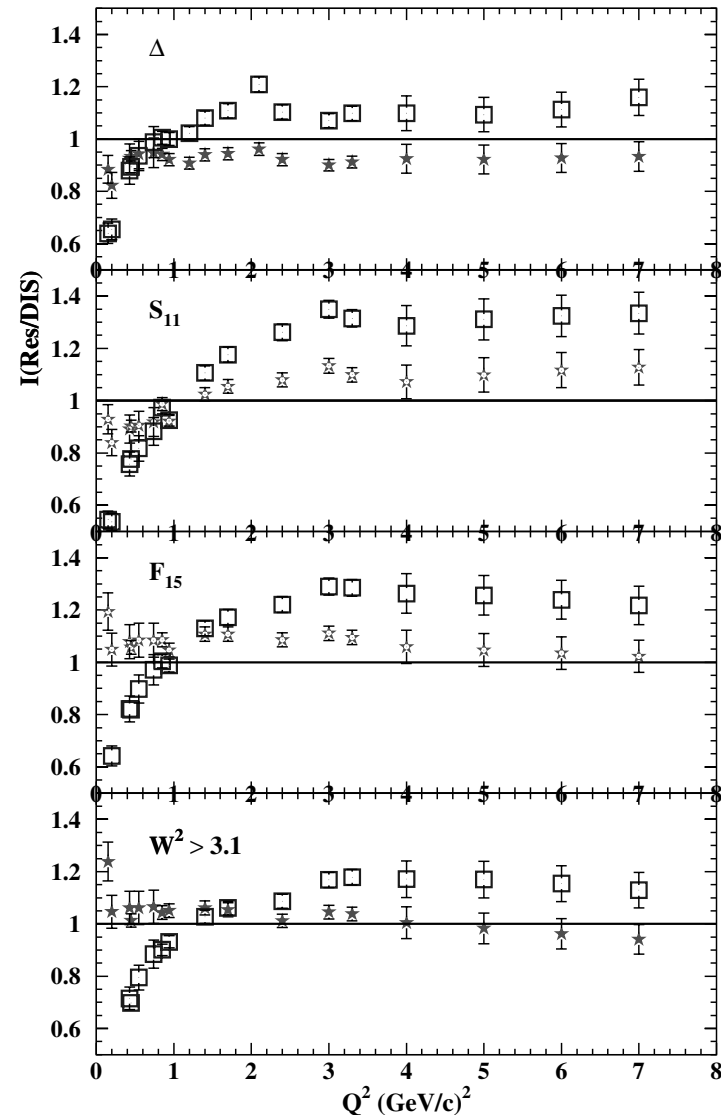
Gomez et al, Phys. Rev. D 49, 4348 (1994)

# Quark-Hadron Duality in Nucleons

I. Niculescu et al., PRL85:1182 (2000)



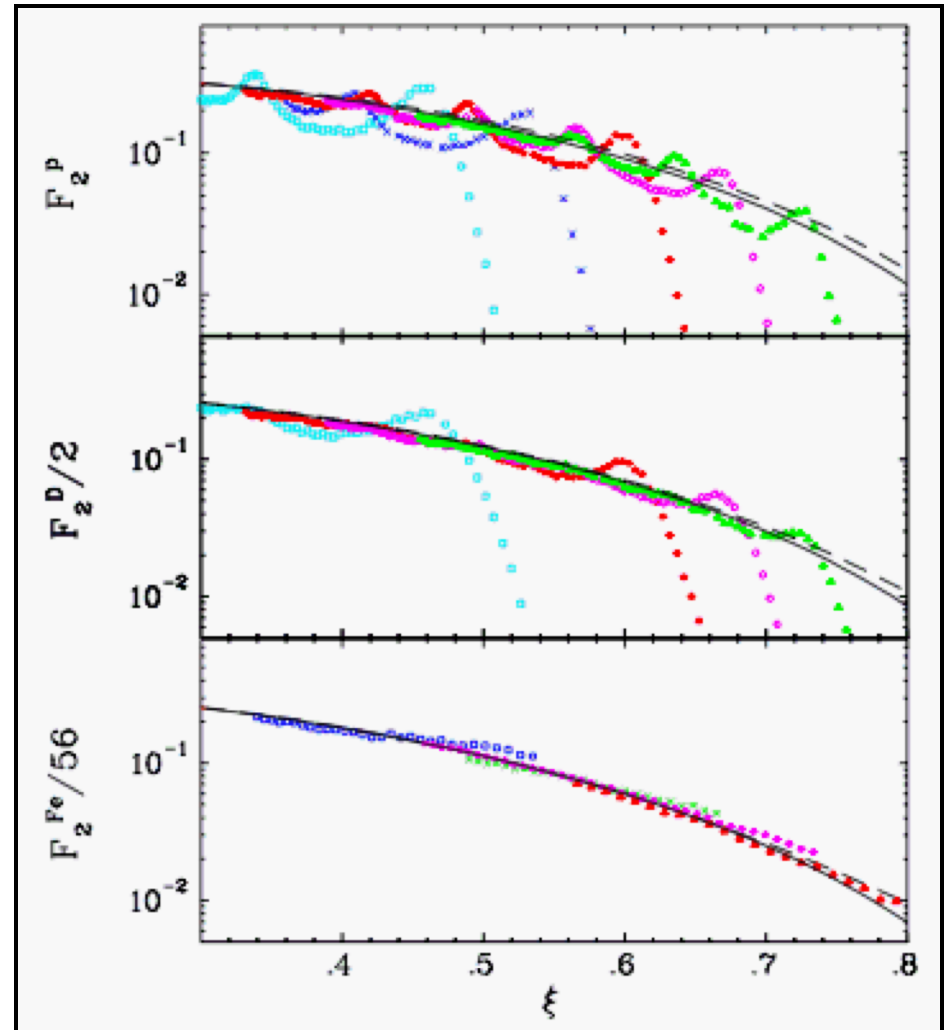
→ Resonance region structure functions oscillate around smooth, DIS curve  
→ Quantitative agreement between DIS/resonance  $F_2$  when integrating over distinct regions in  $W^2$



# Quark-Hadron Duality in Nuclei

- Free nucleon
  - average over resonance region = DIS scaling limit
- Bound nucleon
  - Fermi motion does the averaging for us
  - Resonances much less prominent in nuclear structure functions
- Nuclear structure functions appear to “scale” to lower  $Q^2$  than their free nucleon counterparts with no explicit resonance averaging

*J. Arrington, et al., PRC73:035205 (2006)*





# EMC Effect in Resonance Region

JLab E89-008:

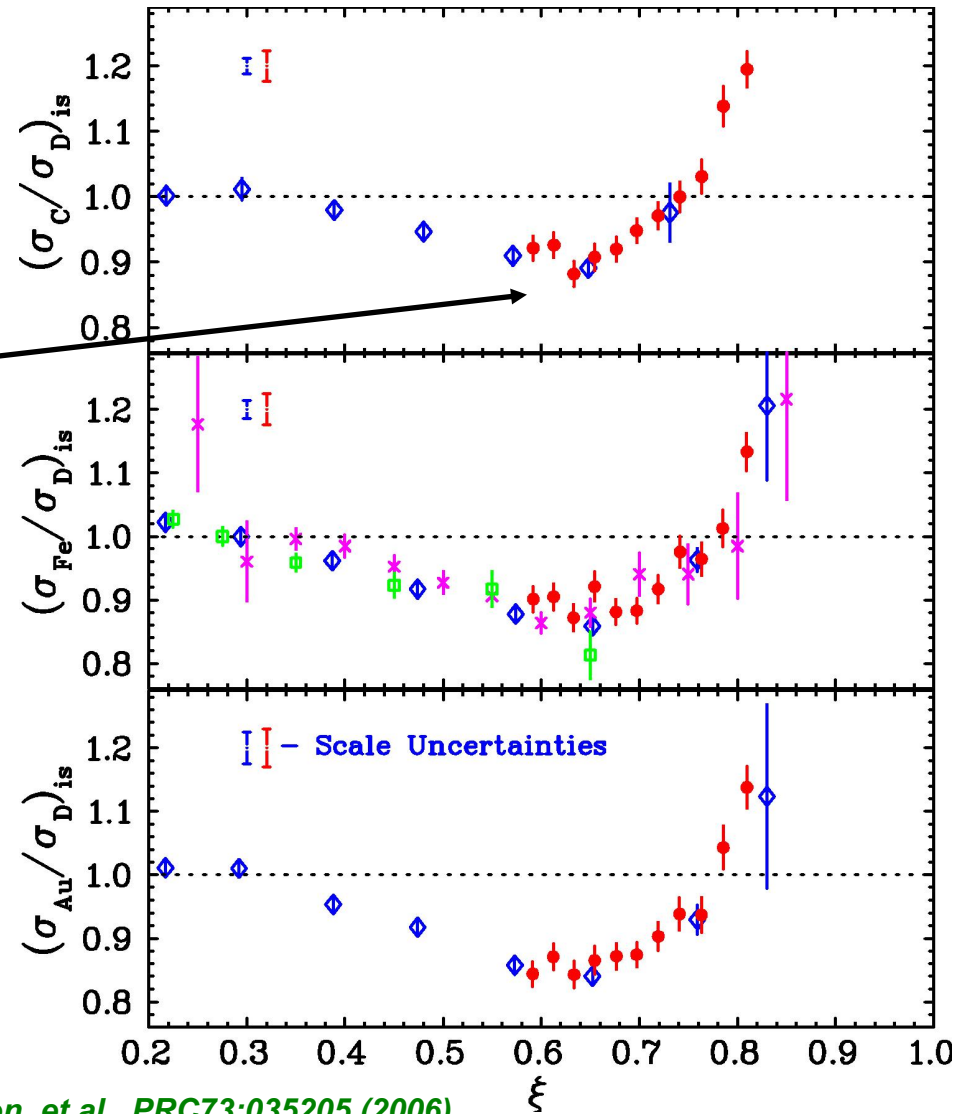
$Q^2 \sim 4 \text{ GeV}^2$

$1.3 < W^2 < 2.8 \text{ GeV}^2$

data in the resonance region

→ In region of overlap agrees well with DIS data

Subsequent Hall C data taken at higher  $Q^2$ , tested scaling with precise measurement of  $Q^2$ -dependence



*J. Arrington, et al., PRC73:035205 (2006)*

# JLab Experiment E03-103

Measurement of the EMC Effect in **light nuclei** ( $^3\text{He}$  and  $^4\text{He}$ ) and at **large  $x$**

→  $^3\text{He}$ ,  $^4\text{He}$  amenable to calculations using “exact” nuclear wave functions

→ **Large  $x$**  dominated by binding, conventional nuclear effects

$A(e,e')$  at 5.77 GeV in Hall C at JLAB (with E02-019,  $x > 1$ )

Targets: **H**,  $^2\text{H}$ ,  $^3\text{He}$ ,  $^4\text{He}$ ,  
Be, C, Cu, Au

Six angles to measure  $Q^2$  dependence

Spokespersons: **DG** and **J. Arrington**

Graduate students: **J. Seely** and **A.**

**Daniel**

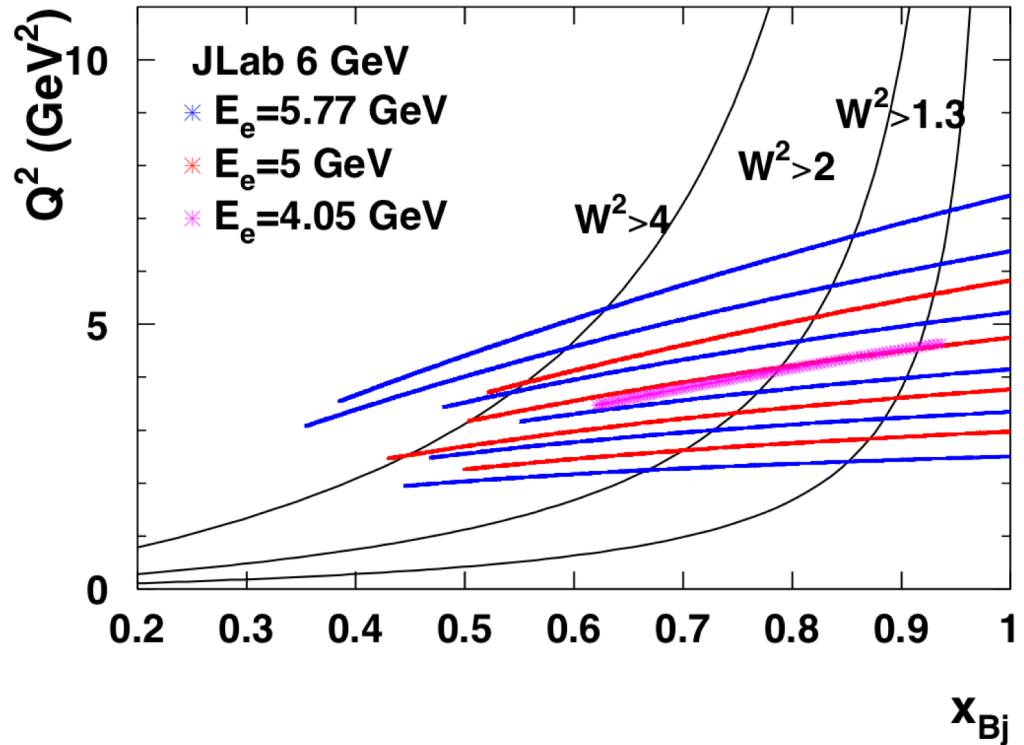


# Deep Inelastic Scattering at low W

Canonical DIS regime:

$$Q^2 > 1 \text{ GeV}^2 \quad \text{AND} \\ W^2 > 4 \text{ GeV}^2$$

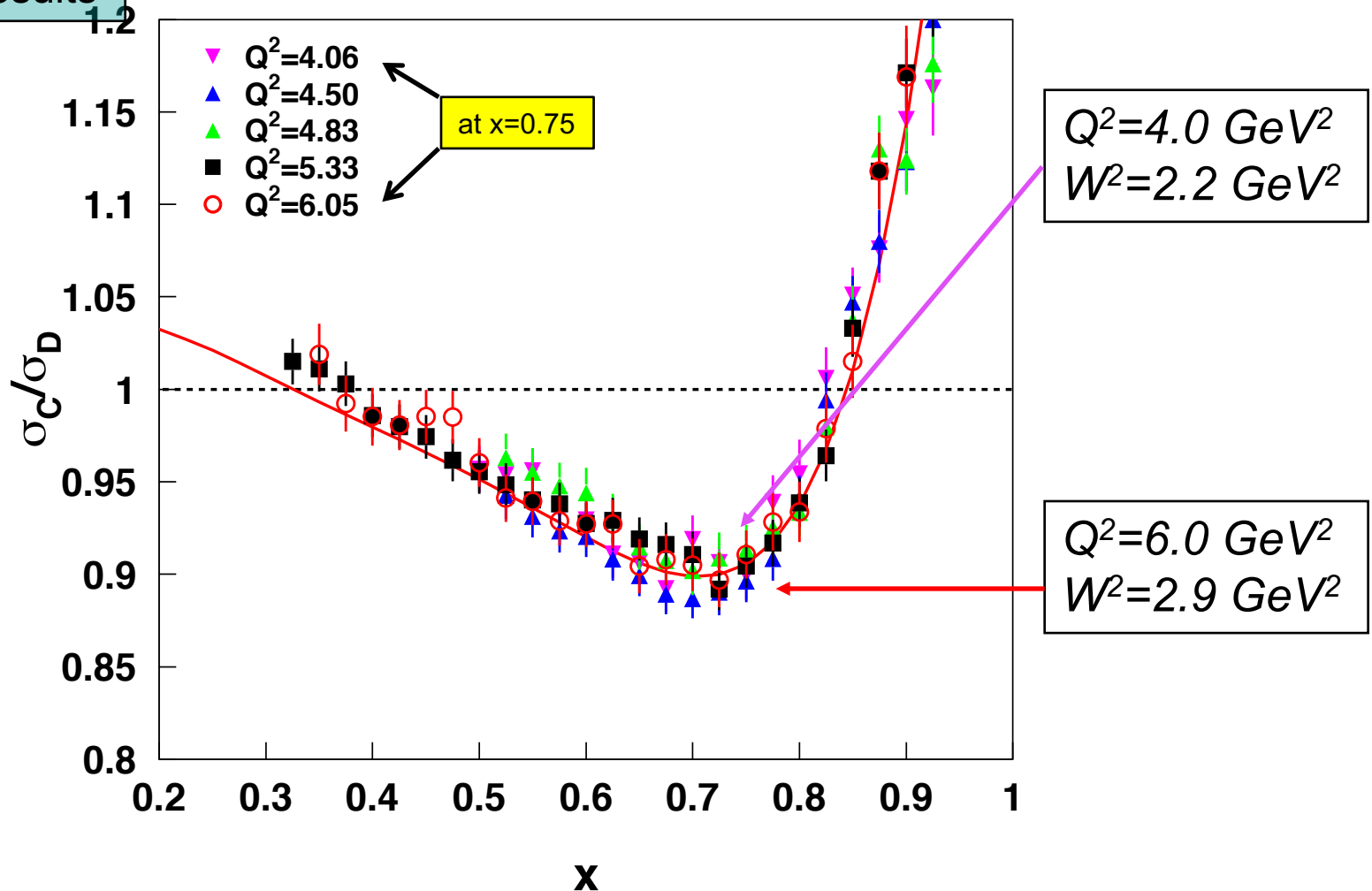
At JLab 6 GeV, access to large  $Q^2$ , and  $W^2 > 4 \text{ GeV}^2$  up to  $x=0.6$



- At  $x > 0.6$ , we are in the “resonance region”, but  $Q^2$  is still large
- Are we really sensitive to quarks in this regime?
  - Test by checking  $Q^2$  dependence of (carbon) ratios and structure functions

# Carbon/<sup>2</sup>H Ratio and Q<sup>2</sup> Dependence

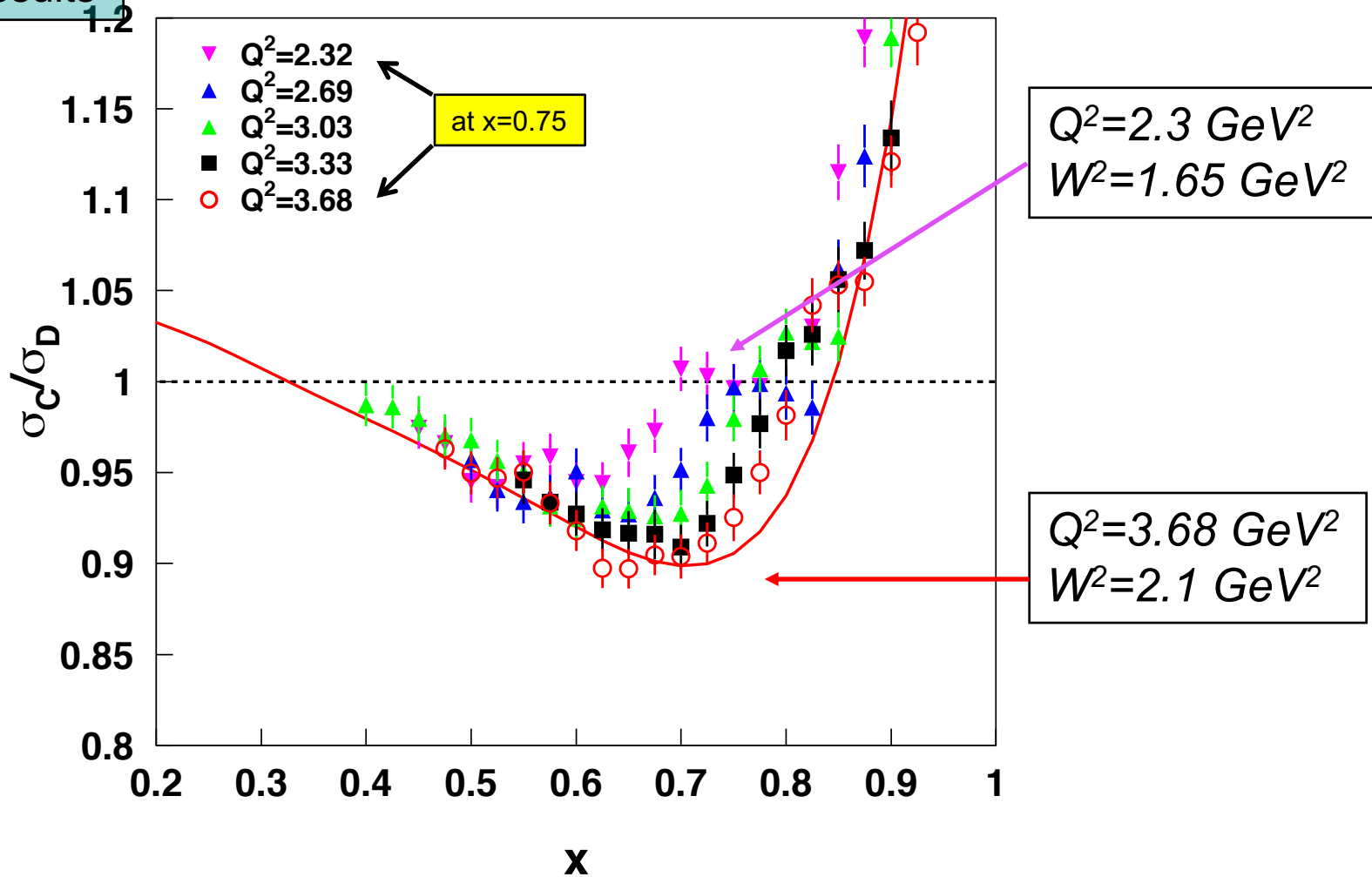
E03-103 Results



At larger angles ( $Q^2$ ) the ratio appears to scale to very large  $x$   
 $\rightarrow W^2 > 2 \text{ GeV}^2$  and  $Q^2 > 3 \text{ GeV}^2$

# Carbon/<sup>2</sup>H Ratio and Q<sup>2</sup> Dependence

E03-103 Results



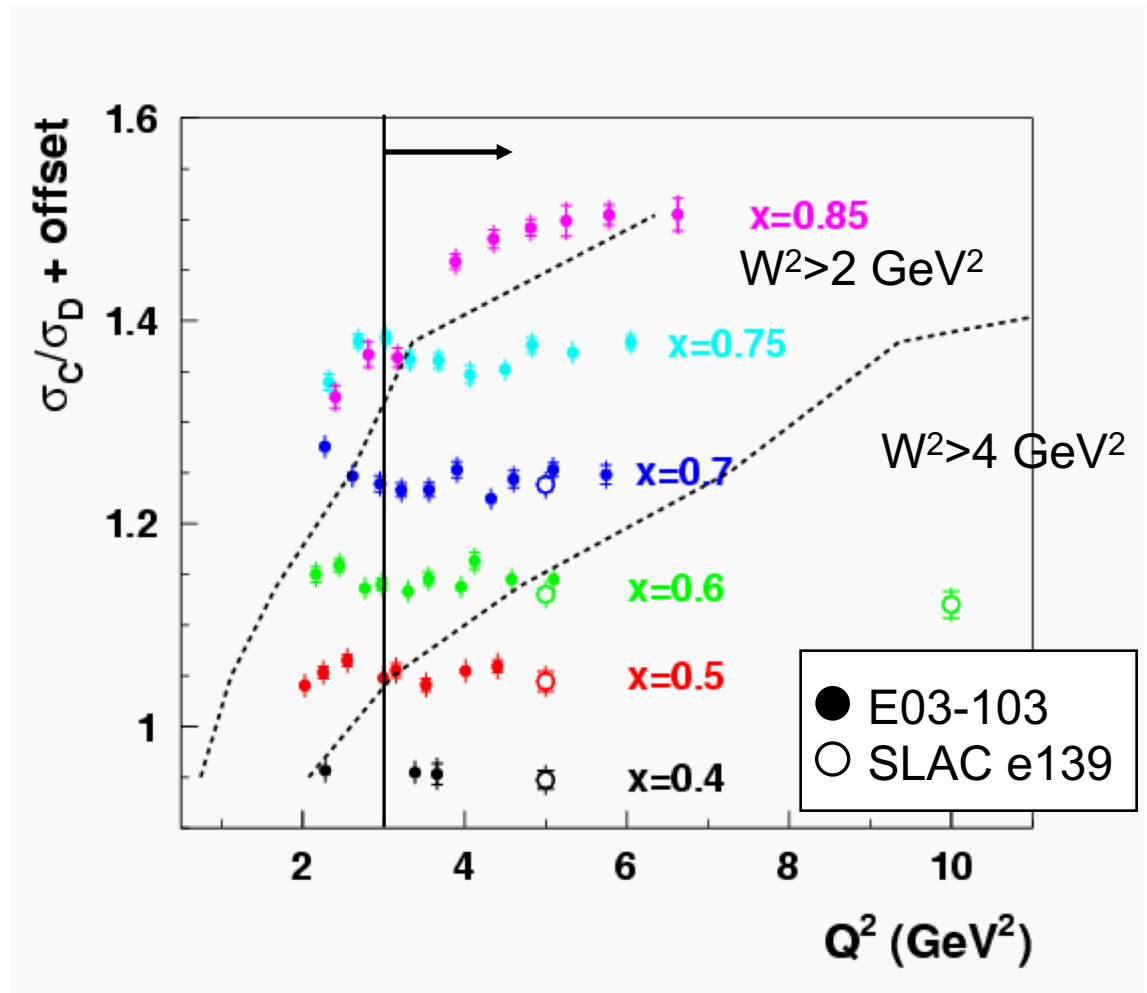
Clear deviation from scaling at  $W^2 < 2.2 \text{ GeV}^2$

# More detailed look at scaling of C/D ratios

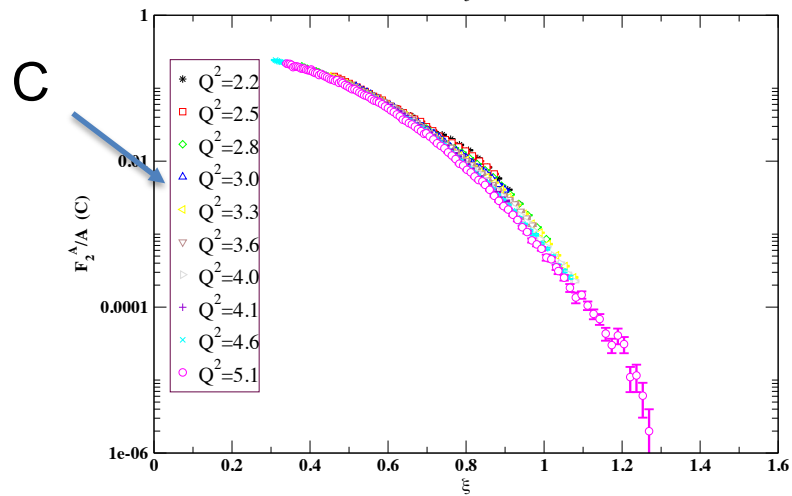
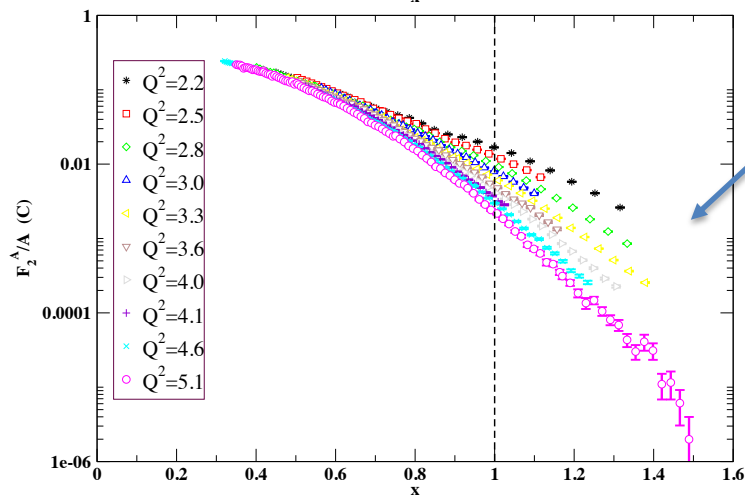
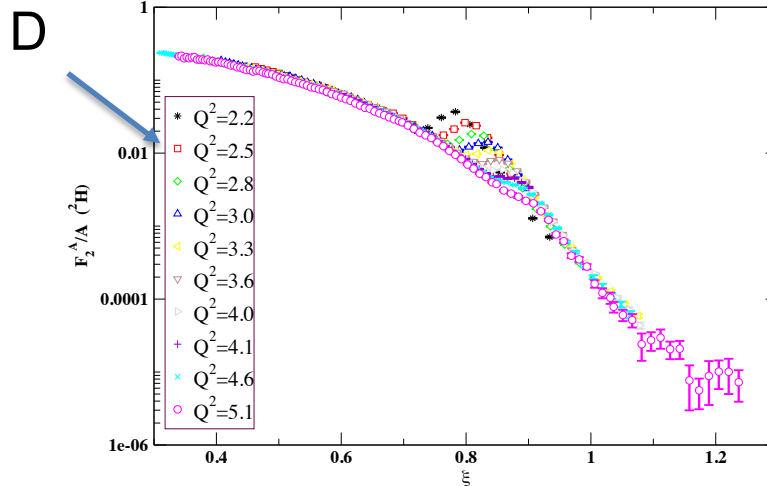
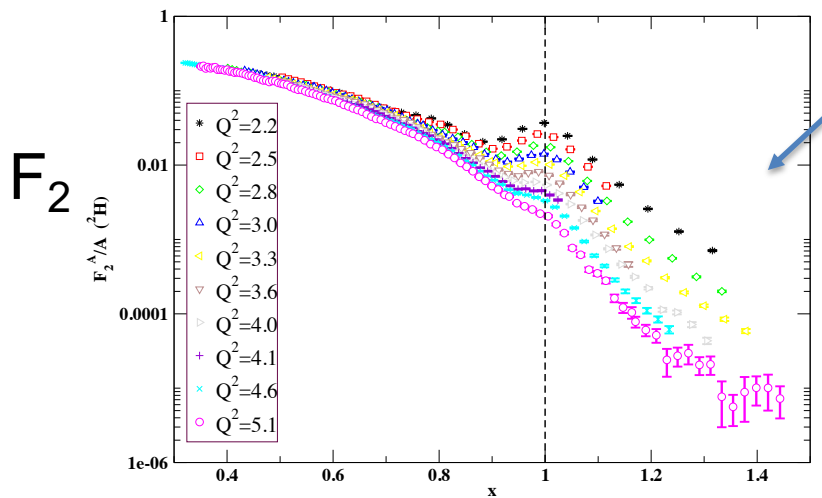
C/D ratios at fixed  $x$  are  $Q^2$  independent for

$W^2 > 2 \text{ GeV}^2$  and  
 $Q^2 > 3 \text{ GeV}^2$

For E03-103, this extends to  $x=0.85$



# Structure Functions



X

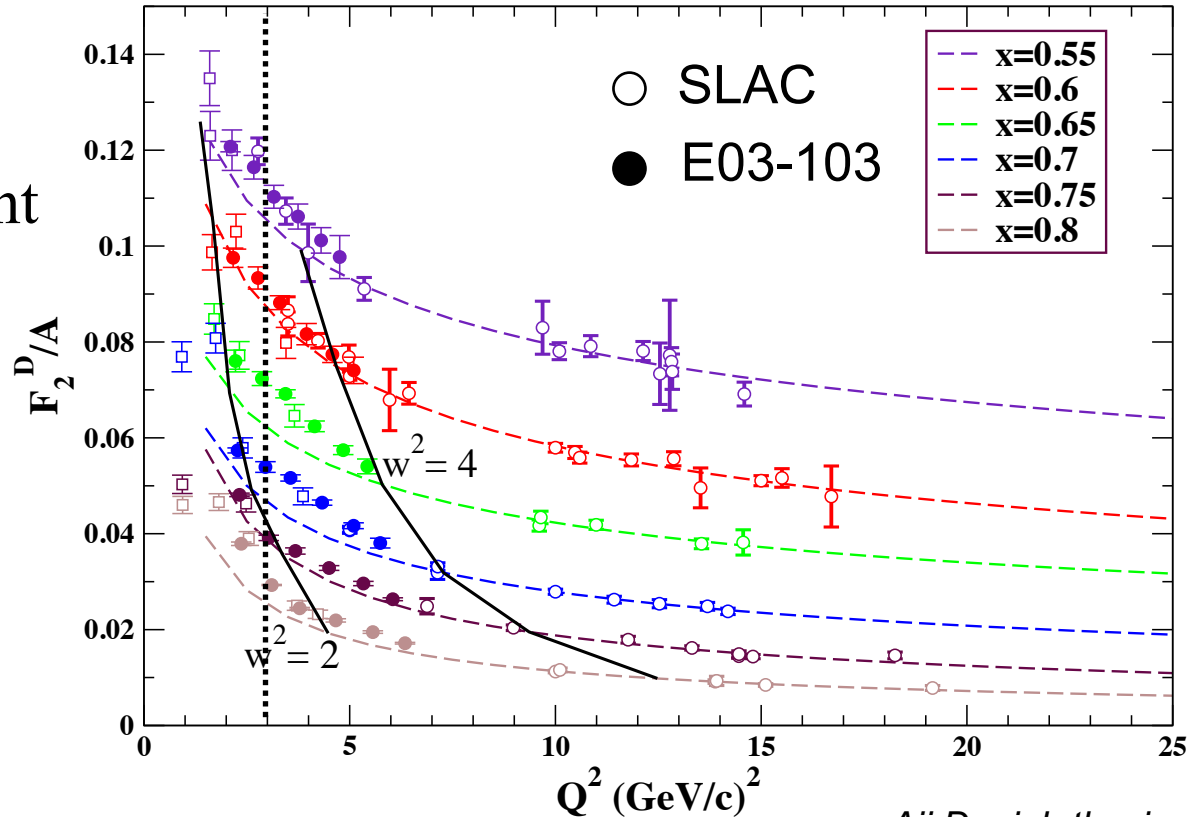
Σ

# Scaling of $F_2^D$ – Fixed $x$

Scaling:

$$\frac{d \ln F_2(Q^2)}{d \ln Q^2} = \text{constant}$$

Curves fit to large  $Q^2$  SLAC data  $\rightarrow$  compared to JLab data at lower  $Q^2$



*Aji Daniel -thesis*

Although target ratios scale down to  $Q^2=3 \text{ GeV}^2$ , for  $W^2>2 \text{ GeV}^2$ ,  $F_2$  shows deviations from logarithmic scaling

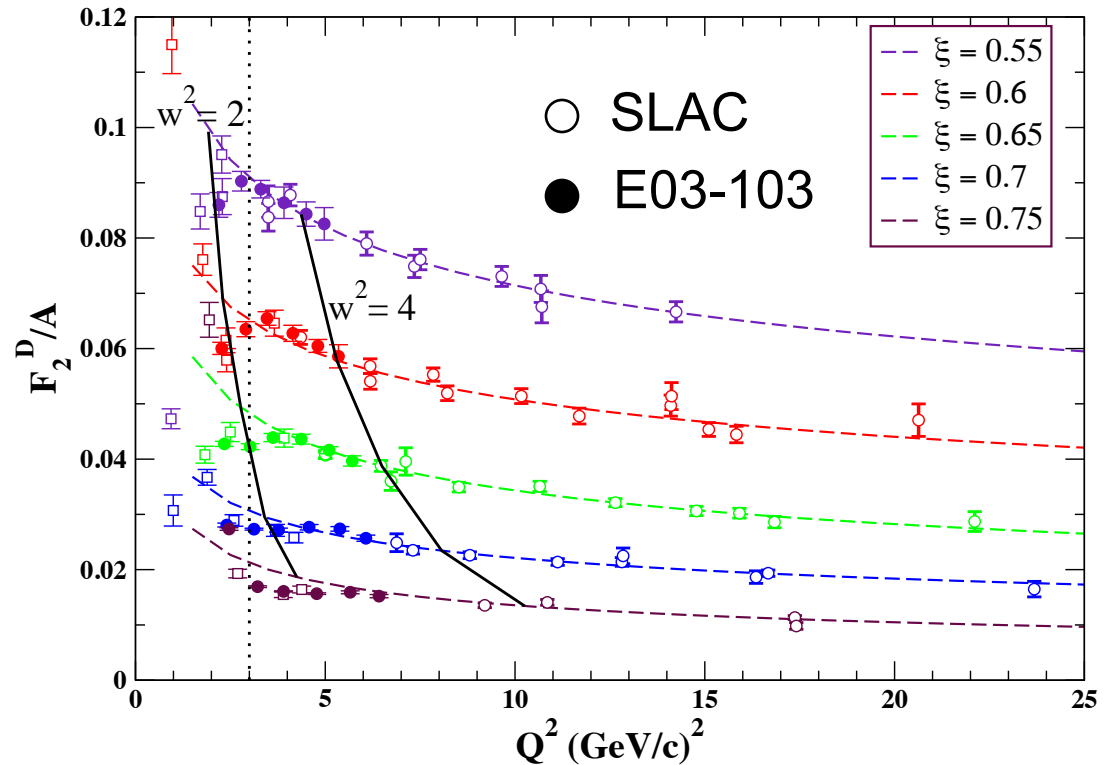


# Scaling of $F_2^D$ – Fixed $\xi$

Scaling:

$$\frac{d \ln F_2(Q^2)}{d \ln Q^2} = \text{constant}$$

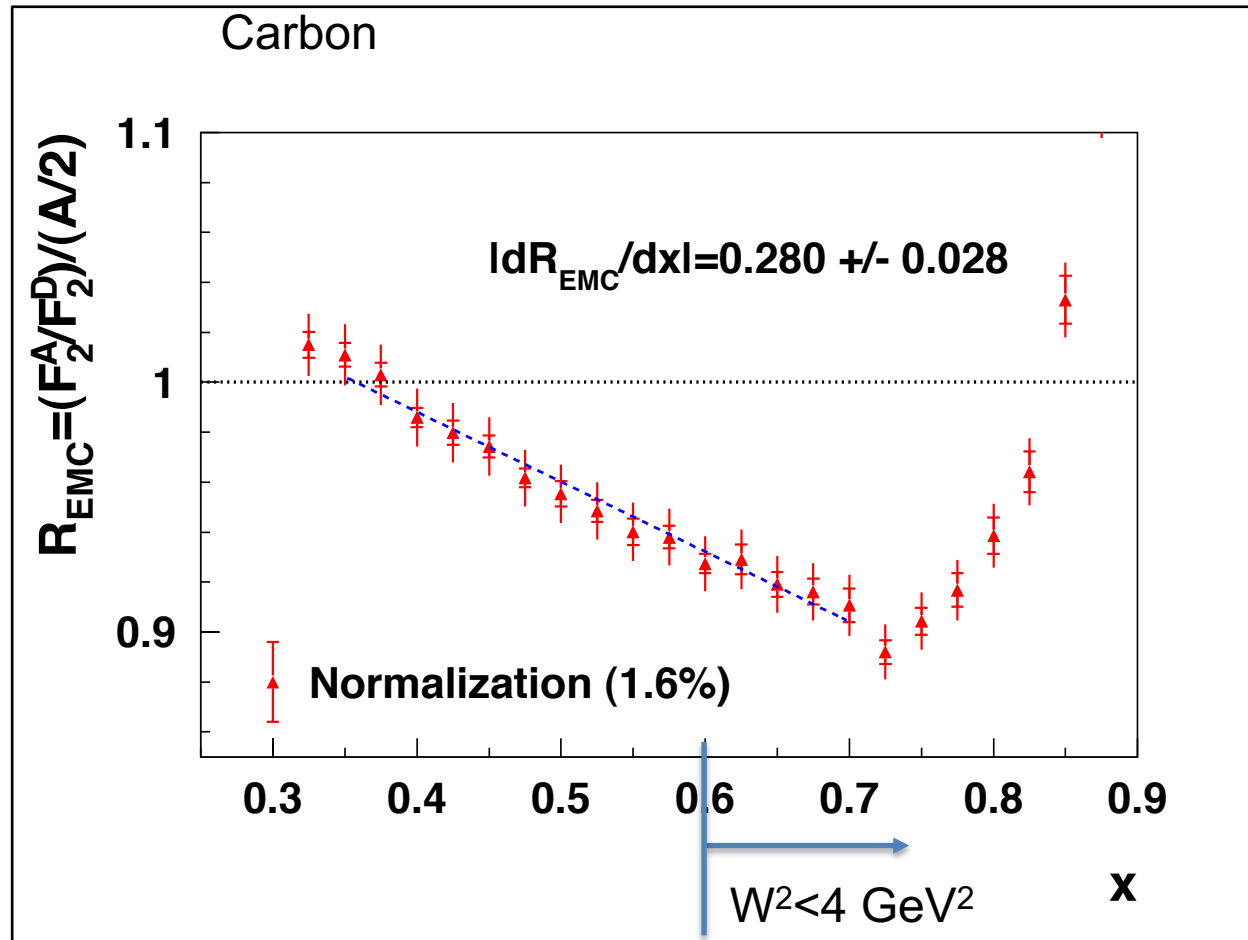
Curves fit to large  $Q^2$  SLAC data  $\rightarrow$  compared to JLab data at lower  $Q^2$



*Aji Daniel -thesis*

Looking at  $Q^2$  dependence for fixed  $\xi$ , structure functions shows improved scaling

# JLab E03-103 and the Nuclear Dependence of the EMC Effect



New definition of “size” of the EMC effect

→ Slope of line fit from  $x=0.35$  to  $0.7$

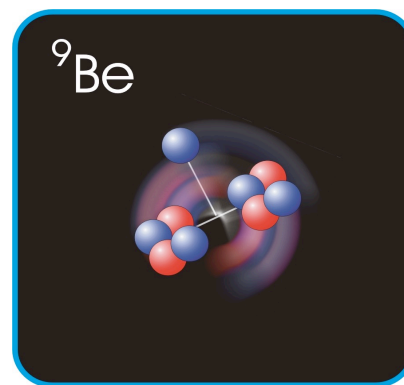
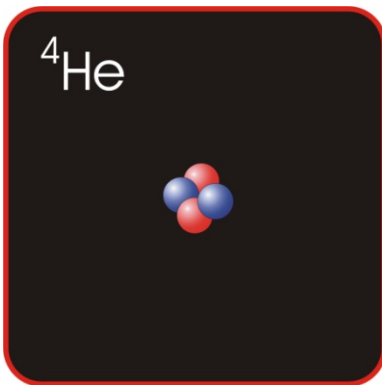
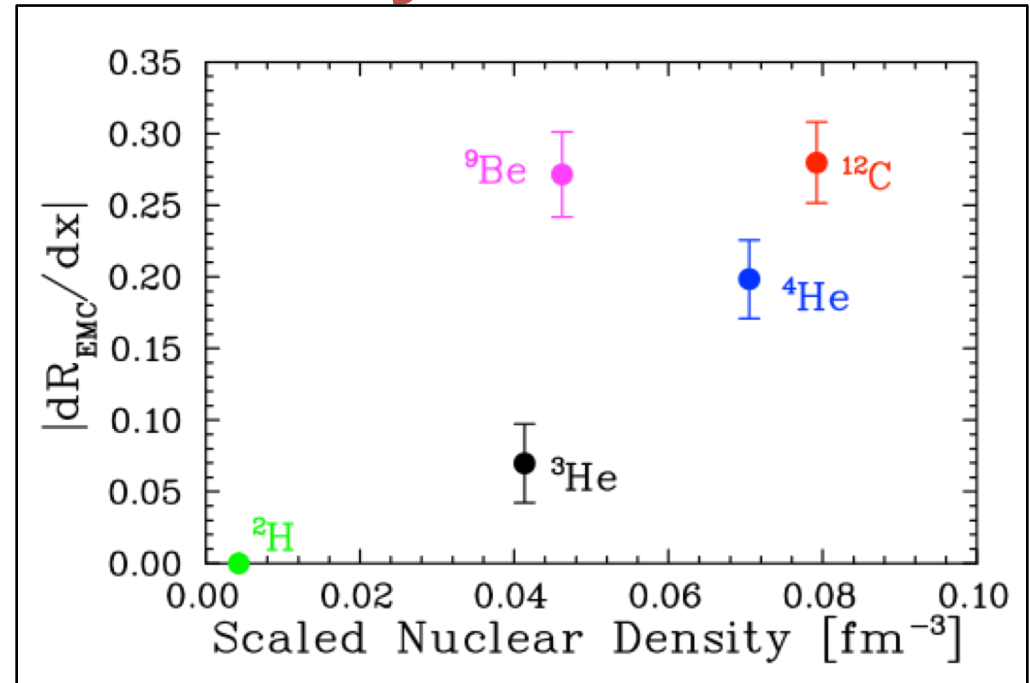
Assumes shape is universal for all nuclei

→ Normalization uncertainties a much smaller relative contribution

# E03103 Results: EMC Effect and Local Nuclear Density

${}^9\text{Be}$  has low average density  
→ Large component of structure is  $2\alpha+n$   
→ Most nucleons in tight,  $\alpha$ -like configurations

EMC effect driven by *local* rather than *average* nuclear density



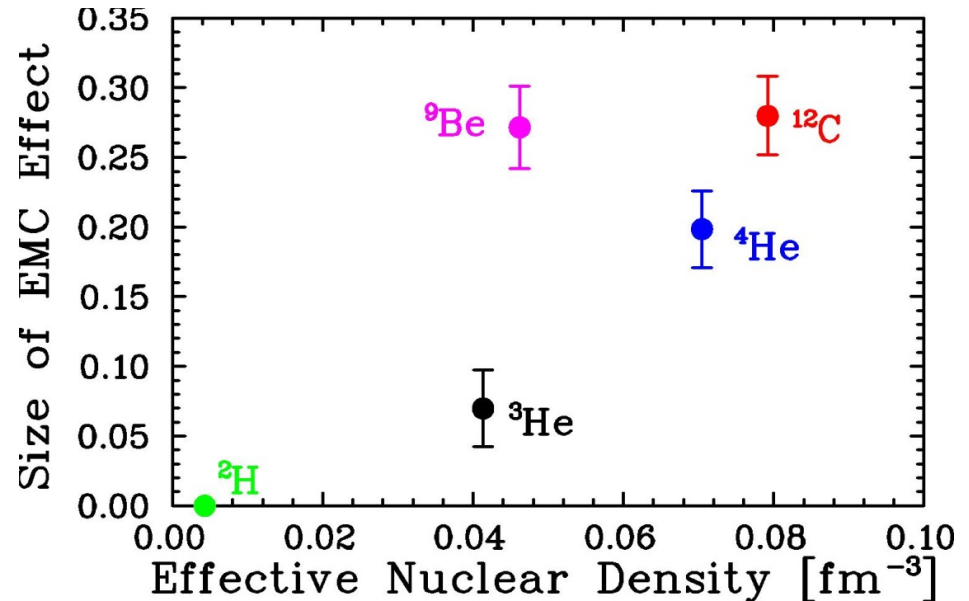
Can we see similar behavior in other nuclei?

# E12-10-008: EMC effect in light $\rightarrow$ heavy nuclei

Spokespersons: J. Arrington, A. Daniel, N. Fomin, D. Gaskell

## E03-103: EMC at 6 GeV

- $\rightarrow$  Focused on light nuclei
- $\rightarrow$  Large EMC effect for  ${}^9\text{Be}$
- $\rightarrow$  Local density/cluster effects?



*J. Seely, et al., PRL 103, 202301 (2009)*

## E12-10-008: EMC effect at 12 GeV

- $\rightarrow$  Higher  $Q^2$ , expanded range in  $x$  (both low and high  $x$ )
- $\rightarrow$  Light nuclei includes  ${}^1\text{H}$ ,  ${}^2\text{H}$ ,  ${}^3\text{He}$ ,  ${}^4\text{He}$ ,  ${}^6\text{Li}$ ,  ${}^7\text{Li}$ ,  ${}^9\text{Be}$ ,  ${}^{10}\text{B}$ ,  ${}^{11}\text{B}$ ,  ${}^{12}\text{C}$
- $\rightarrow$  Heavy nuclei include  ${}^{40}\text{Ca}$ ,  ${}^{48}\text{Ca}$  and Cu and additional heavy nuclei of particular interest for **EMC-SRC correlation studies**

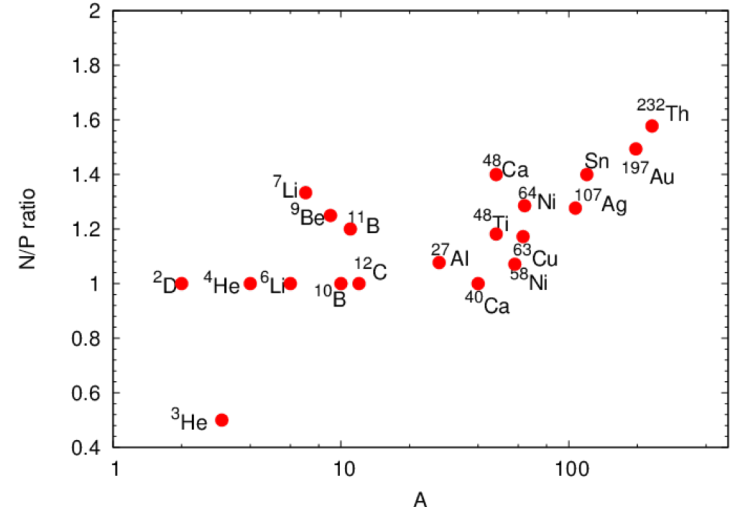
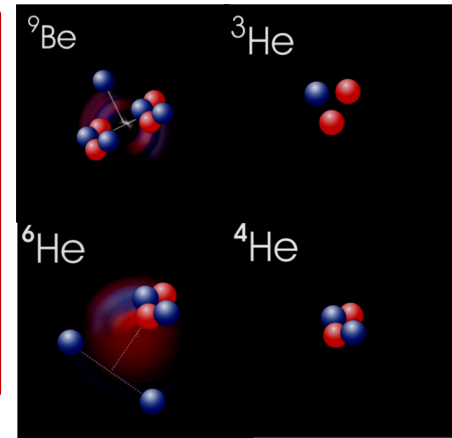
# E12-10-008 (EMC effect) and E12-06-105 ( $x > 1$ )

- Both experiments use wide range of nuclear targets to study impact of cluster structure, separate mass and isospin dependence on SRCs, nuclear PDFs
- Experiments will use a common set of targets to provide more information in the EMC-SRC connection

$^{27}\text{Al}$	$^{64}\text{Cu}^*$
$^{40},^{48}\text{Ca}^*$	$^{108}\text{Ag}^*$
$^{48}\text{Ti}$	$^{119}\text{Sn}^*$
$^{54}\text{Fe}$	$^{197}\text{Au}^*$
$^{58},^{64}\text{Ni}$	$^{232}\text{Th}$

Light nuclei: Reliable calculations of nuclear structure (e.g. clustering)

$^1\text{H}$	$^{6,7}\text{Li}$
$^2\text{H}$	$^9\text{Be}$
$^3\text{He}$	$^{10,11}\text{B}$
$^4\text{He}$	$^{12}\text{C}$



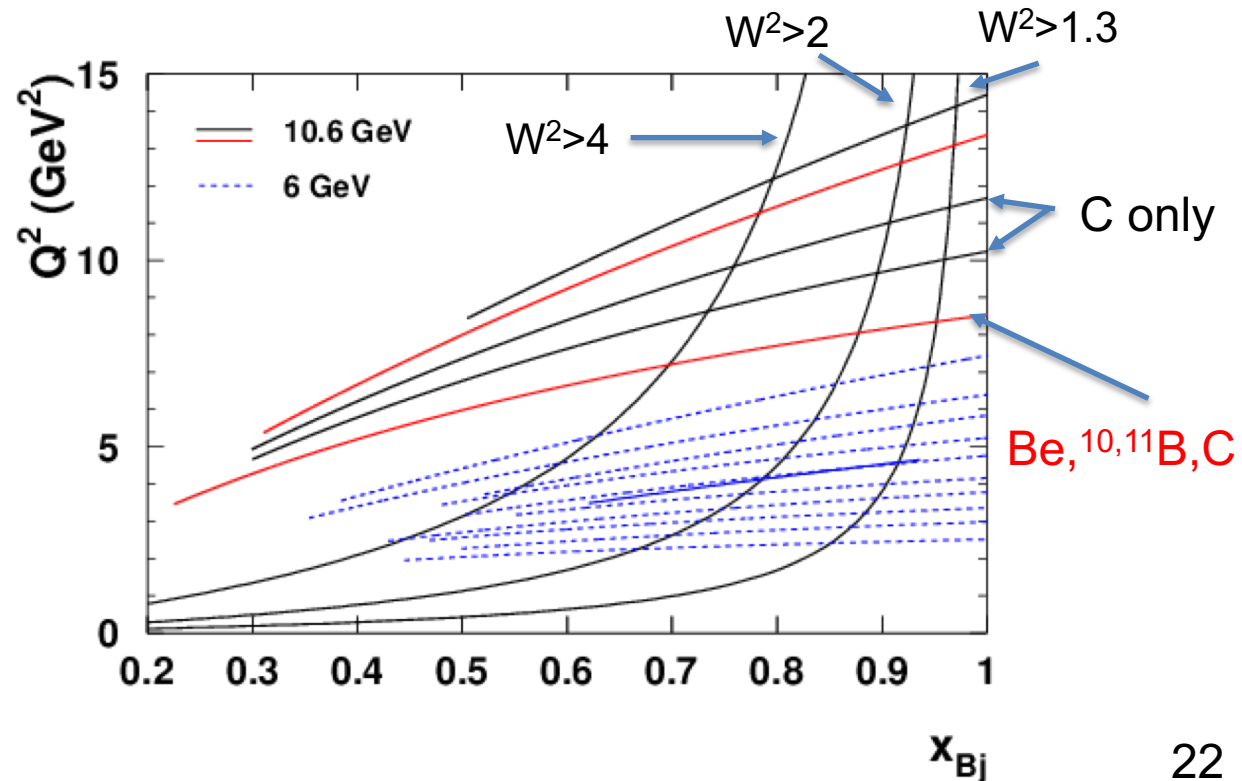
Heavier nuclei: Cover range of  $N/Z$  at  $\sim$ fixed values of  $A$

# E12-10-008: Commissioning running

- Ran with E12-10-002 at part of commissioning experiment run to make some initial EMC effect measurements
- ~2 days used to:
  1. Measure  $Q^2$  dependence of EMC effect over range of  $x$  to check scaling of EMC ratio → carbon target
  2. Obtain data on a few light nuclei at a single  $Q^2$ /angle ( $^9\text{Be}$ ,  $^{10}\text{B}$ ,  $^{11}\text{B}$ , C)

Full experiment:

- All targets at 21 degrees, smaller subset at 35 degrees
- 25,29,40 degrees used for  $Q^2$  dependence tests w/Carbon



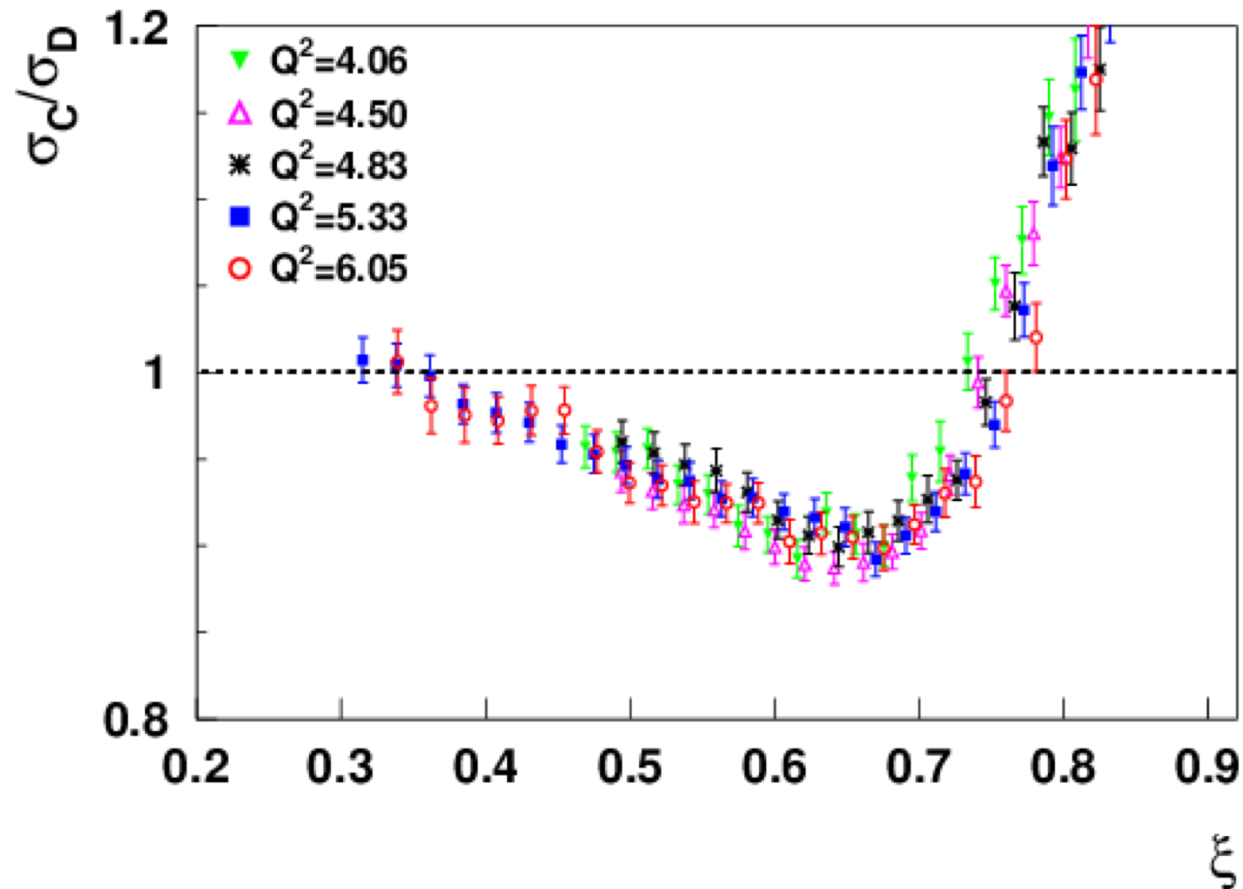
# Summary

- Nuclear structure function ratios (EMC effect) appear to show scaling down to  $W^2=2 \text{ GeV}^2$  for  $Q^2>3 \text{ GeV}^2 \rightarrow$  consequence of duality + Fermi smearing in nucleus
  - Apparent scaling does not work as well for individual structure functions, unless studied as function of  $\xi$
- Allows access to EMC effect measurements to rather large  $x$  (0.85) at 6 GeV beam energies
  - Larger  $x$  should be accessible with JLab 12 GeV measurements
  - Will ratios for  $W^2<2 \text{ GeV}^2$  scale at larger  $Q^2$
- Precise EMC Effect measurements at large  $x$  provide test of the wave functions used in EMC effect calculations
- Applicability of duality (or not) in nuclear structure functions also relevant for neutrino experiments  $\rightarrow$  Monte Carlo models

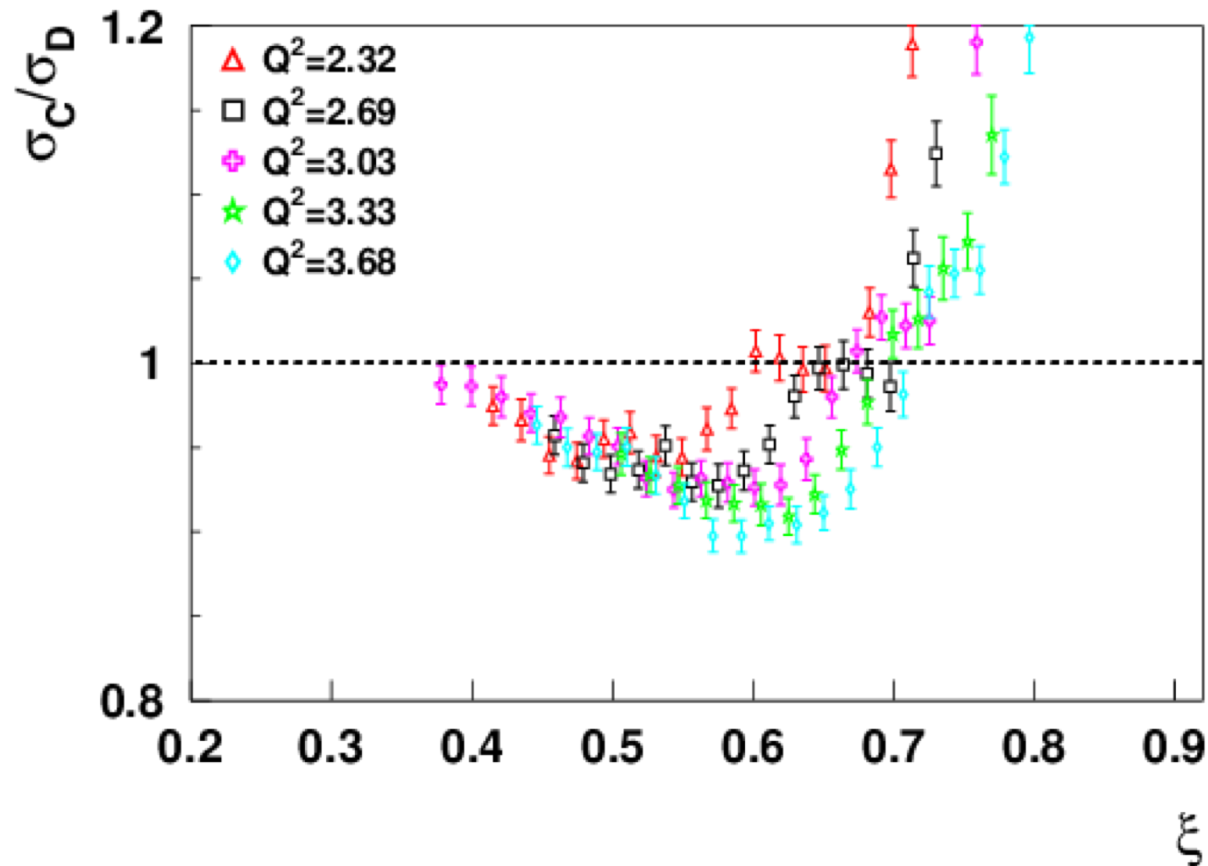
# EXTRA



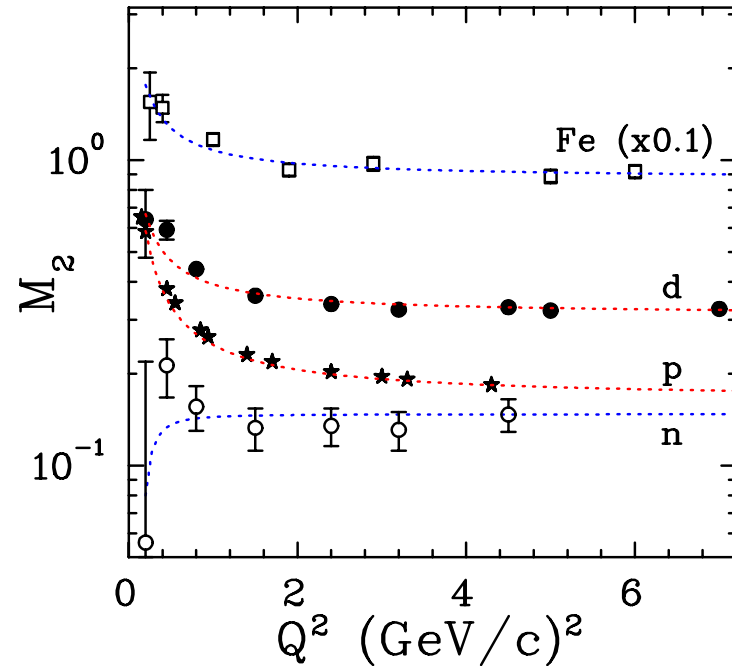
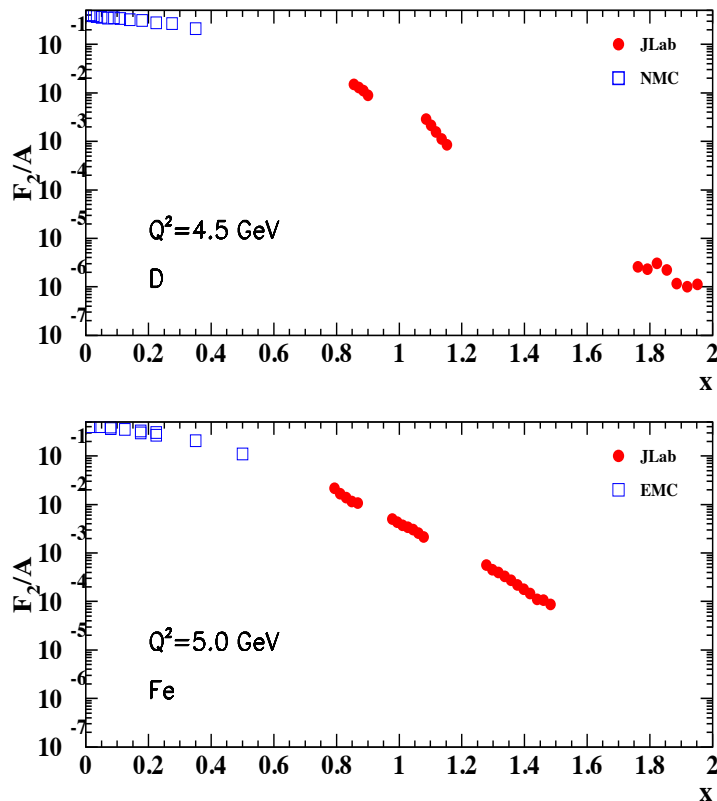
# Carbon/<sup>2</sup>H Ratio and Q<sup>2</sup> Dependence



# Carbon/<sup>2</sup>H Ratio and Q<sup>2</sup> Dependence



# Moments of Nuclear Structure Functions



Low  $Q^2$ , large  $x$  data used to calculate Cornwall-Norton moments

$$M_2(Fe) = Z \times M_2(p) + (A - Z) \times M_2(n)$$