

QCD EVOLUTION

Workshop

May 20 - 24, 2018

Santa Fe, NM,
Drury Plaza Hotel

TOPICS:

Hadron structure:
theory & experiment

Transverse momentum
dependent distributions

Generalized parton distributions

Effective theories, SCET

Lattice QCD

Multi-parton interactions

Resummation techniques

Nuclear effects, small-x

Organizing Committee

Ian Balitsky	Alexei Prokudin
Martha Constantinou	Anatoly Radyushkin
Leonard Gamberg	Matt Sievert
Chris Lee	Ivan Vitev (Chair)
Duff Neill	Shinsuke Yoshida

qcdevolution2018@lanl.gov
www.jlab.org/conferences/qcd-evolution2018

Sponsors: DOE Office of Science
DOE Early Career Program
Jefferson Lab
Los Alamos National Lab
Penn State University Berks

Measurements of light- quark fragmentation in e^+e^- annihilation

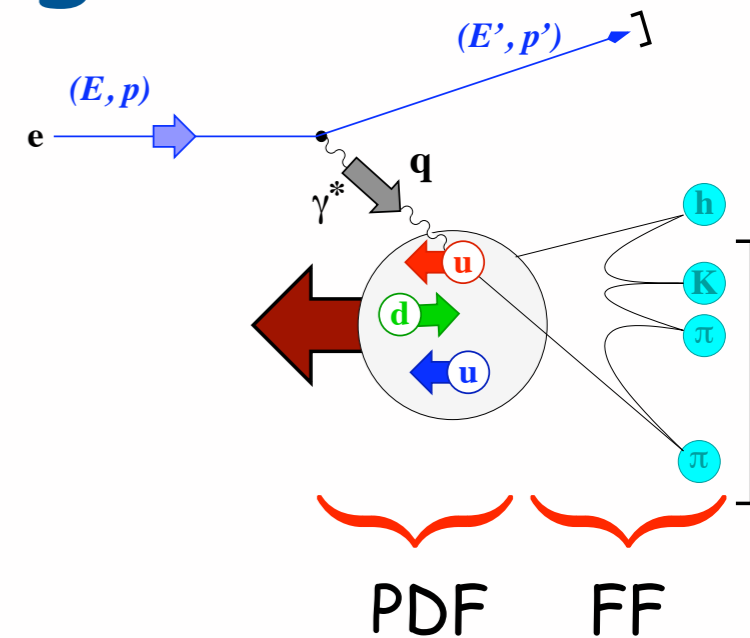
highlights from the past five years

Probing parton dist's through fragmentation

nucleon pol.

quark pol.

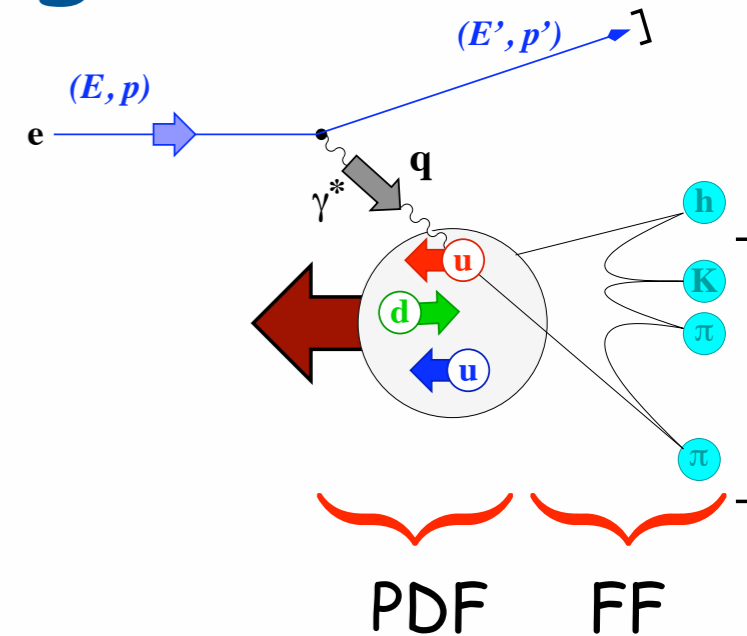
	U	L	T
U	f_1		h_1^\perp
L		g_{1L}	h_{1L}^\perp
T	f_{1T}^\perp	g_{1T}	h_1, h_{1T}^\perp



in SIDIS*) couple PDFs to:

*) semi-inclusive DIS with unpolarized final state

Probing parton dist's through fragmentation



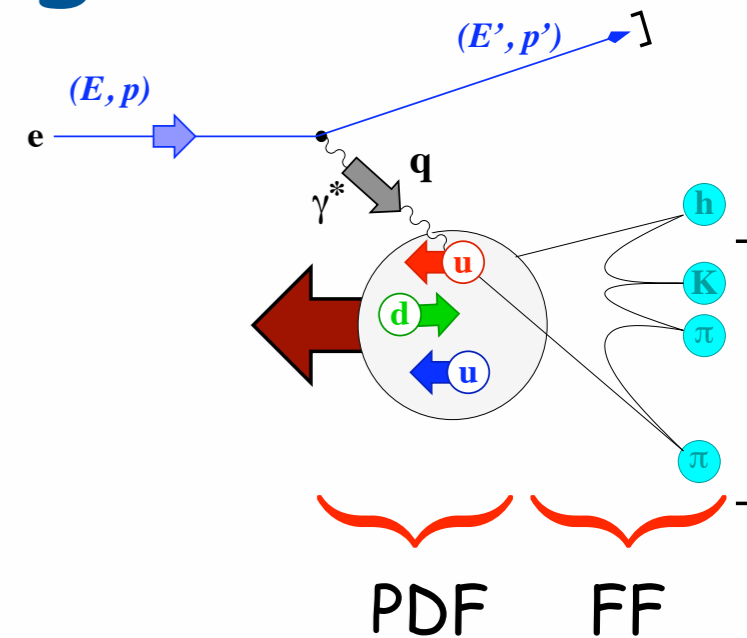
		quark pol.		
		U	L	T
nucleon pol.	U	f_1		h_1^\perp
	L		g_{1L}	h_{1L}^\perp
	T	f_{1T}^\perp	g_{1T}	h_1, h_{1T}^\perp

in SIDIS*) couple PDFs to:

Collins FF: $H_1^\perp, q \rightarrow h$
 DiFF: $H_1^\perp, q \rightarrow h_1 h_2$

*) semi-inclusive DIS with unpolarized final state

Probing parton dist's through fragmentation



		quark pol.		
		U	L	T
nucleon pol.	U	f_1		h_1^\perp
	L		g_{1L}	h_{1L}^\perp
	T	f_{1T}^\perp	g_{1T}	h_1, h_{1T}^\perp

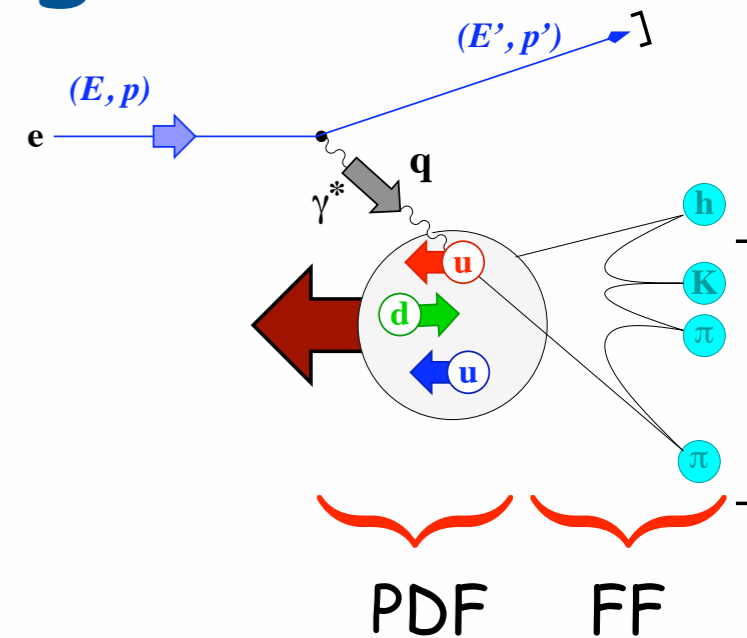
in SIDIS*) couple PDFs to:

Collins FF: $H_1^\perp, q \rightarrow h$
 DiFF: $H_1^\angle, q \rightarrow h_1 h_2$

ordinary FF: $D_1^{q \rightarrow h}$

*) semi-inclusive DIS with unpolarized final state

Probing parton dist's through fragmentation



		quark pol.		
		U	L	T
nucleon pol.	U	f_1		h_1^\perp
	L		g_{1L}	h_{1L}^\perp
	T	f_{1T}^\perp	g_{1T}	h_1, h_{1T}^\perp

in SIDIS*) couple PDFs to:

Collins FF: $H_1^\perp, q \rightarrow h$
 DiFF: $H_1^\angle, q \rightarrow h_1 h_2$

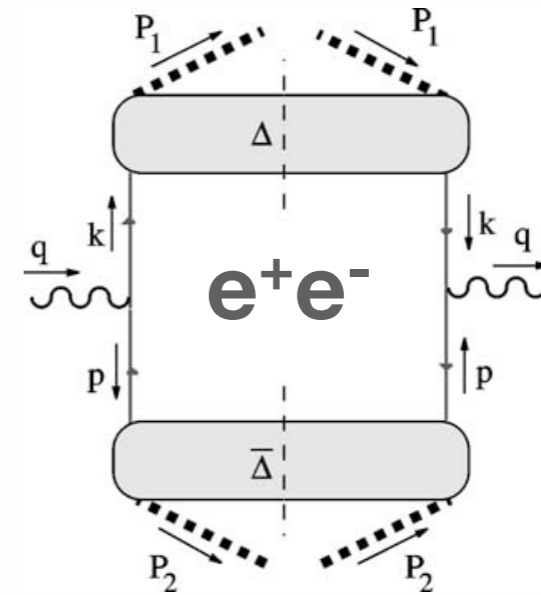
ordinary FF: $D_1^{q \rightarrow h}$

⇒ FFs act as quark flavor-tagger and polarimeter

*) semi-inclusive DIS with unpolarized final state

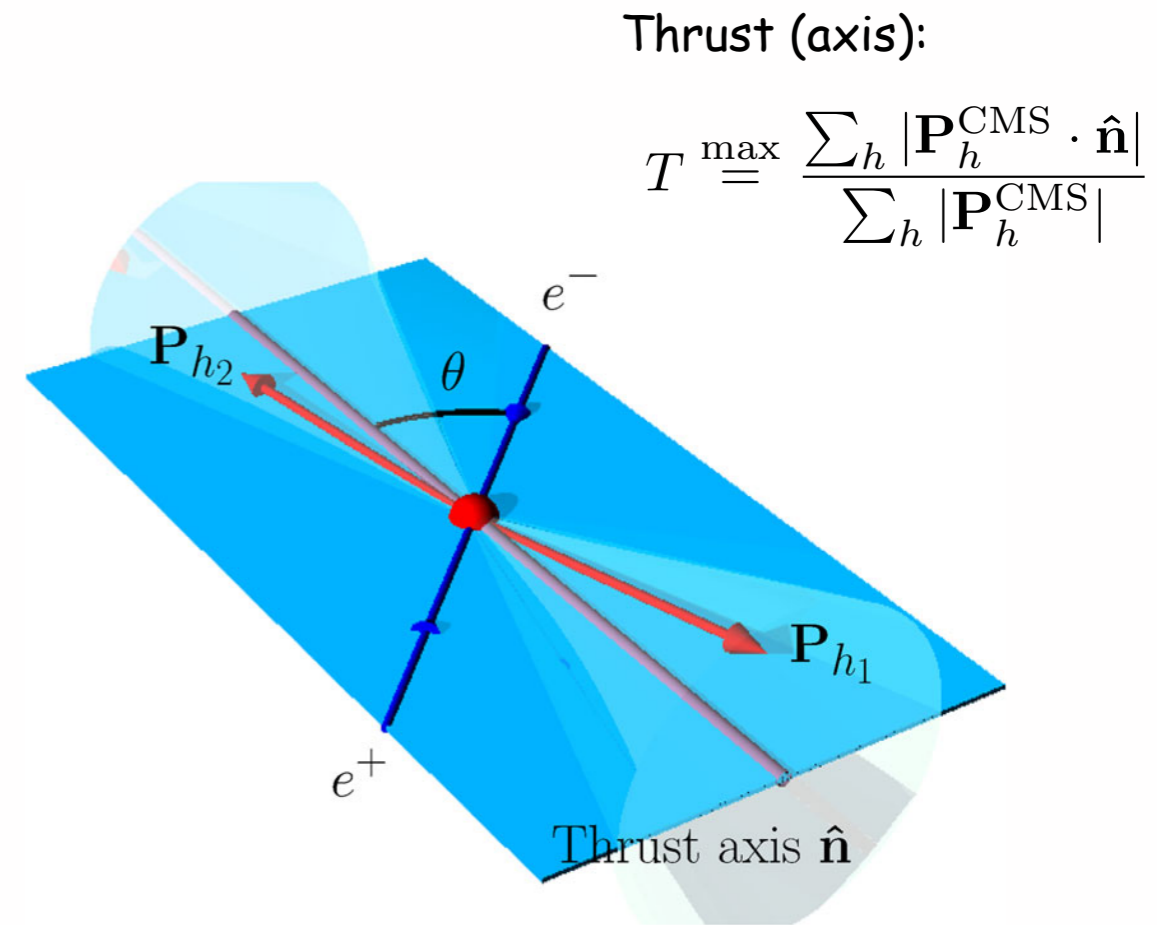
fragmentation in e^+e^- annihilation

- single-inclusive hadron production,
 $e^+e^- \rightarrow hX$
- D_1 fragmentation fctn.
- D_{1T^\perp} spontaneous transv. pol.



fragmentation in e^+e^- annihilation

- single-inclusive hadron production,
 $e^+e^- \rightarrow hX$
- D_1 fragmentation fctn.
- D_{1T^\perp} spontaneous transv. pol.
- inclusive "back-to-back" hadron pairs, $e^+e^- \rightarrow h_1h_2X$
- product of FFs
- flavor, transverse-momentum, and/or polarization tagging

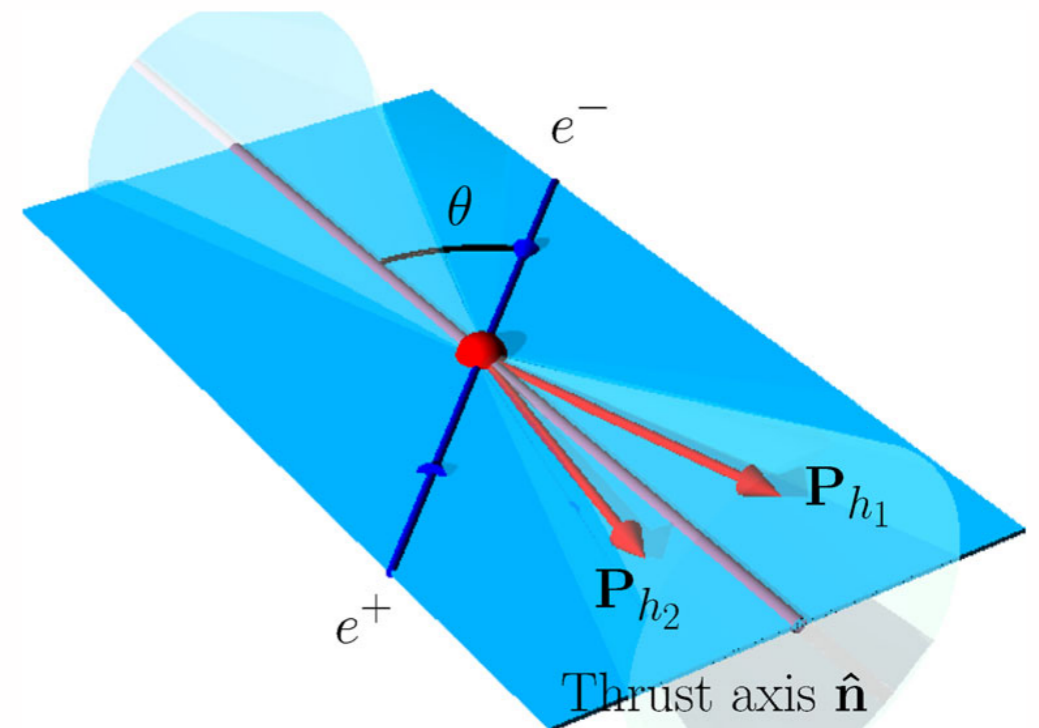
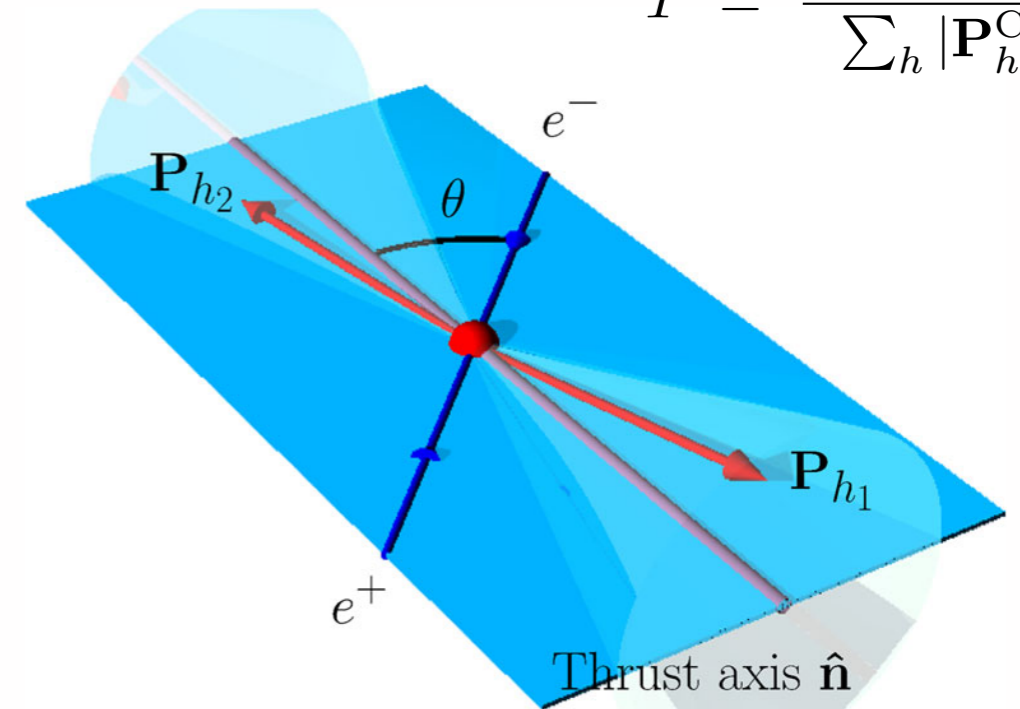


fragmentation in e^+e^- annihilation

- single-inclusive hadron production, $e^+e^- \rightarrow hX$
- D_1 fragmentation fctn.
- D_{1T^\perp} spontaneous transv. pol.
- inclusive "back-to-back" hadron pairs, $e^+e^- \rightarrow h_1h_2X$
- product of FFs
- flavor, transverse-momentum, and/or polarization tagging
- inclusive same-hemisphere hadron pairs, $e^+e^- \rightarrow h_1h_2X$
- dihadron fragmentation

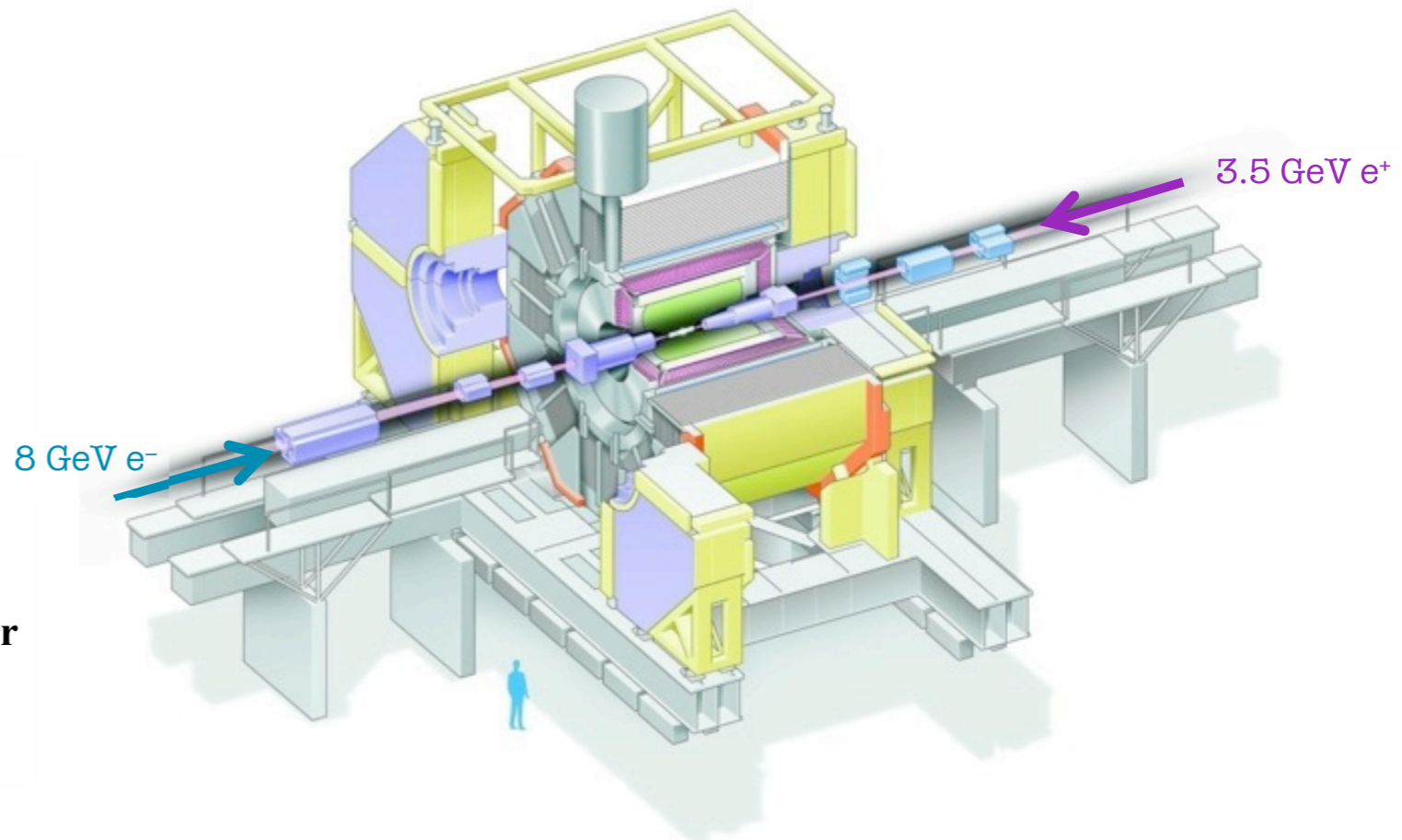
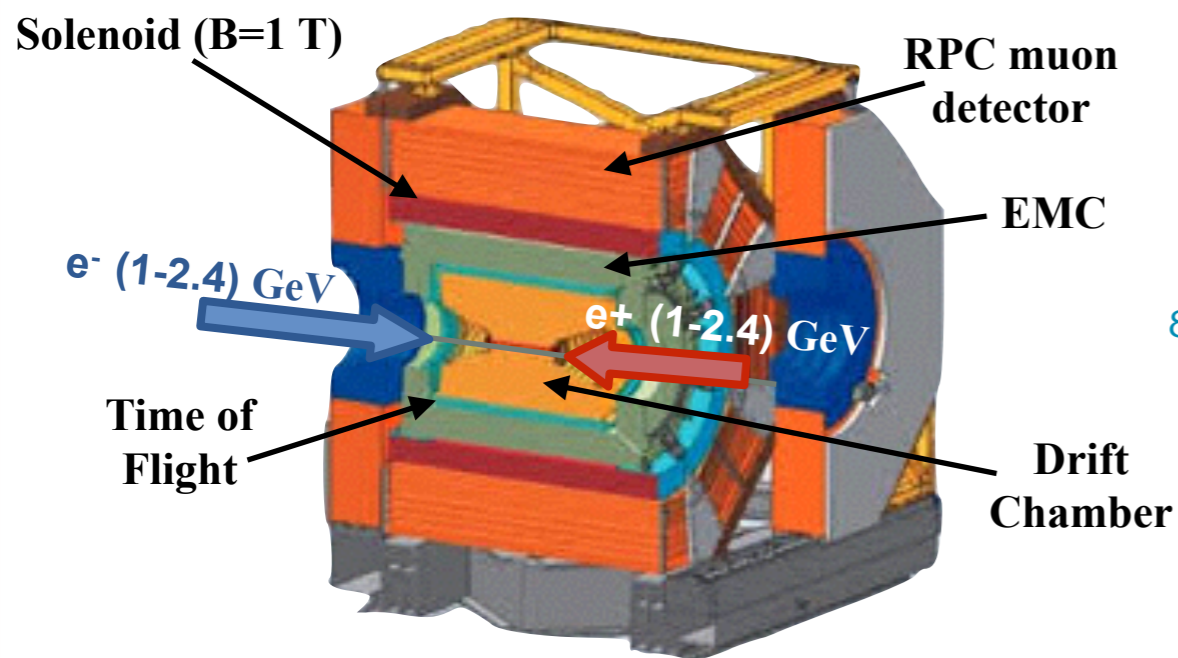
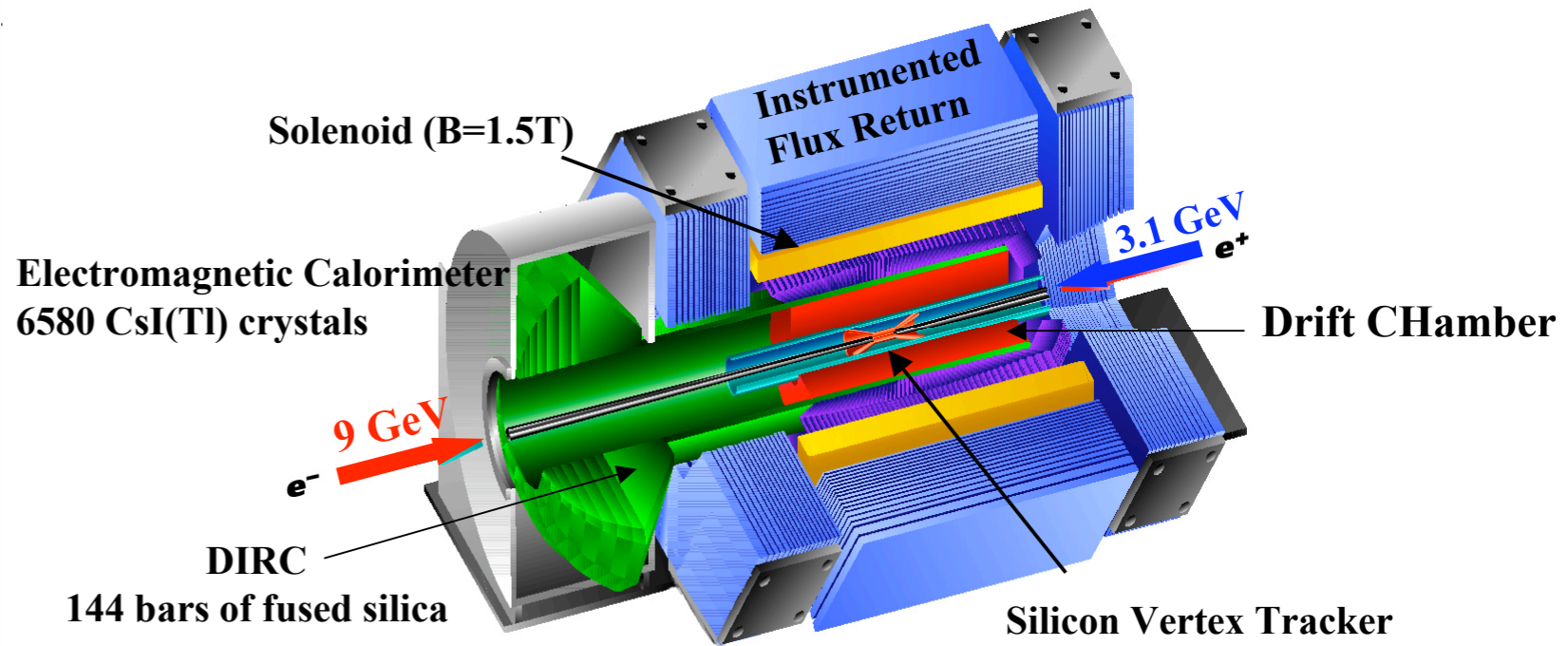
Thrust (axis):

$$T \stackrel{\text{max}}{=} \frac{\sum_h |\mathbf{P}_h^{\text{CMS}} \cdot \hat{\mathbf{n}}|}{\sum_h |\mathbf{P}_h^{\text{CMS}}|}$$



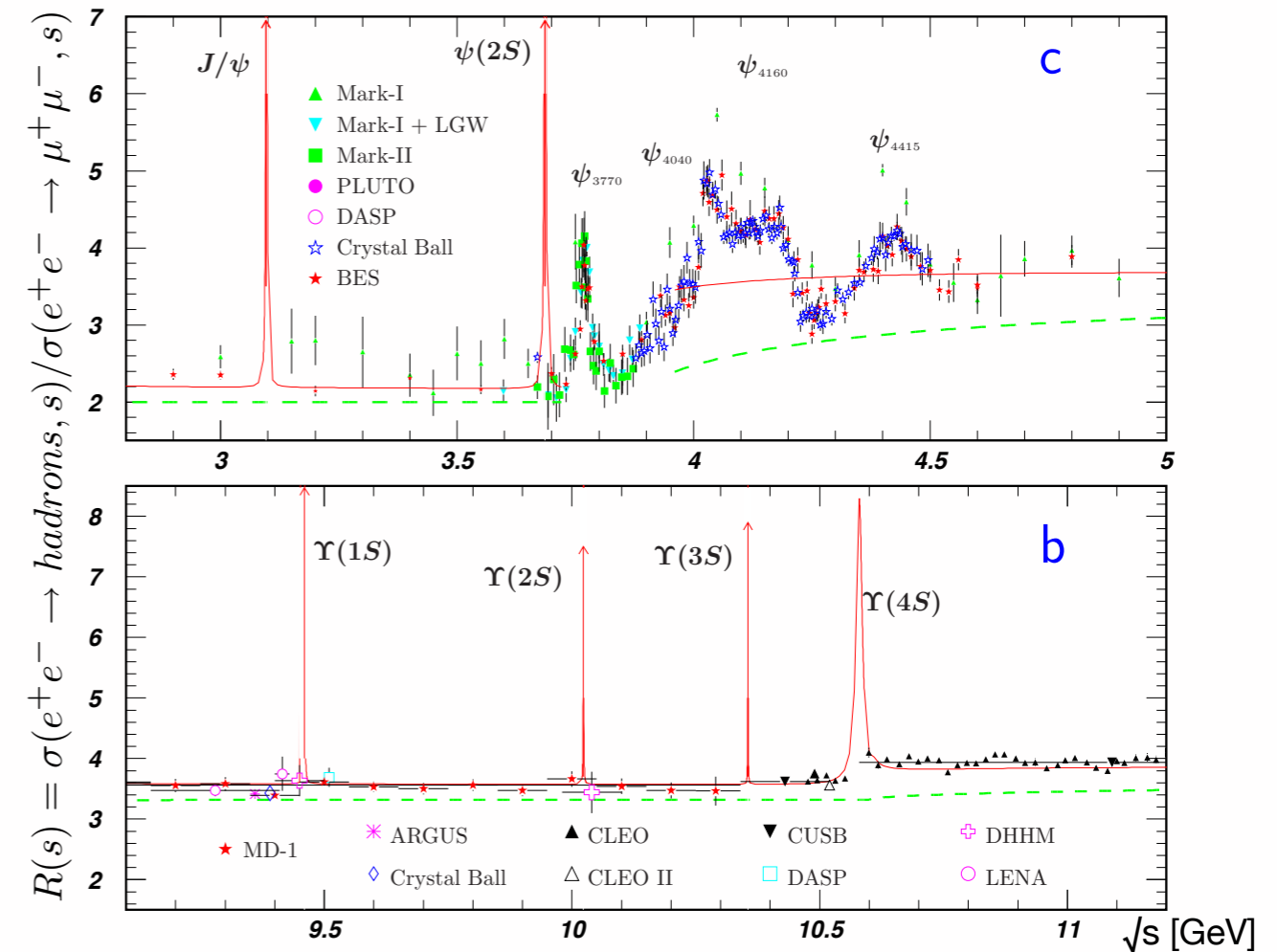
e^+e^- annihilation at BaBar, Belle, and BESIII

- BaBar/Belle: asymmetric beam-energy e^+e^- collider near/at $\Upsilon(4S)$ resonance (10.58 GeV)
- BESIII: symmetric collider with $E_e=1\text{--}2.4$ GeV



e^+e^- annihilation at BaBar, Belle, and BESIII

- BaBar/Belle: asymmetric beam-energy e^+e^- collider near/at $\Upsilon(4S)$ resonance (10.58 GeV)
- BESIII: symmetric collider with $E_e=1\dots 2.4$ GeV
- integrated luminosities:



	$\Upsilon(4S)$ on resonance	$\Upsilon(4S)$ off resonance	other
BaBar	424.2 fb ⁻¹	43.9 fb ⁻¹	
Belle	(140+571) fb ⁻¹	(15.6+73.8) fb ⁻¹	
BESIII			~62 pb ⁻¹ @3.65 GeV *)

*) used for the Collins analysis presented here

from hadron yields to cross sections

- hadron yields undergo series of corrections
 - particle (mis)identification [e.g., not every identified pion was a pion]
 - smearing unfolding [e.g., measured and true momentum might differ]
 - non- $q\bar{q}$ processes [e.g., two-photon processes, $\Upsilon \rightarrow BB, \dots$]
 - “ 4π ” correction [selection criteria and limited geometric acceptance]
 - QED radiation [initial-state radiation (ISR)]
 - optional: weak-decay removal (e.g., “prompt fragmentation”)

from hadron yields to cross sections

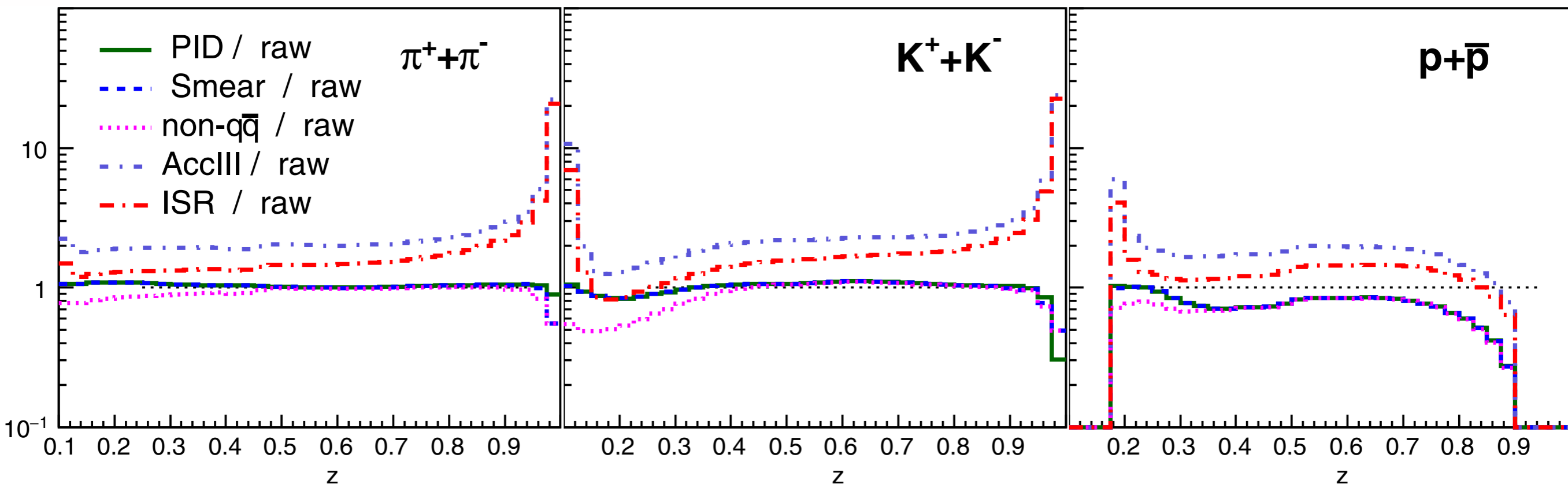
- hadron yields undergo series of corrections
 - particle (mis)identification [e.g., not every identified pion was a pion]
 - smearing unfolding [e.g., measured and true momentum might differ]
 - non- $q\bar{q}$ processes [e.g., two-photon processes, $\Upsilon \rightarrow BB, \dots$]
 - “ 4π ” correction [selection criteria and limited geometric acceptance]
 - QED radiation [initial-state radiation (ISR)]
 - optional: weak-decay removal (e.g., “prompt fragmentation”)
- Collins asymmetries also corrected for false asymmetries and maybe for qq -axis (mis)reconstruction

from hadron yields to cross sections

- hadron yields undergo series of corrections
 - particle (mis)identification [e.g., not every identified pion was a pion]
 - smearing unfolding [e.g., measured and true momentum might differ]
 - non- $q\bar{q}$ processes [e.g., two-photon processes, $\Upsilon \rightarrow BB, \dots$]
 - “ 4π ” correction [selection criteria and limited geometric acceptance]
 - QED radiation [initial-state radiation (ISR)]
 - optional: weak-decay removal (e.g., “prompt fragmentation”)
- Collins asymmetries also corrected for false asymmetries and maybe for $q\bar{q}$ -axis (mis)reconstruction
- partially different approaches in different experiments/analyses

from hadron yields to cross sections

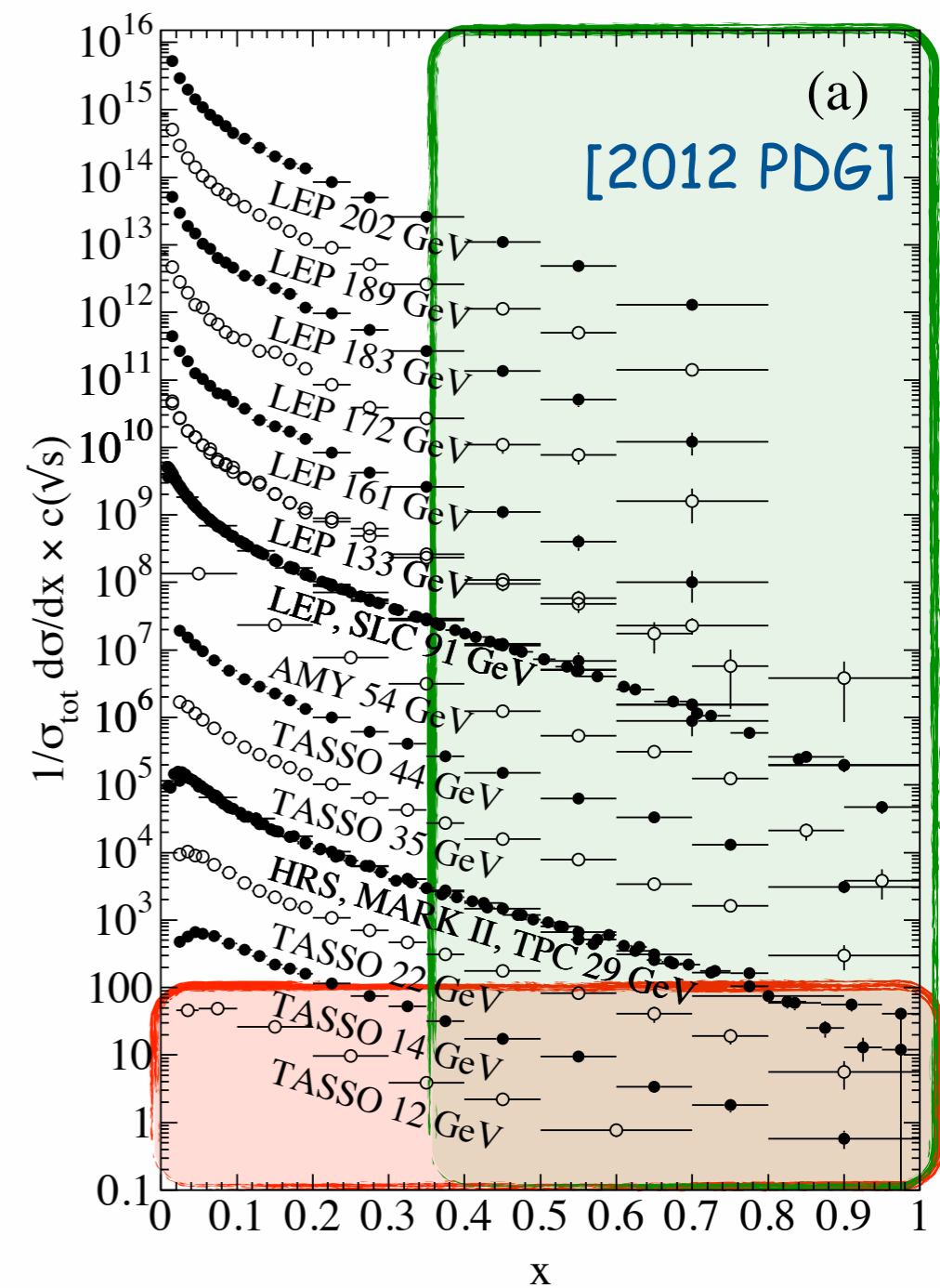
- **example:** single-hadron inclusive cross sections
- cumulative effect of correction steps



- largest effect for mesons from acceptance and ISR correction
- larger PID correction for protons than for mesons

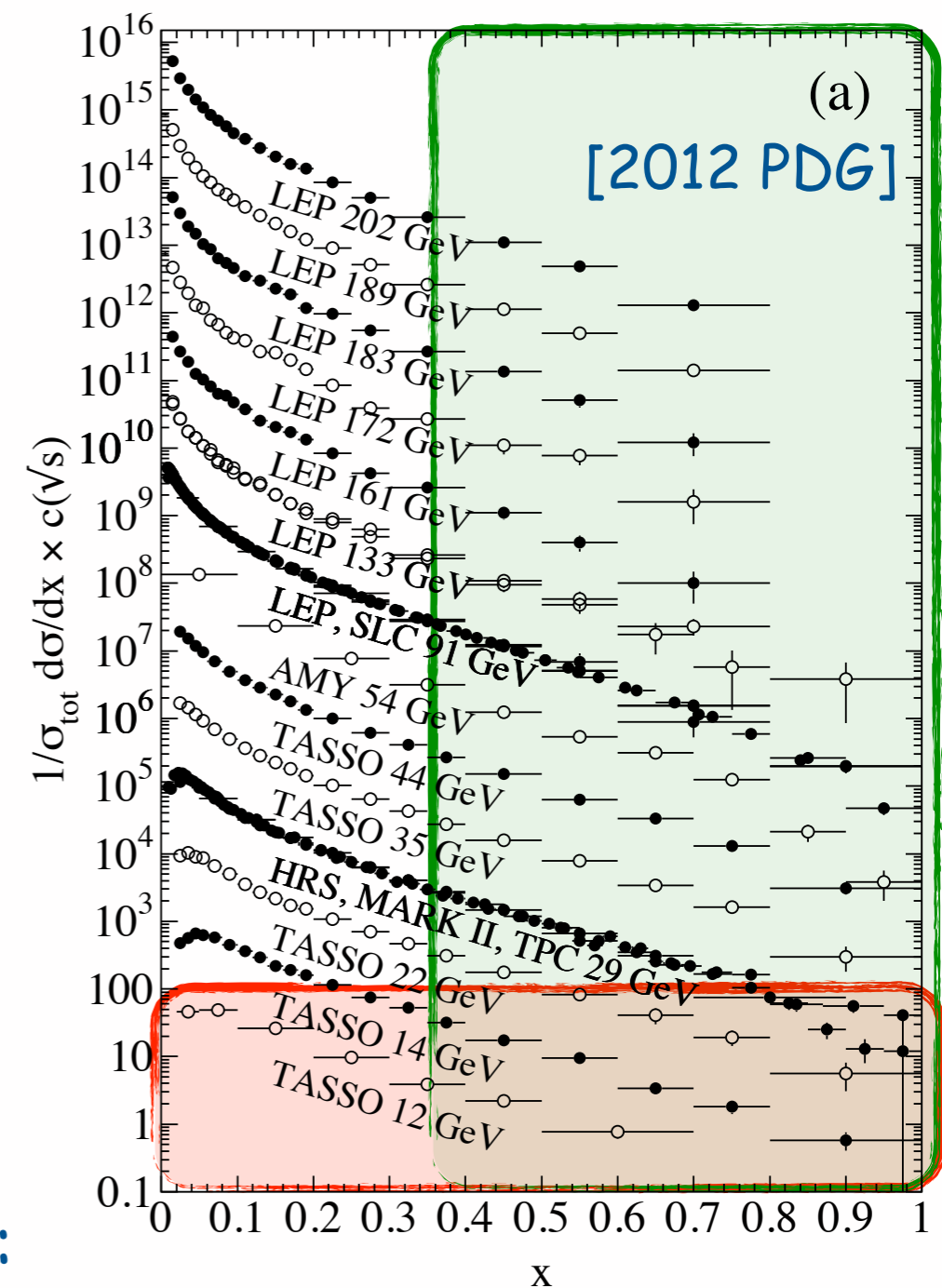
single-hadron production

- before 2013: lack of precision data at (moderately) high z and at low \sqrt{s}
- limits analysis of evolution and gluon fragmentation
- limited information in kinematic region often used in semi-inclusive DIS



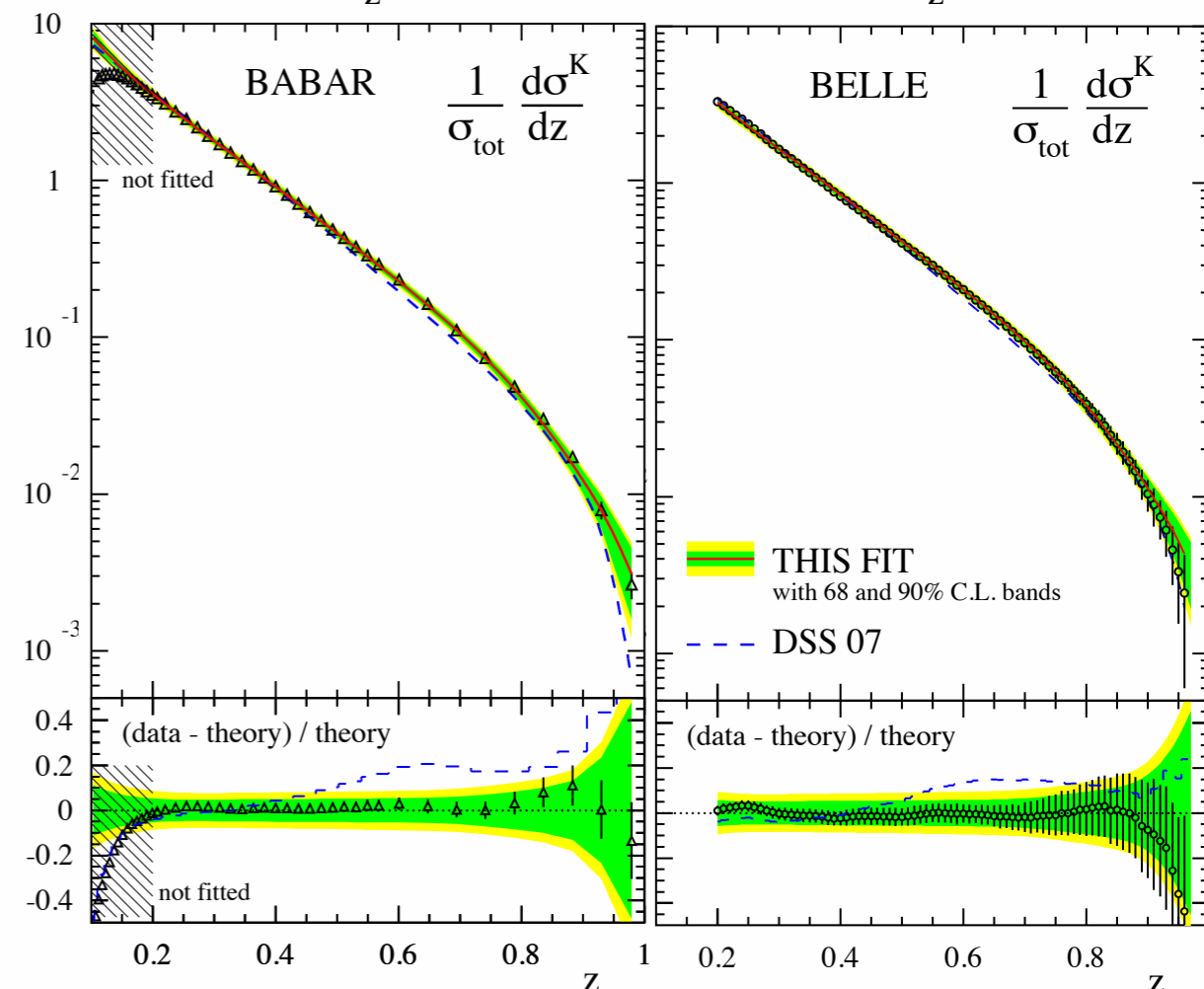
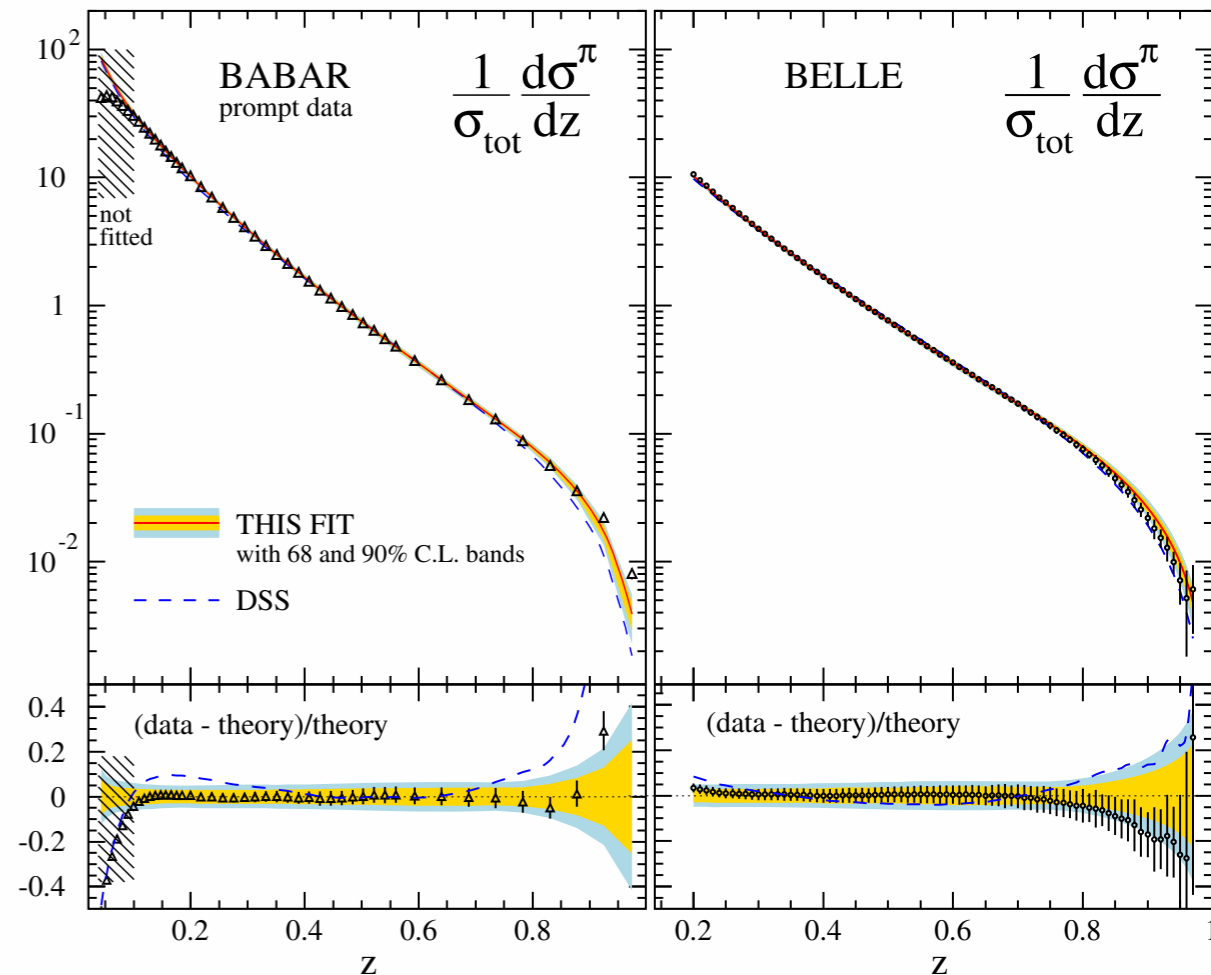
single-hadron production

- before 2013: lack of precision data at (moderately) high z and at low \sqrt{s}
- limits analysis of evolution and gluon fragmentation
- limited information in kinematic region often used in semi-inclusive DIS
- now, results available from BaBar and Belle:
 - BaBar Collaboration, Phys. Rev. D88 (2013) 032011: π^\pm , K^\pm , $p+p$
 - Belle Collaboration, Phys. Rev. Lett. 111 (2013) 062002: π^\pm , K^\pm
 - Belle Collaboration, Phys. Rev. D92 (2015) 092007: π^\pm , K^\pm , $p+p$



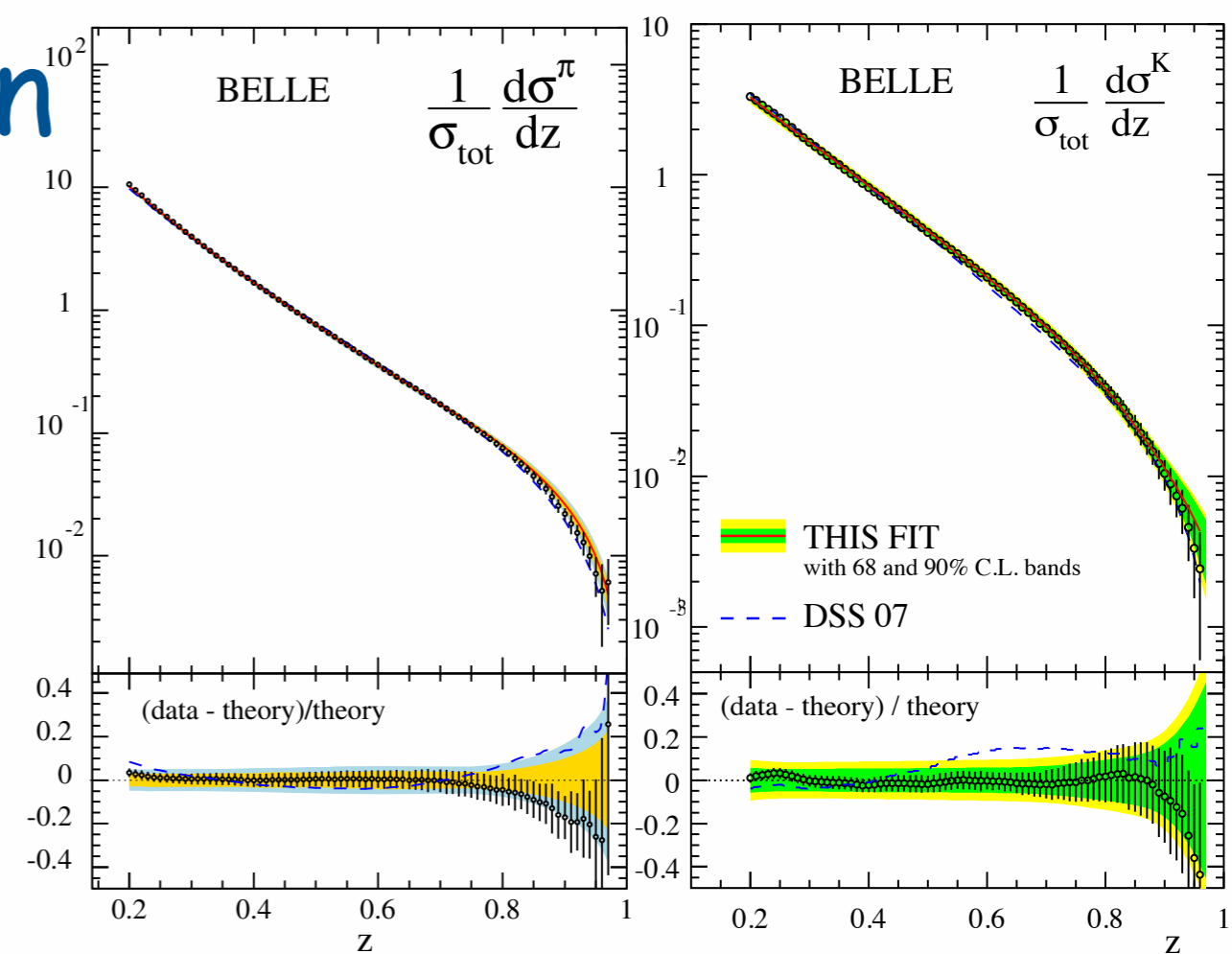
single-hadron production

- very precise data for charged pions and kaons
- Belle data available up to very large z ($z < 0.98$)
- included in recent DEHSS fits
- slight tension at low- z for BaBar and high- z for Belle



single-hadron production

- very precise data for charged pions and kaons
- Belle data available up to very large z ($z < 0.98$)
- included in recent DEHSS fits [e.g. PRD 91, 014035 (2015)]
- Belle radiative corrections **undone** in FF fits

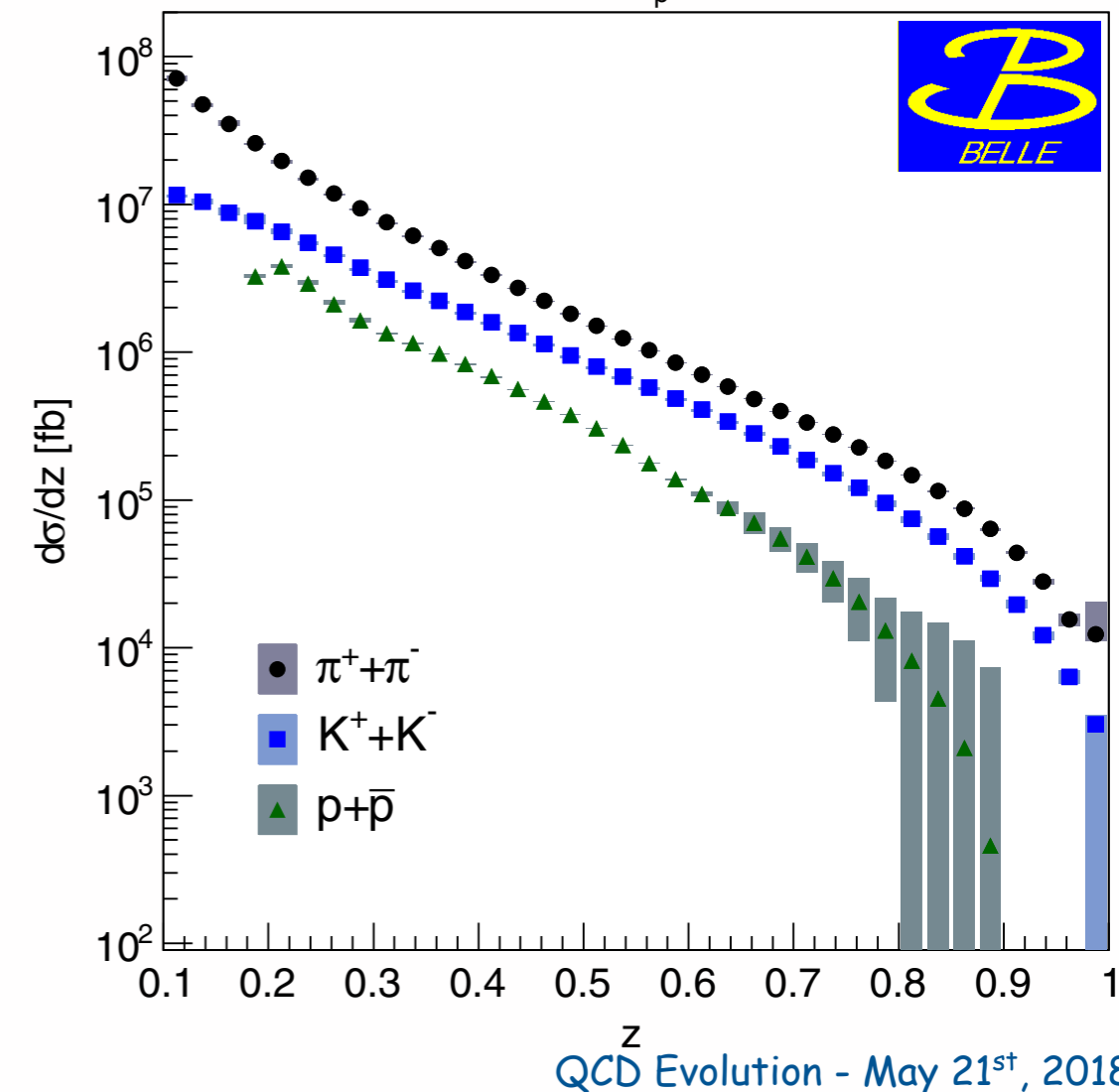
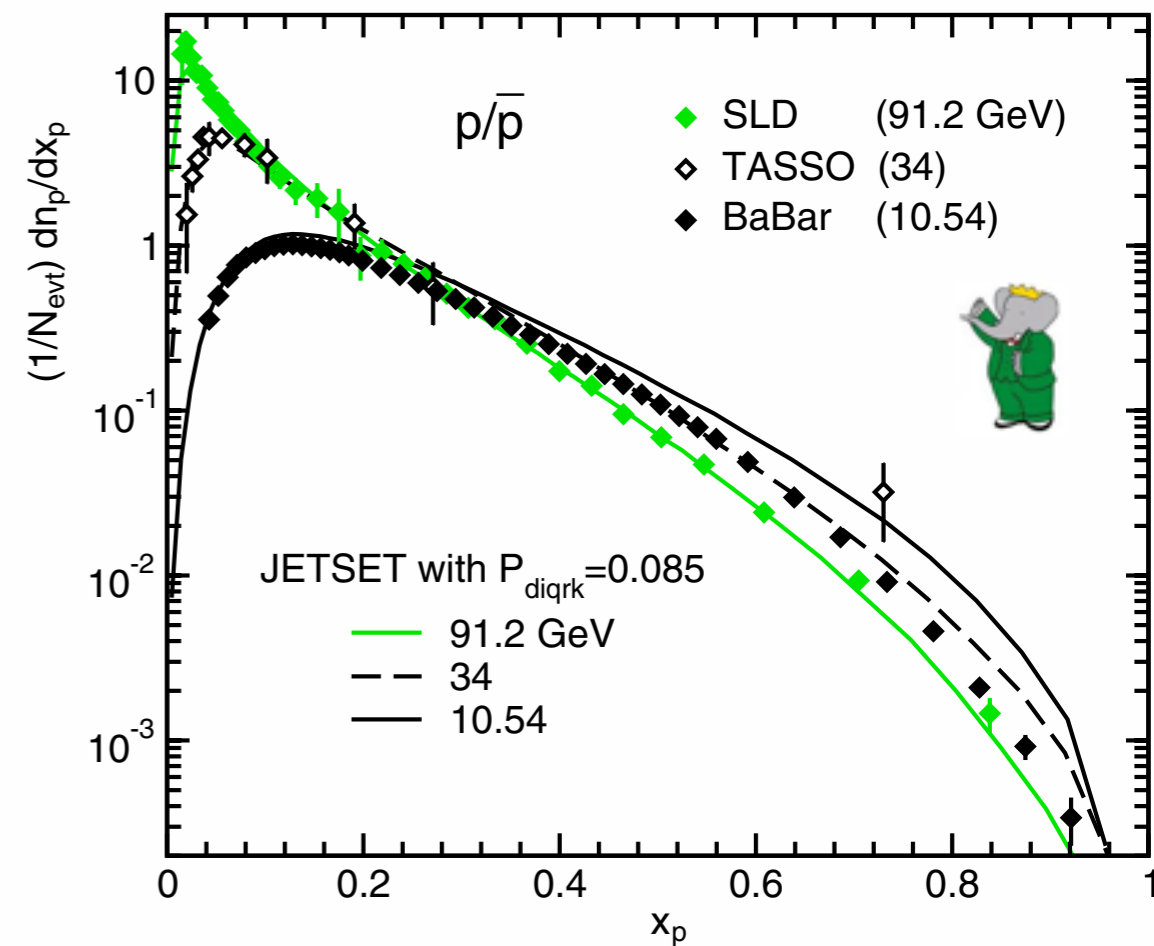


[EPJC 77 (2017) 516, NNFF1.0]

In the case of the BELLE experiment we multiply all data points by a factor $1/c$, with $c = 0.65$ for charged pions and kaons [69] and with c a function of z for protons/antiprotons [53]. This correction is required in order to treat the BELLE data consistently with all the other SIA measurements included in NNFF1.0. The reason is that a kinematic cut on radiative photon events was applied to the BELLE data sample in the original analysis instead of unfolding the radiative QED effects. Specifically, the energy scales

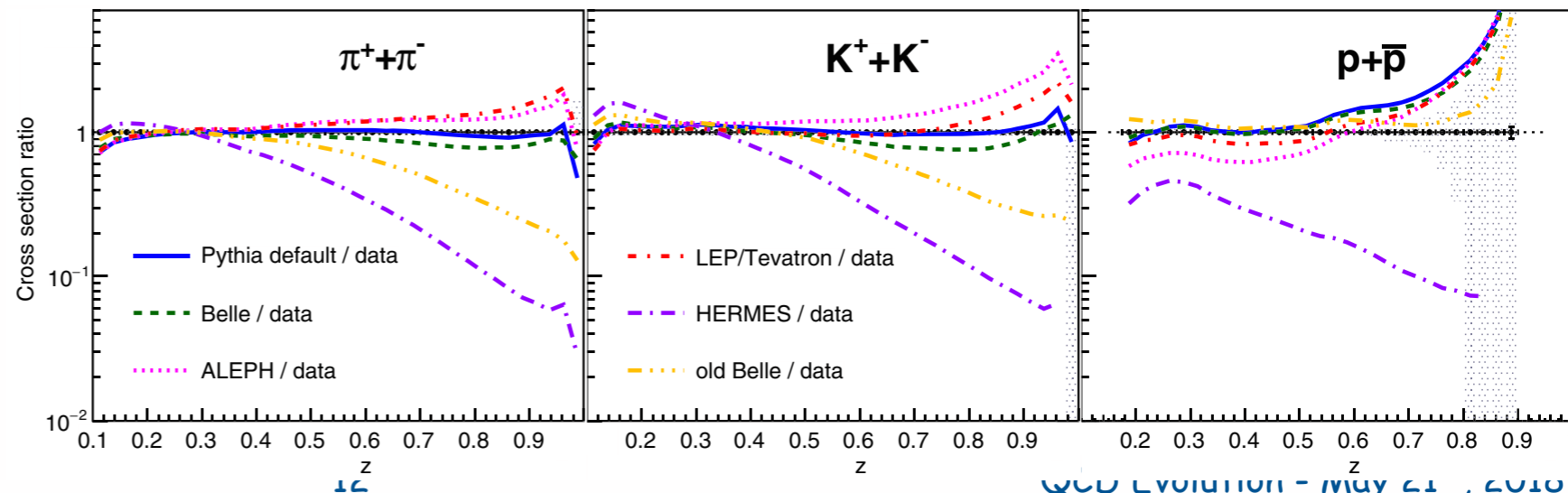
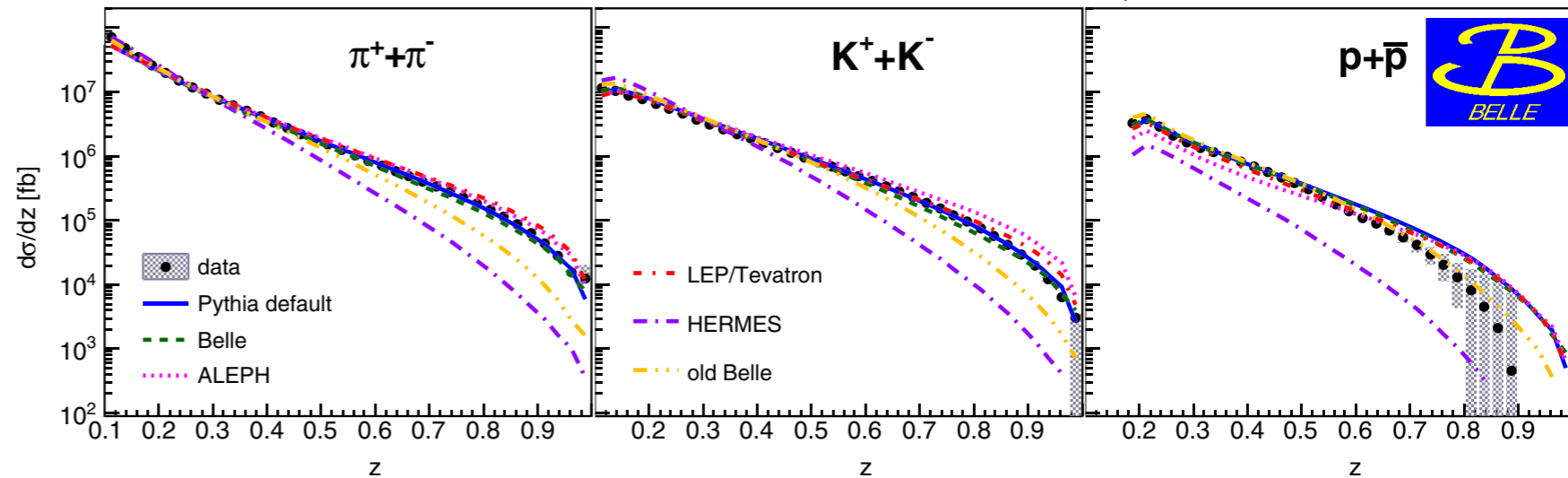
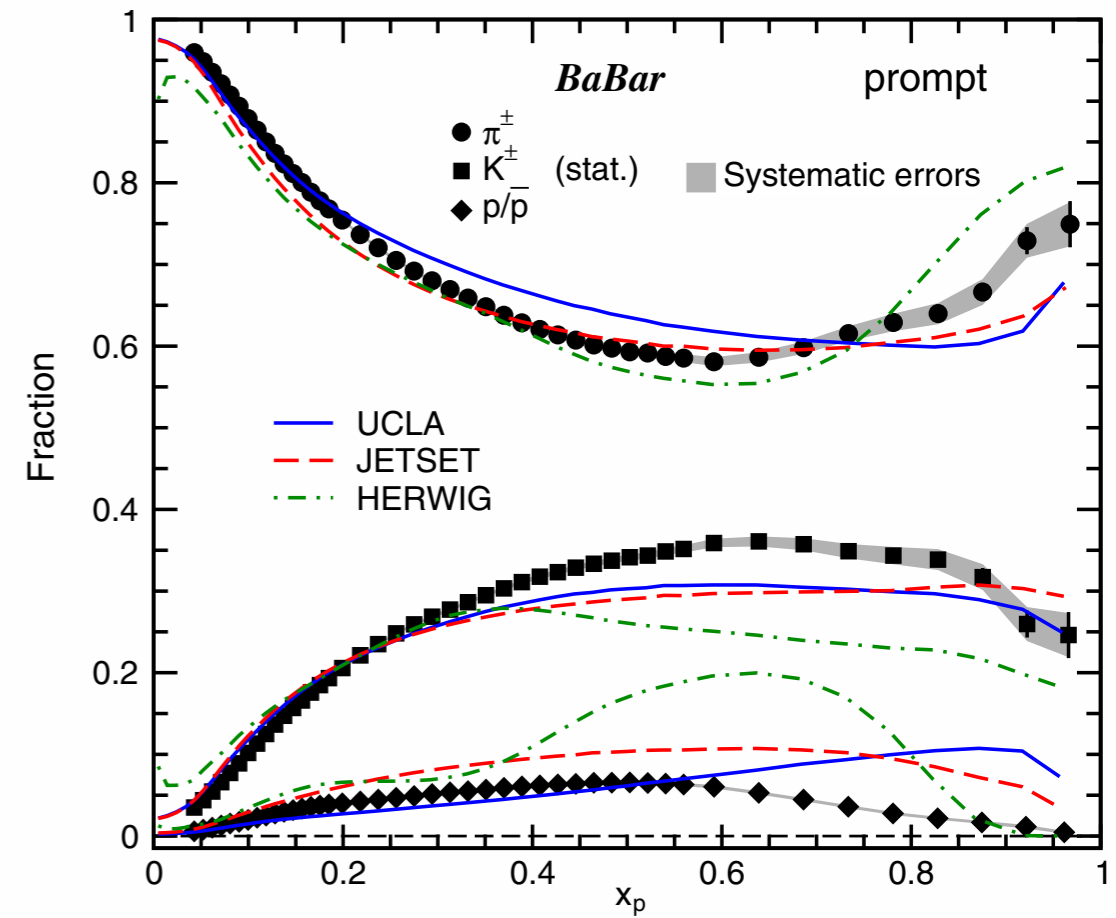
single-hadron production

- very precise data for charged pions and kaons
- Belle data available up to very large z ($z < 0.98$)
- included in recent DEHSS fits [e.g. PRD 91, 014035 (2015)]
- Belle radiative corrections **undone** in FF fits
- **new**: data for protons and anti-protons
 - not (yet) included in DEHSS, but in NNFF 1.0 [EPJC 77 (2017) 516]
 - similar z dependence as pions
 - about $\sim 1/5$ of pion cross sections



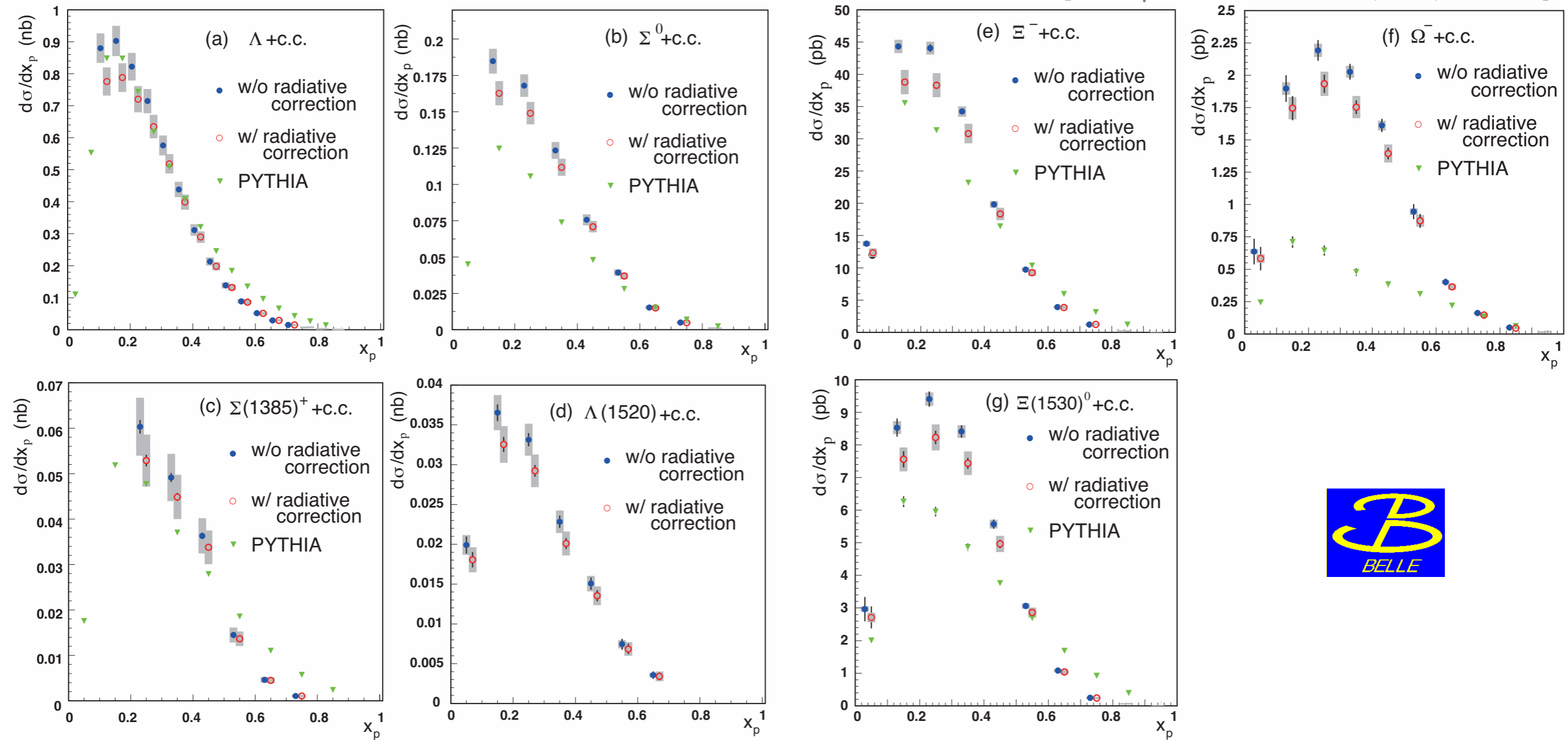
single-hadron production: data-MC comparison

- pion and(?) kaon data reasonably well described by Jetset
- protons difficult to reproduce, especially at large z
- MC overshoots data



inclusive hyperon production

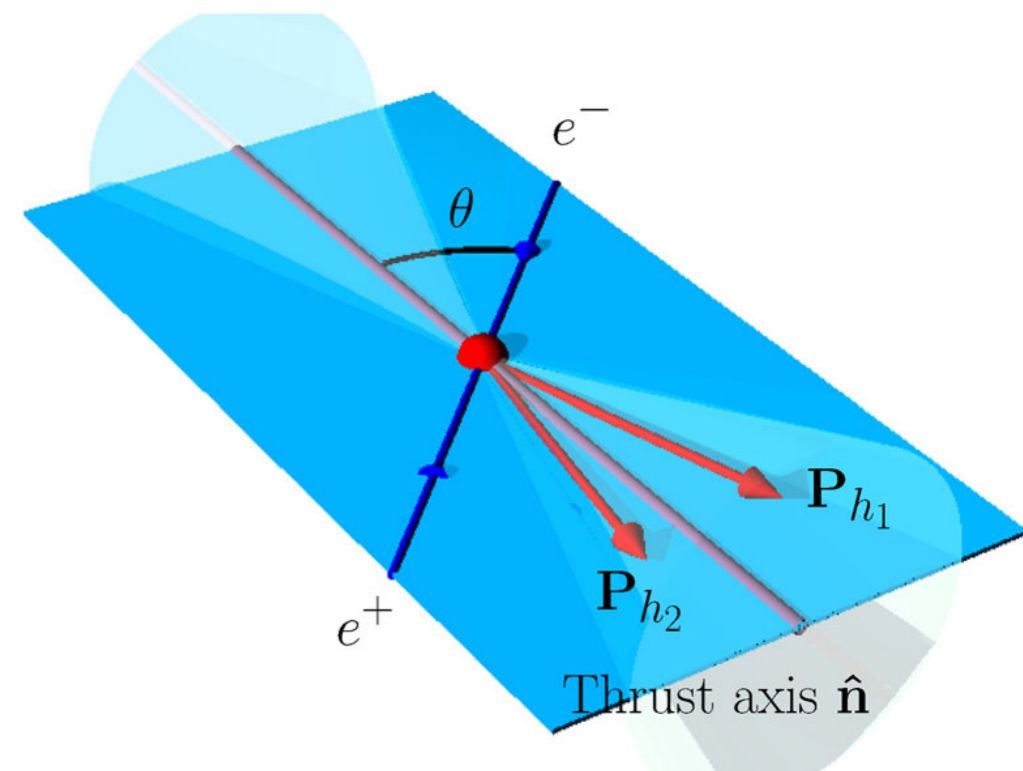
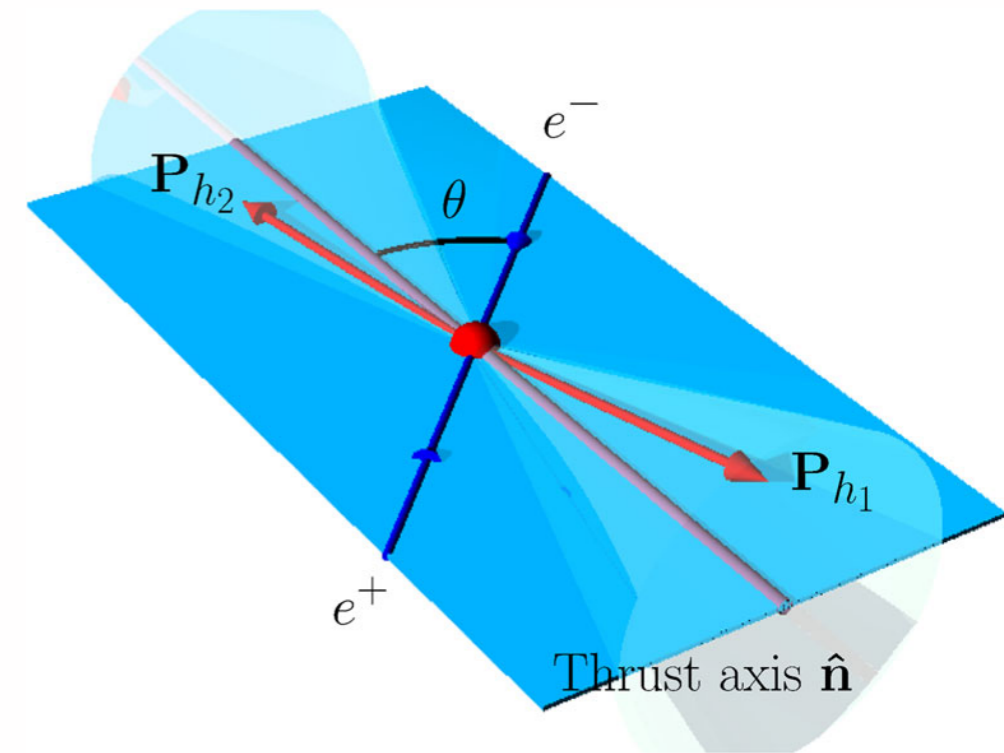
[M. Niyama et al., PRD 97 (2018) 072005]



- Λ production reasonably well described by Pythia
- less satisfactory for heavier hyperons
- fails to describe Ω^- production

hadron-pair production

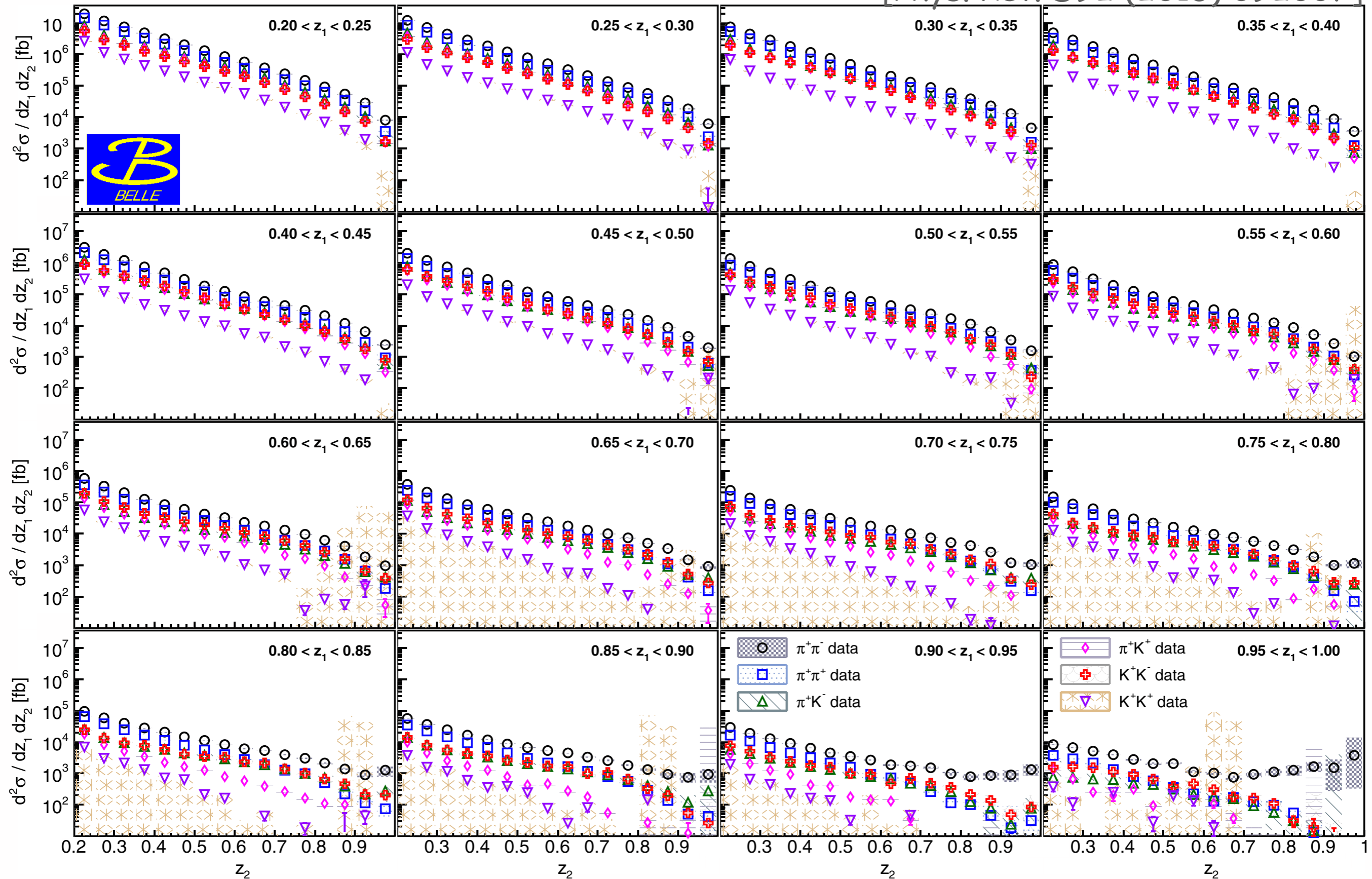
- single-hadron production has low discriminating power for parton flavor
- can use 2nd hadron in opposite hemisphere to “tag” flavor
- mainly sensitive to product of single-hadron FFs
- if hadrons in same hemisphere: **dihadron fragmentation**
 - a la de Florian & Vanni [Phys. Lett. B 578 (2004) 139]
 - a la Collins, Heppelmann & Ladinsky [Nucl. Phys. B 420 (1994) 565]; Boer, Jacobs & Radici [Phys. Rev. D 67 (2003) 094003]
- opens the question of defining hemispheres



no hemisphere selection

hadron-pair production

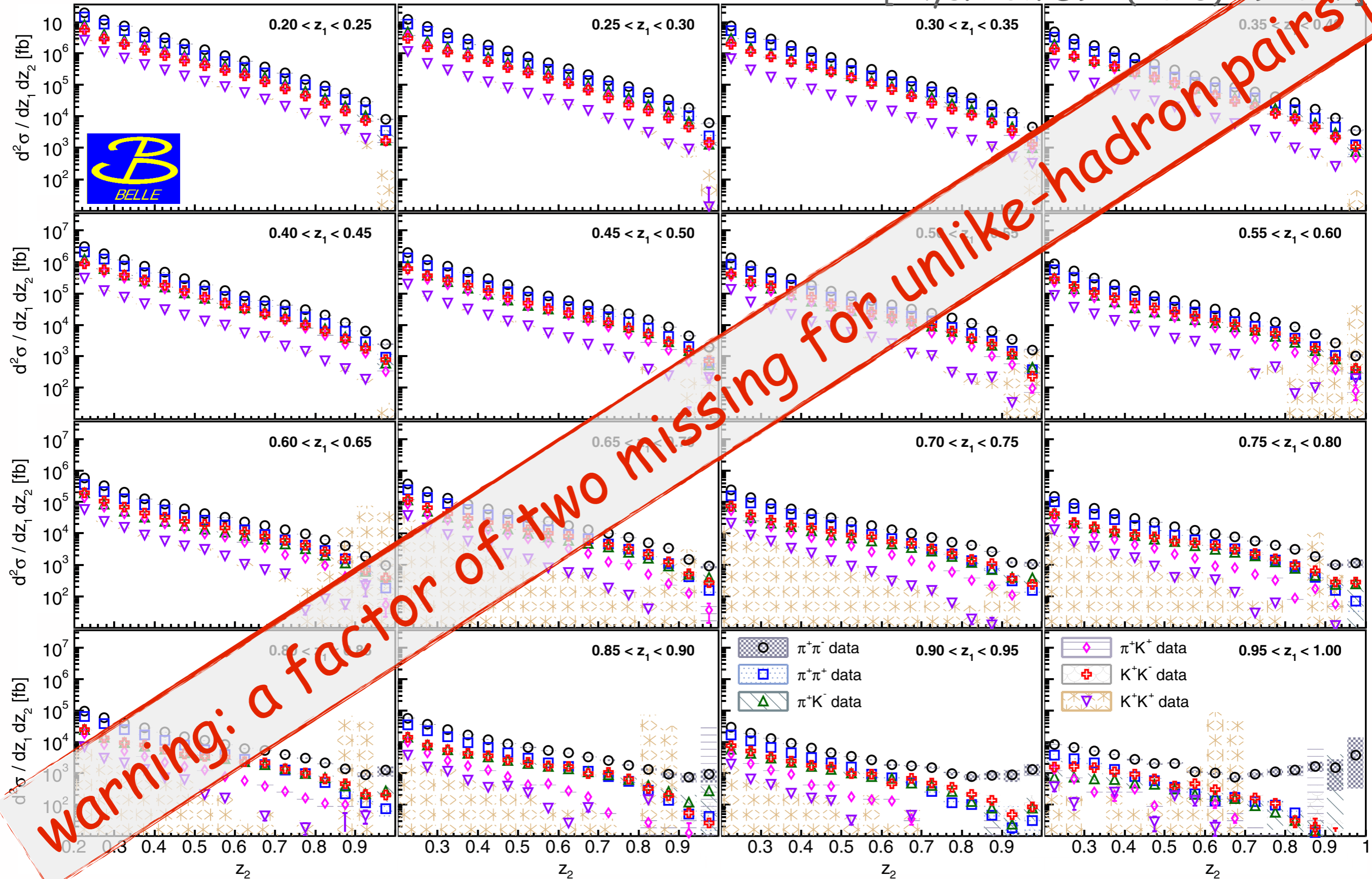
[Phys. Rev. D92 (2015) 092007]



no hemisphere selection

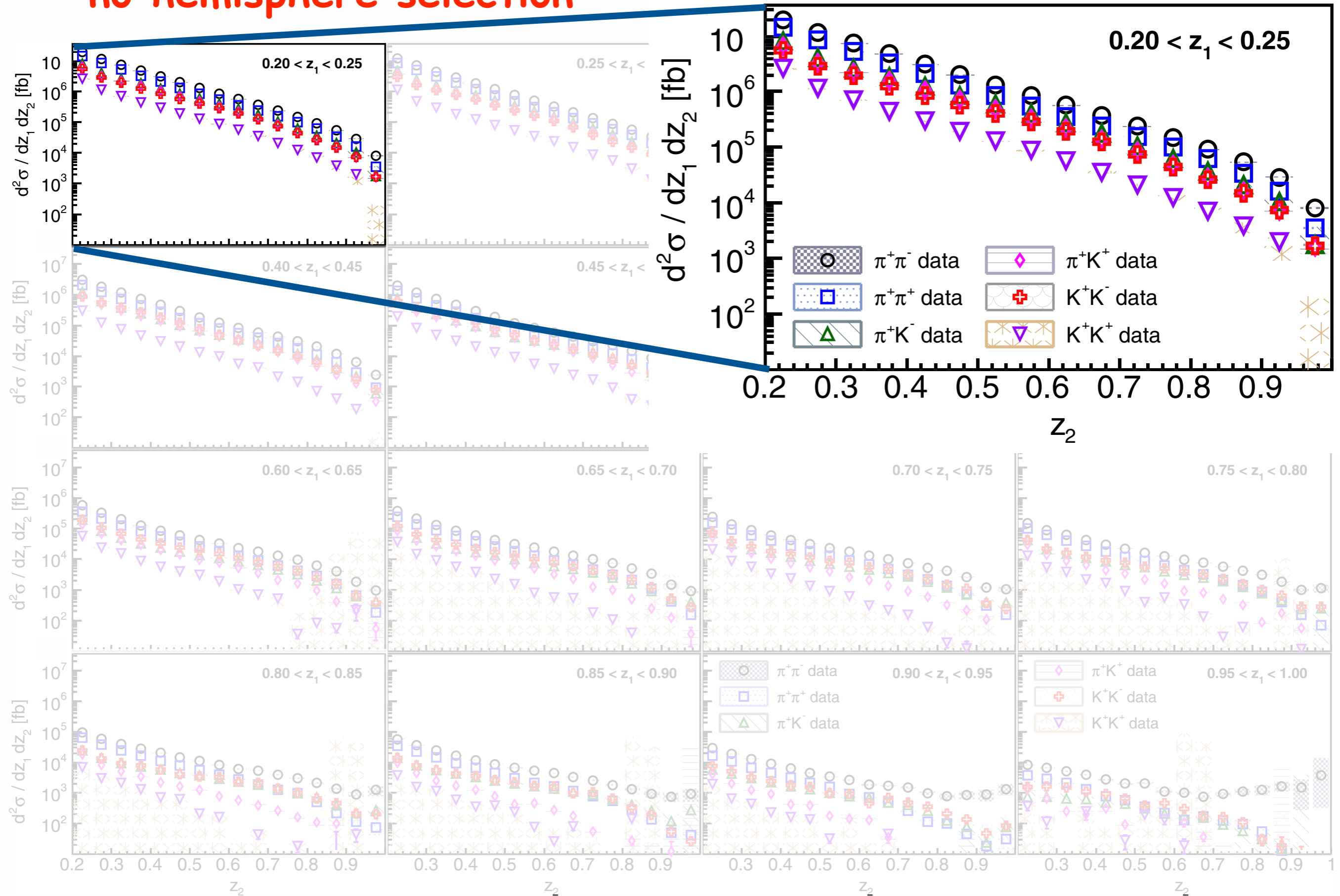
hadron-pair production

[Phys. Rev. D92 (2015) 092007]



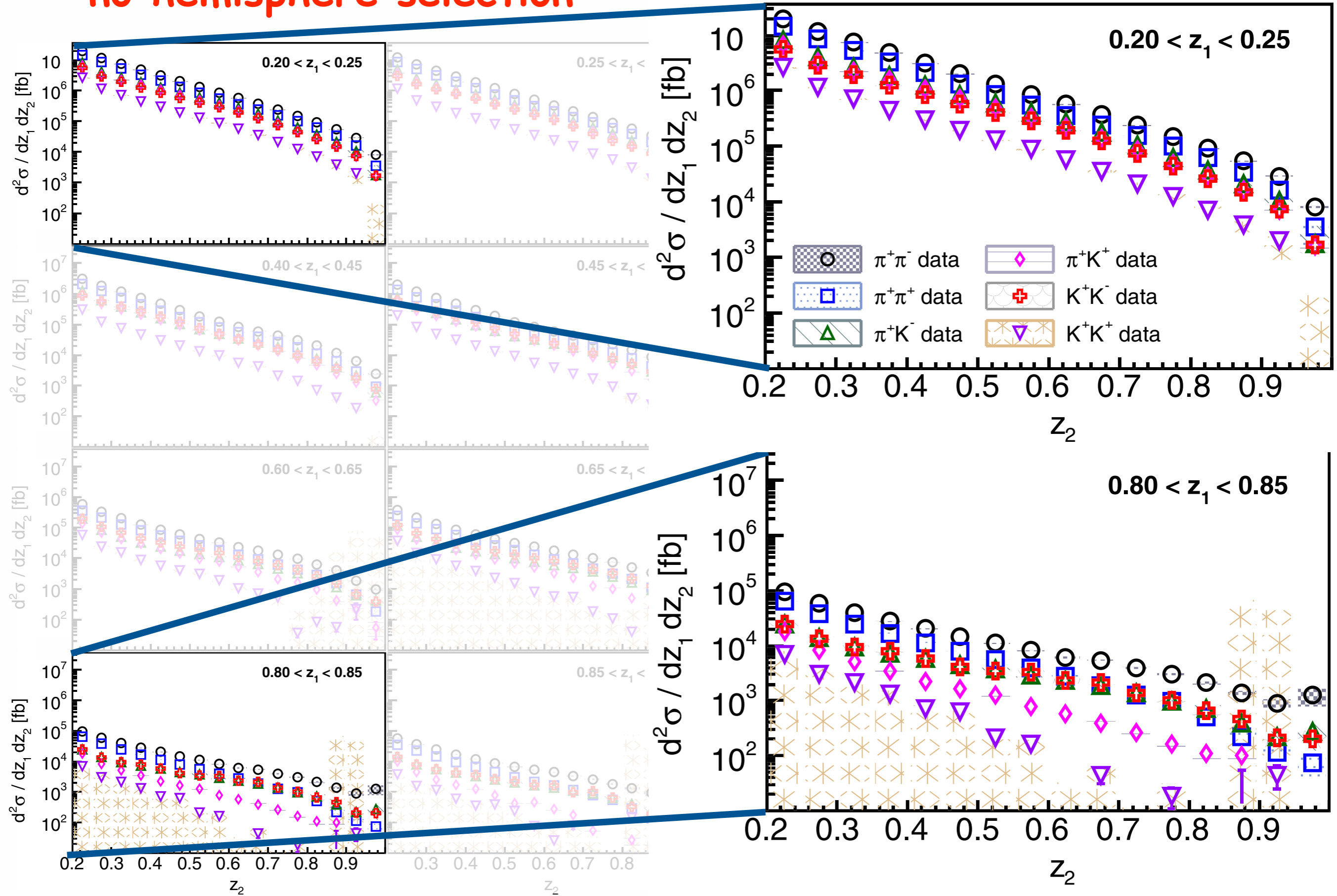
no hemisphere selection

hadron-pair production



hadron-pair production

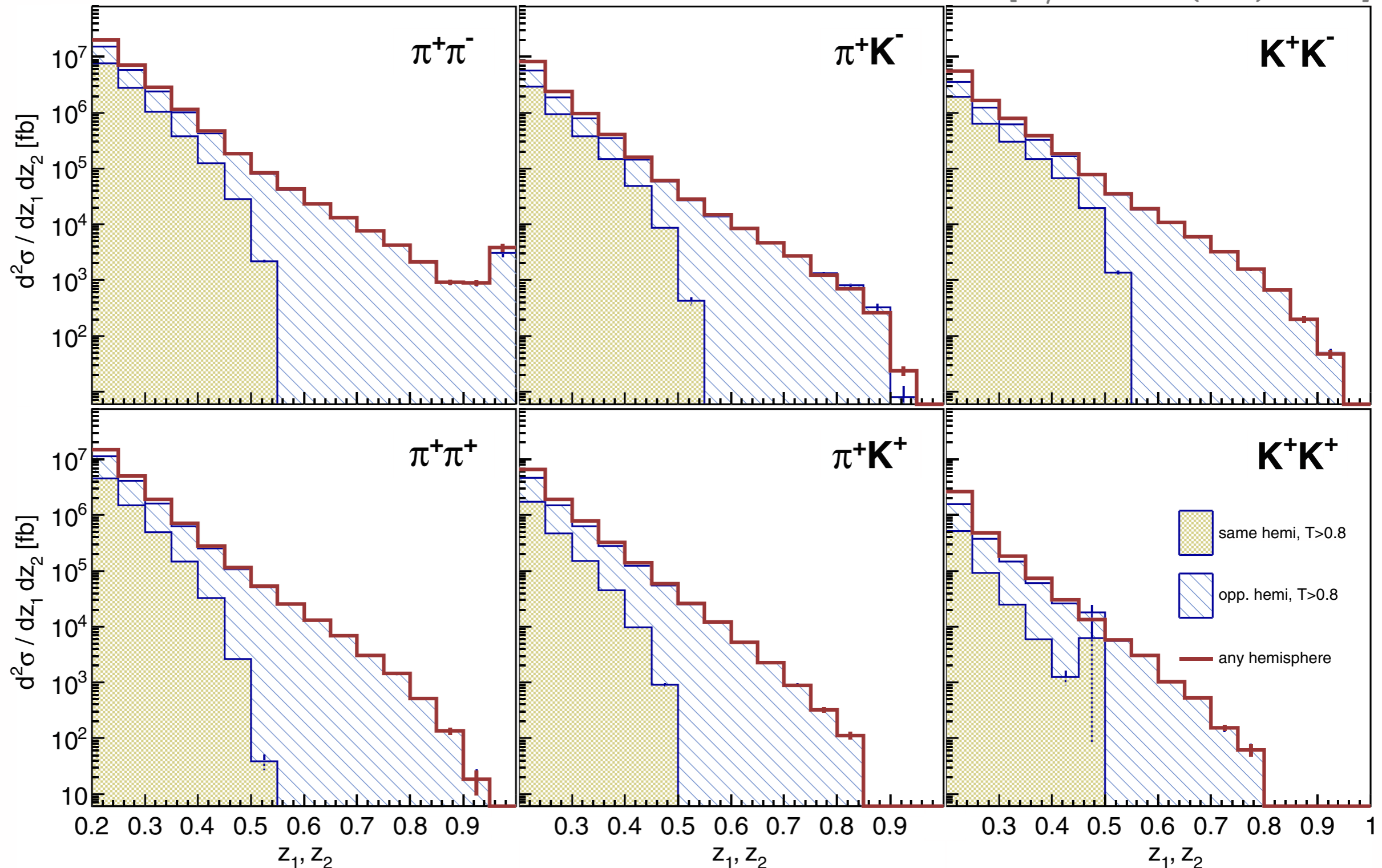
no hemisphere selection



hadron-pairs: topology comparison

- any hemisphere vs. opposite- & same-hemisphere pairs
- same-hemisphere pairs with kinematic limit at $z_1=z_2=0.5$

[Phys. Rev. D92 (2015) 092007]

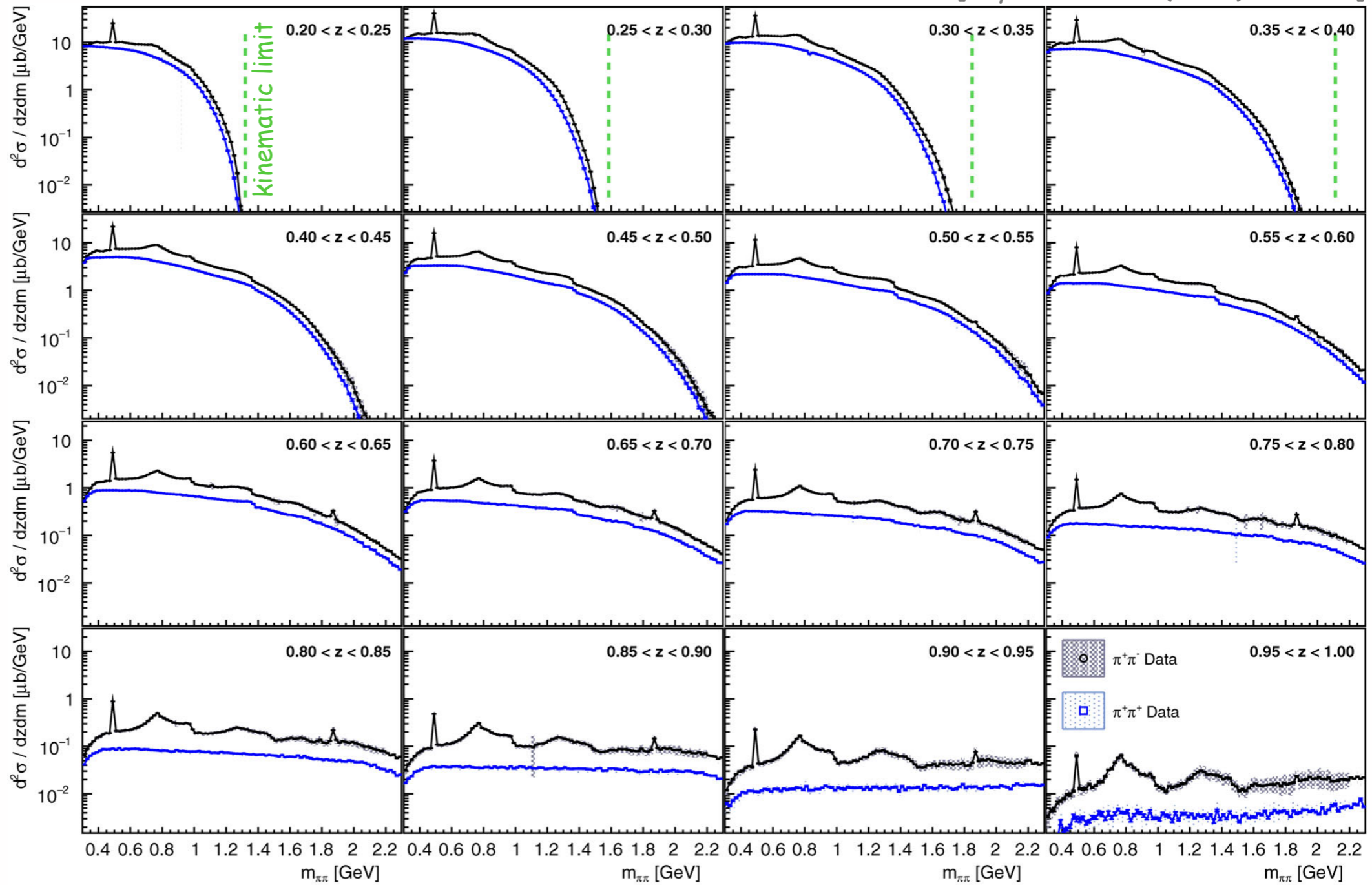
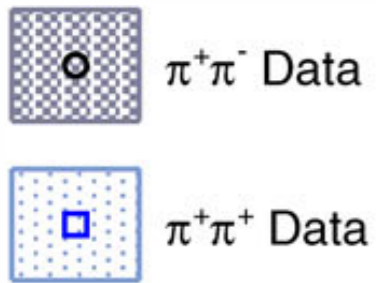


same-hemisphere data: $M_{h_1 h_2}$ dependence

[Phys. Rev. D96 (2017) 032005]

unlike-sign
hadron pairs

like-sign
hadron pairs

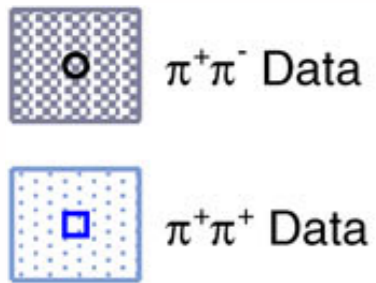


same-hemisphere data: $M_{h_1 h_2}$ dependence

[Phys. Rev. D96 (2017) 032005]

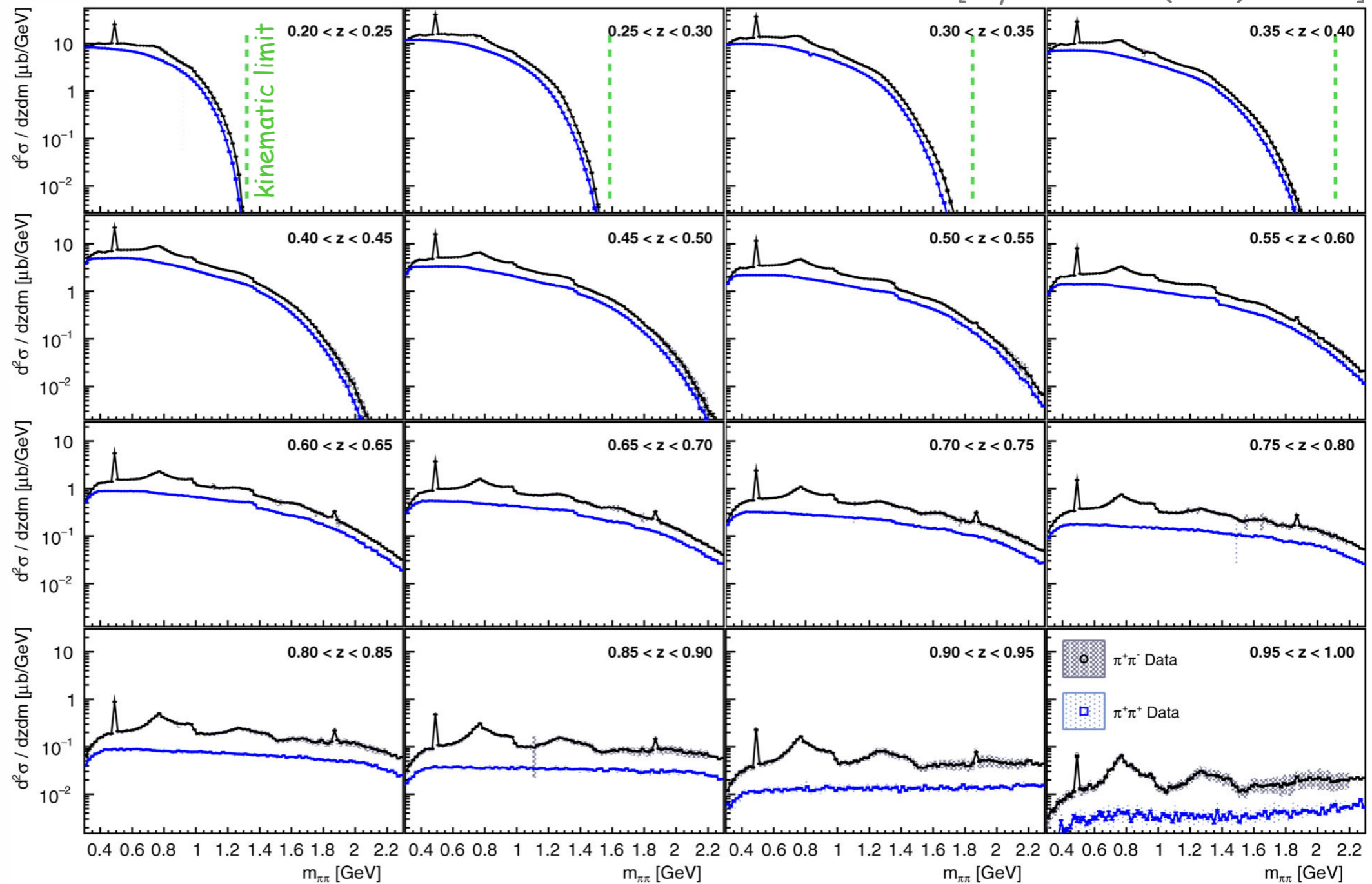
unlike-sign
hadron pairs

like-sign
hadron pairs



$T > 0.8$

$z_{1,2} > 0.1$

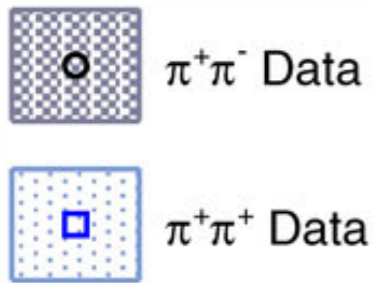


same-hemisphere data: $M_{h_1 h_2}$ dependence

[Phys. Rev. D96 (2017) 032005]

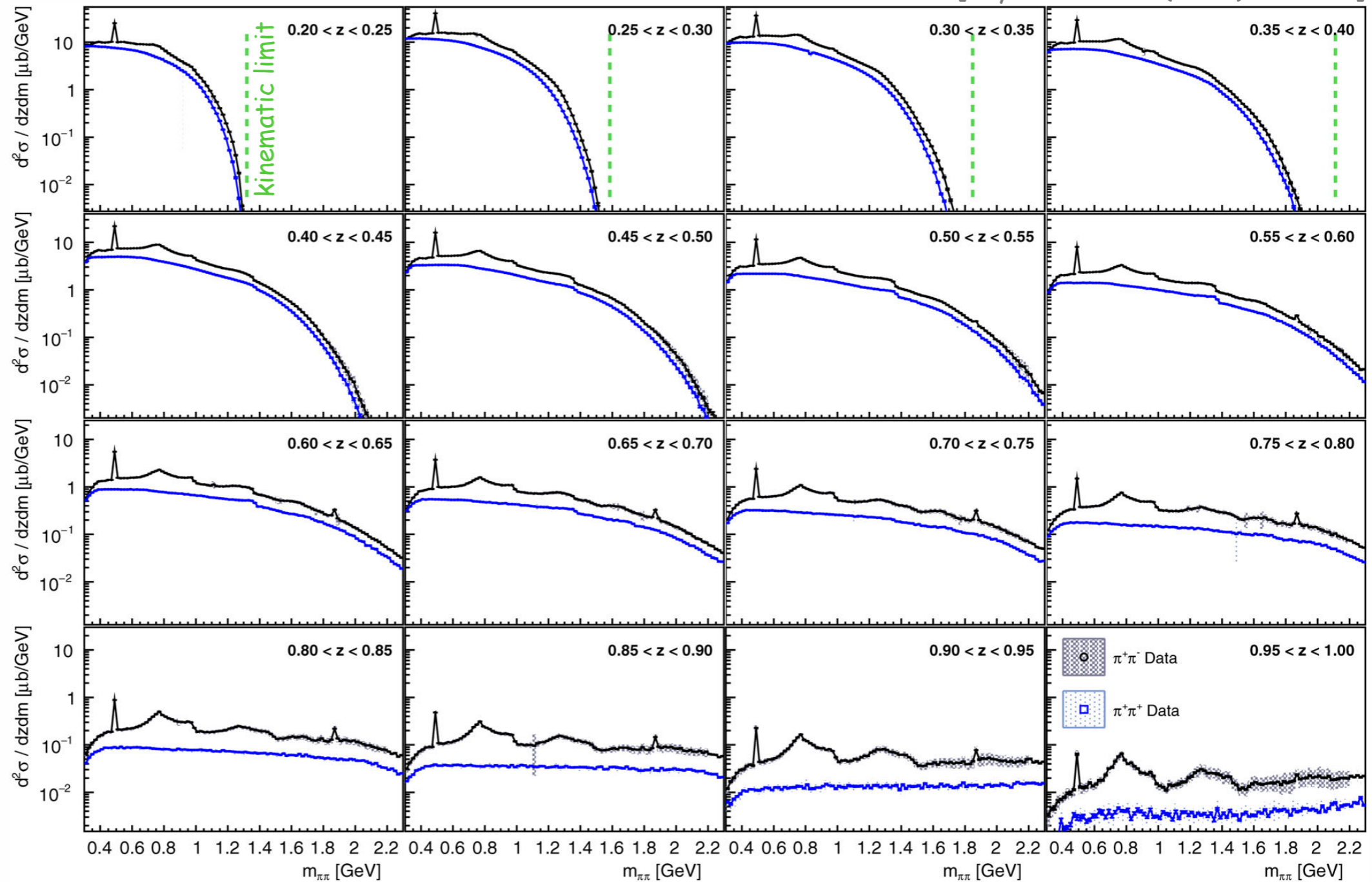
unlike-sign
hadron pairs

like-sign
hadron pairs



$T > 0.8$

$z_{1,2} > 0.1$



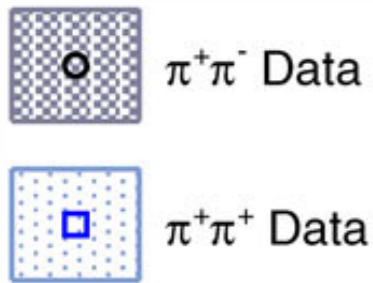
- unlike-sign pairs with clear decay and resonance structure: K_s , ρ^0 ...
- like-sign pairs with much smoother and smaller cross sections

same-hemisphere data: $M_{h_1 h_2}$ dependence

[Phys. Rev. D96 (2017) 032005]

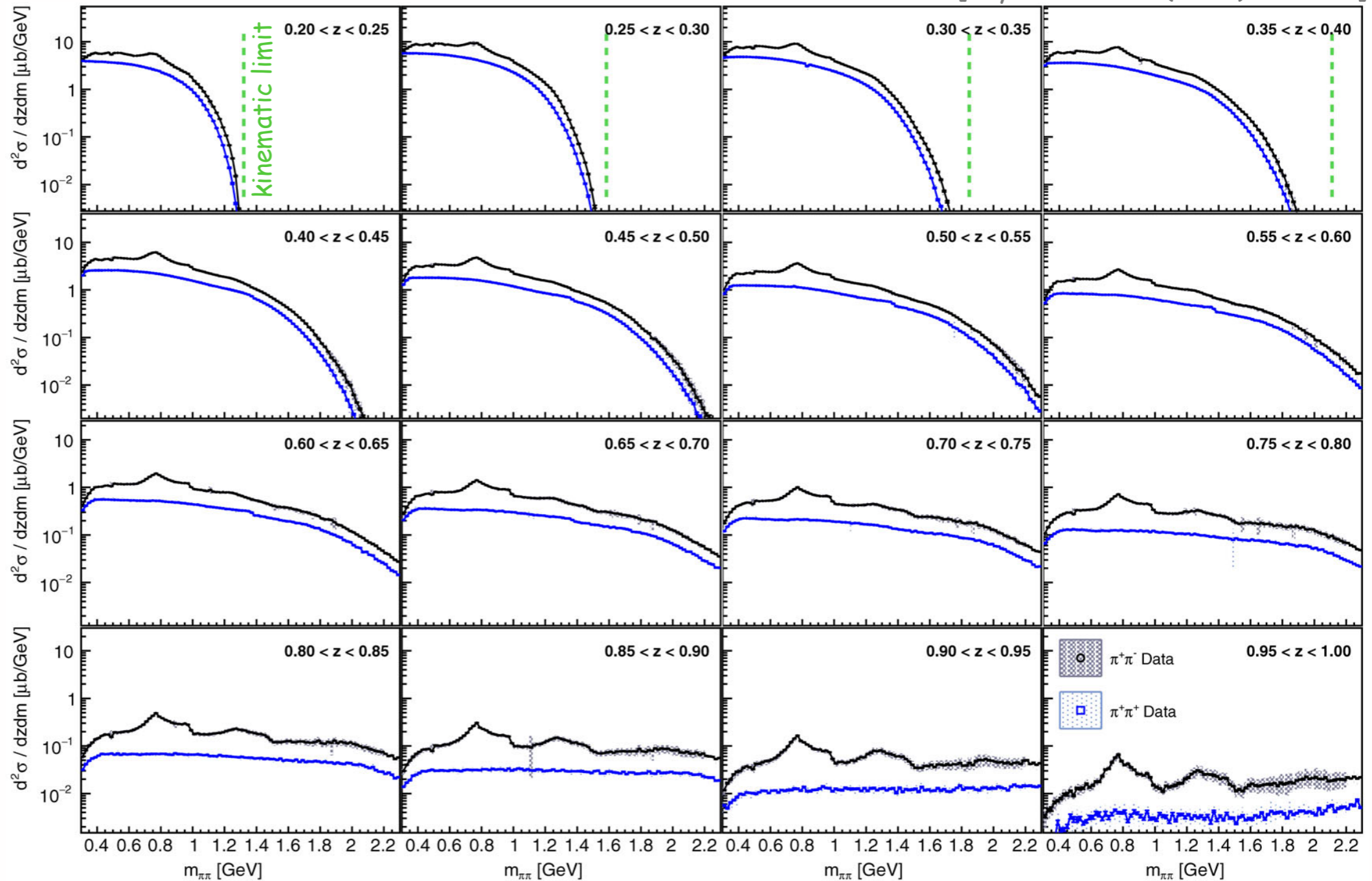
unlike-sign
hadron pairs

like-sign
hadron pairs



$T > 0.8$

$z_{1,2} > 0.1$

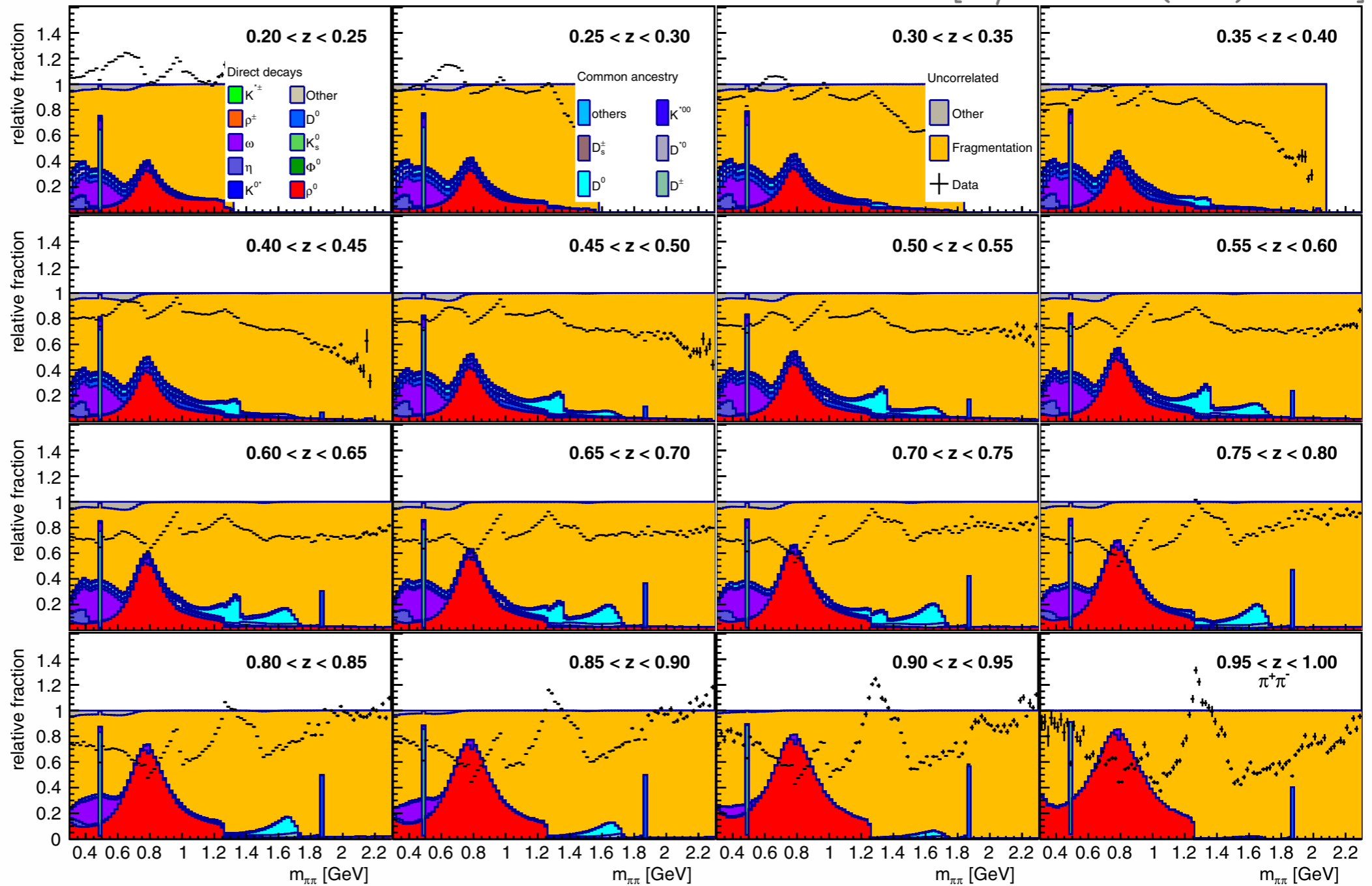


- cross sections after (MC-based) removal of weak-decay contributions
- relies on good description of those channels in PYTHIA

same-hemisphere data: $M_{h_1 h_2}$ dependence

[Phys. Rev. D96 (2017) 032005]

unlike-sign
pion pairs



$T > 0.8$

$z_{1,2} > 0.1$

- decomposition based on PYTHIA simulation
- clear differences in invariant-mass dependence between MC and data

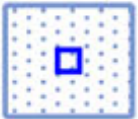
same-hemisphere data: $M_{h_1 h_2}$ dependence

[Phys. Rev. D96 (2017) 032005]

unlike-sign
hadron pairs

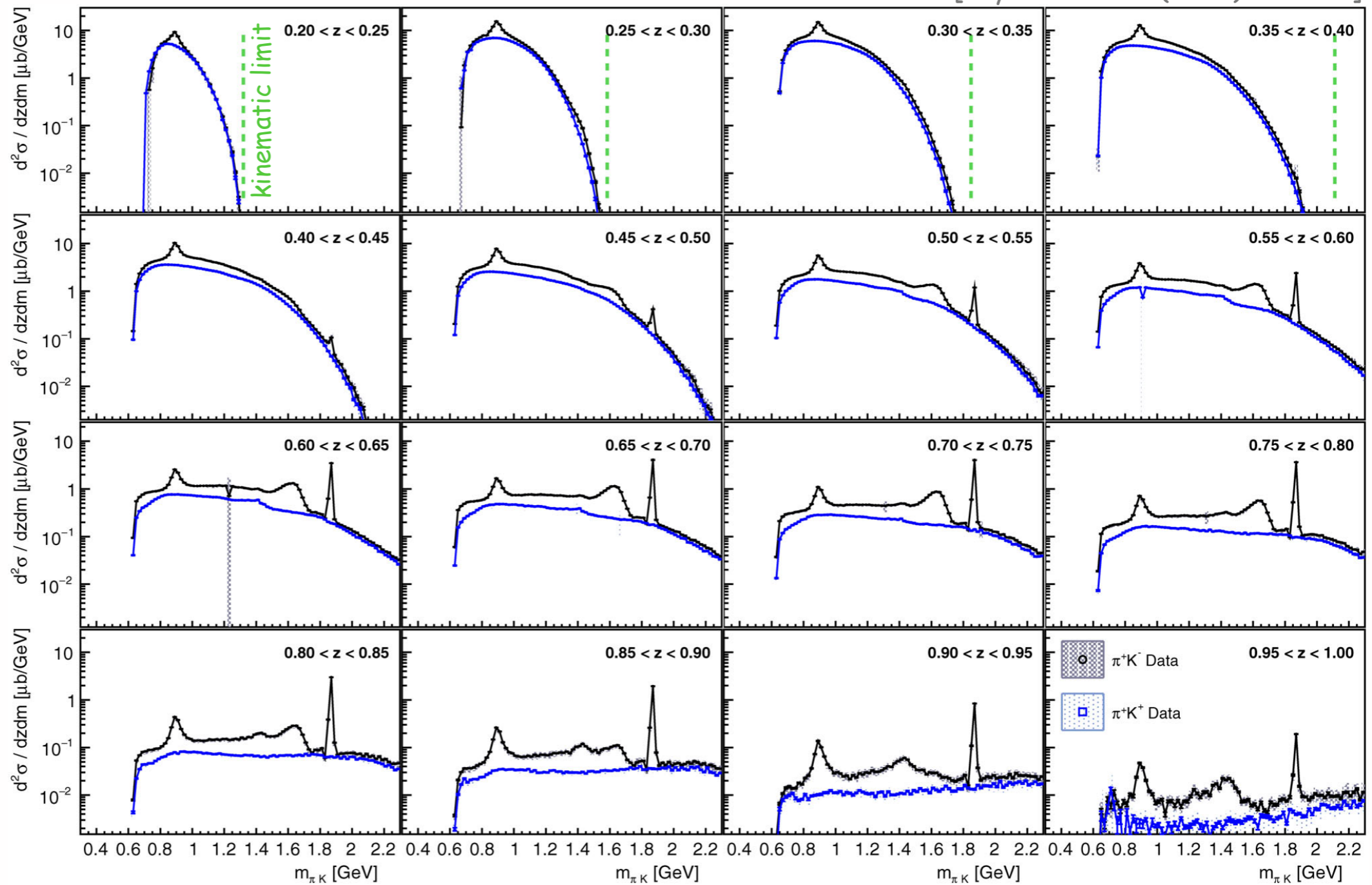
like-sign
hadron pairs

 $\pi^+ K^-$ Data

 $\pi^+ K^+$ Data

$\tau > 0.8$

$z_{1,2} > 0.1$



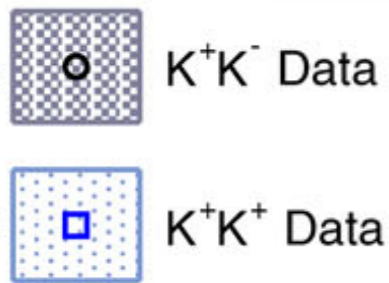
● unlike-sign πK pairs with clear K^* and increased D-decay contributions

same-hemisphere data: $M_{h_1 h_2}$ dependence

[Phys. Rev. D96 (2017) 032005]

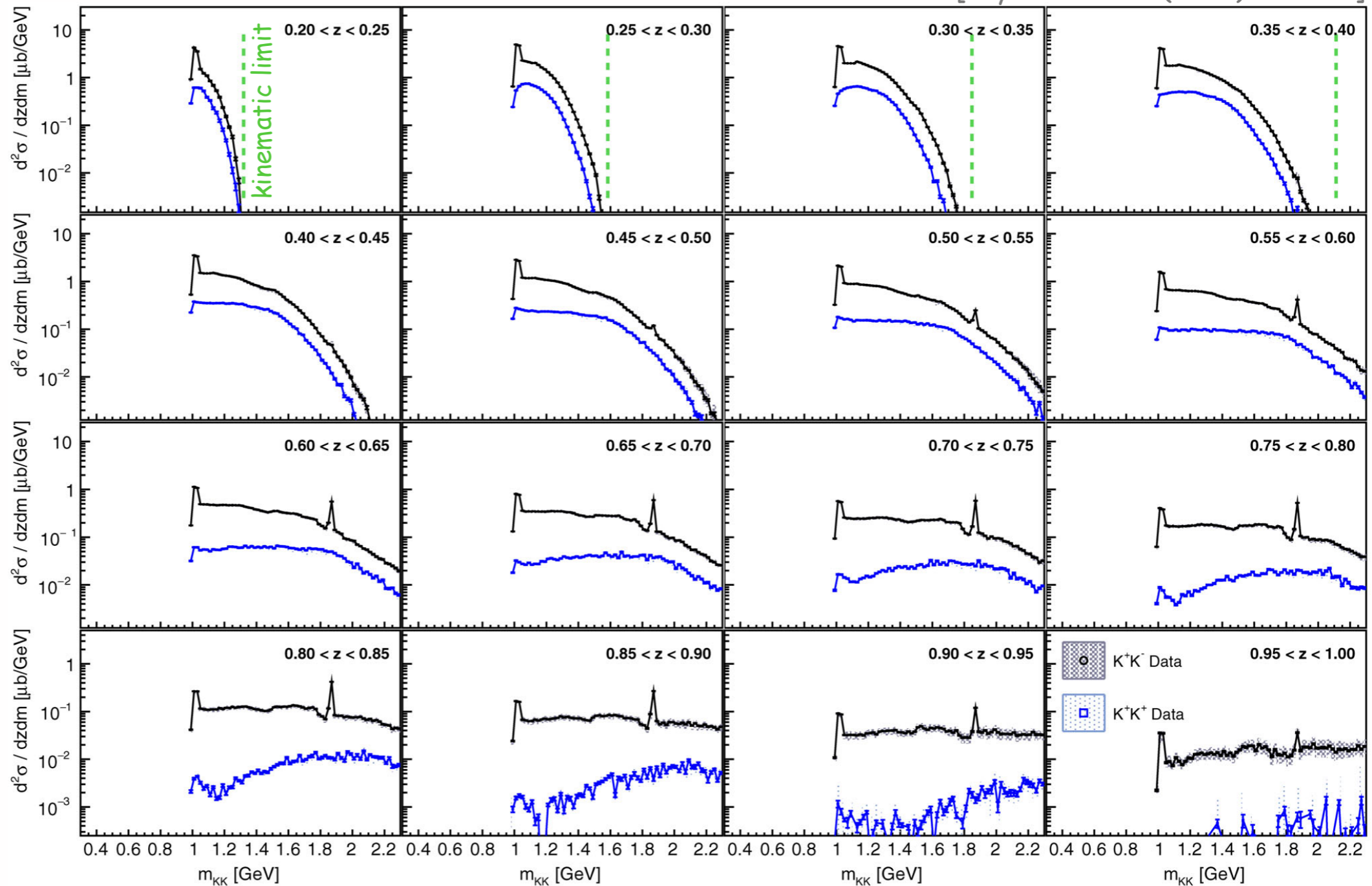
unlike-sign
hadron pairs

like-sign
hadron pairs



$T > 0.8$

$z_{1,2} > 0.1$



- unlike-sign kaon pairs with (again) a decay structure (e.g. ϕ and D)
- like-sign kaon pairs strongly suppressed at larger z

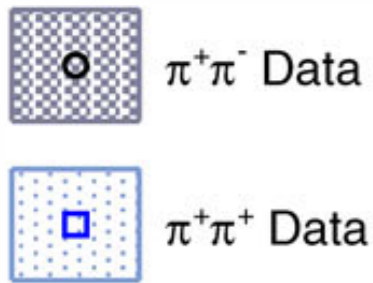
some more details

same-hemisphere data: $M_{h_1 h_2}$ dependence

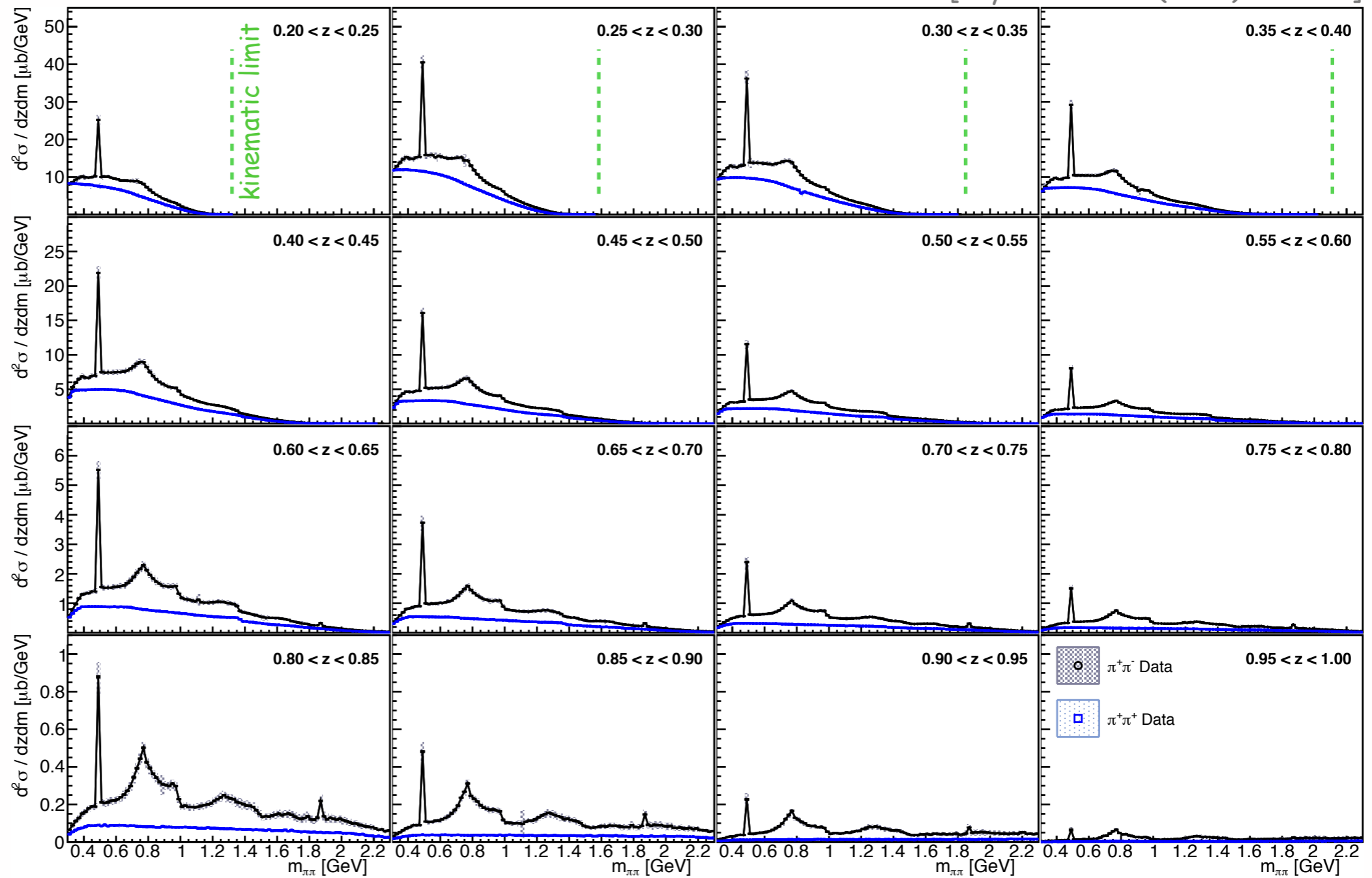
[Phys. Rev. D96 (2017) 032005]

unlike-sign
hadron pairs

like-sign
hadron pairs



$T > 0.8$
 $z_{1,2} > 0.1$

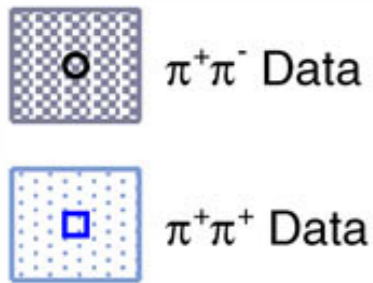


same-hemisphere data: $M_{h_1 h_2}$ dependence

[Phys. Rev. D96 (2017) 032005]

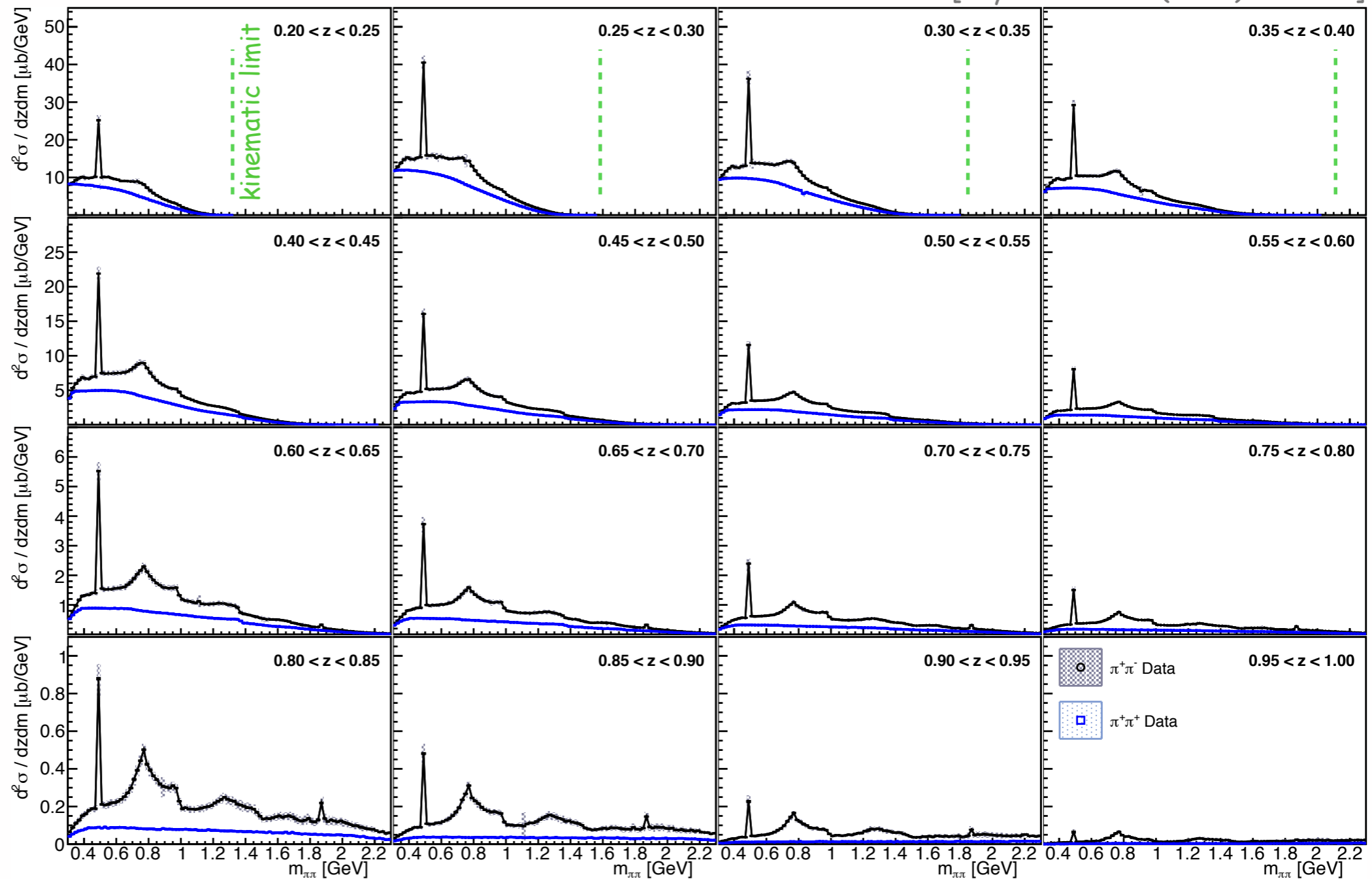
unlike-sign
hadron pairs

like-sign
hadron pairs



$T > 0.8$

$z_{1,2} > 0.1$



● **thrust** very useful experimentally to suppress BG and to define hemispheres

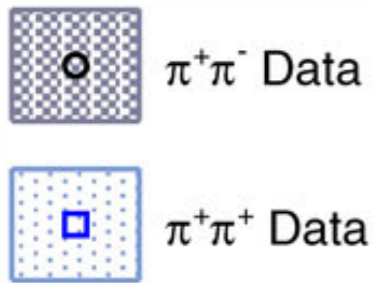
● potentially difficult to incorporate in phenomenology (unlike thrust axis?)

same-hemisphere data: $M_{h_1 h_2}$ dependence

[Phys. Rev. D96 (2017) 032005]

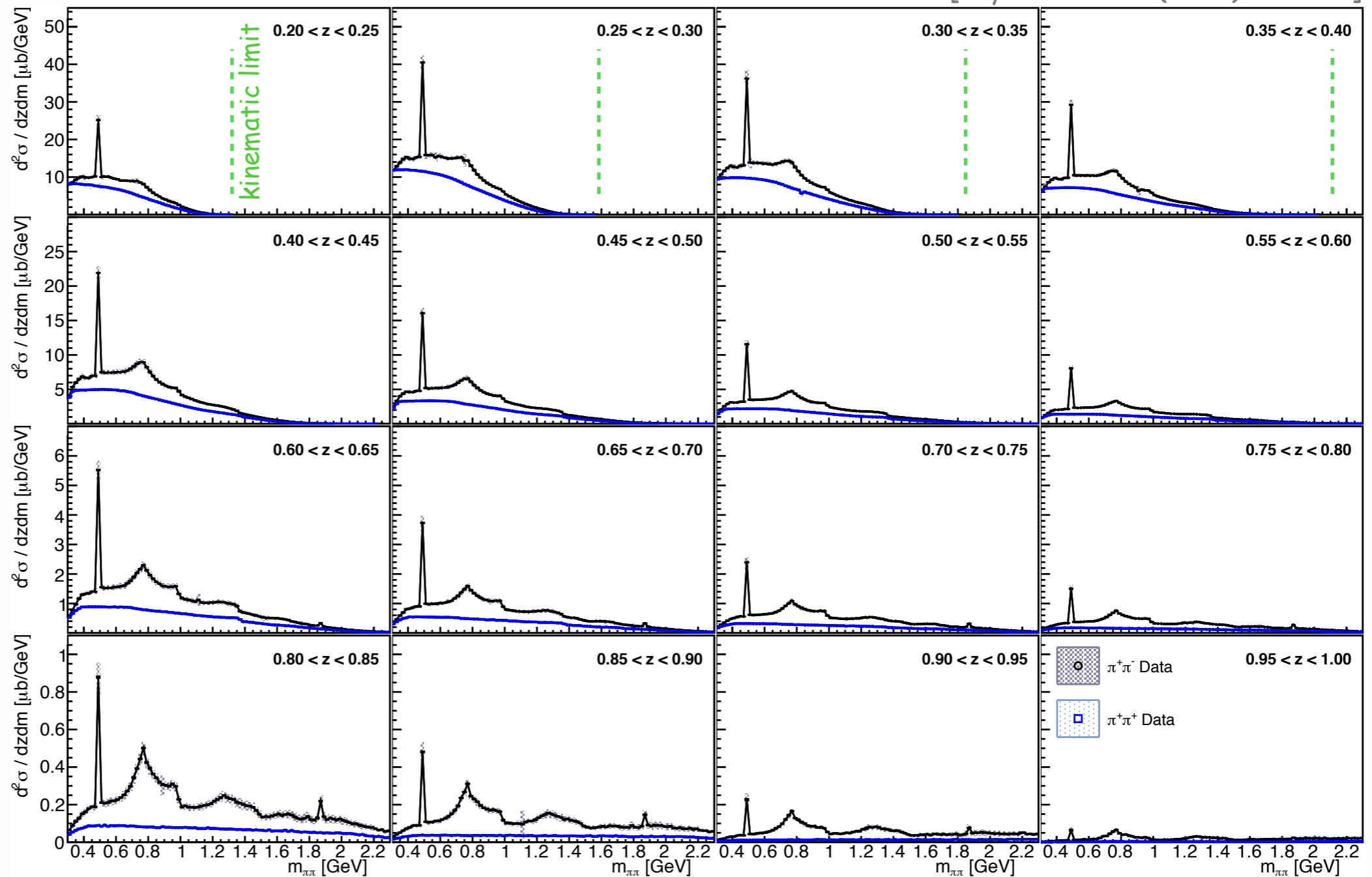
unlike-sign
hadron pairs

like-sign
hadron pairs



$T > 0.8$

$z_{1,2} > 0.1$



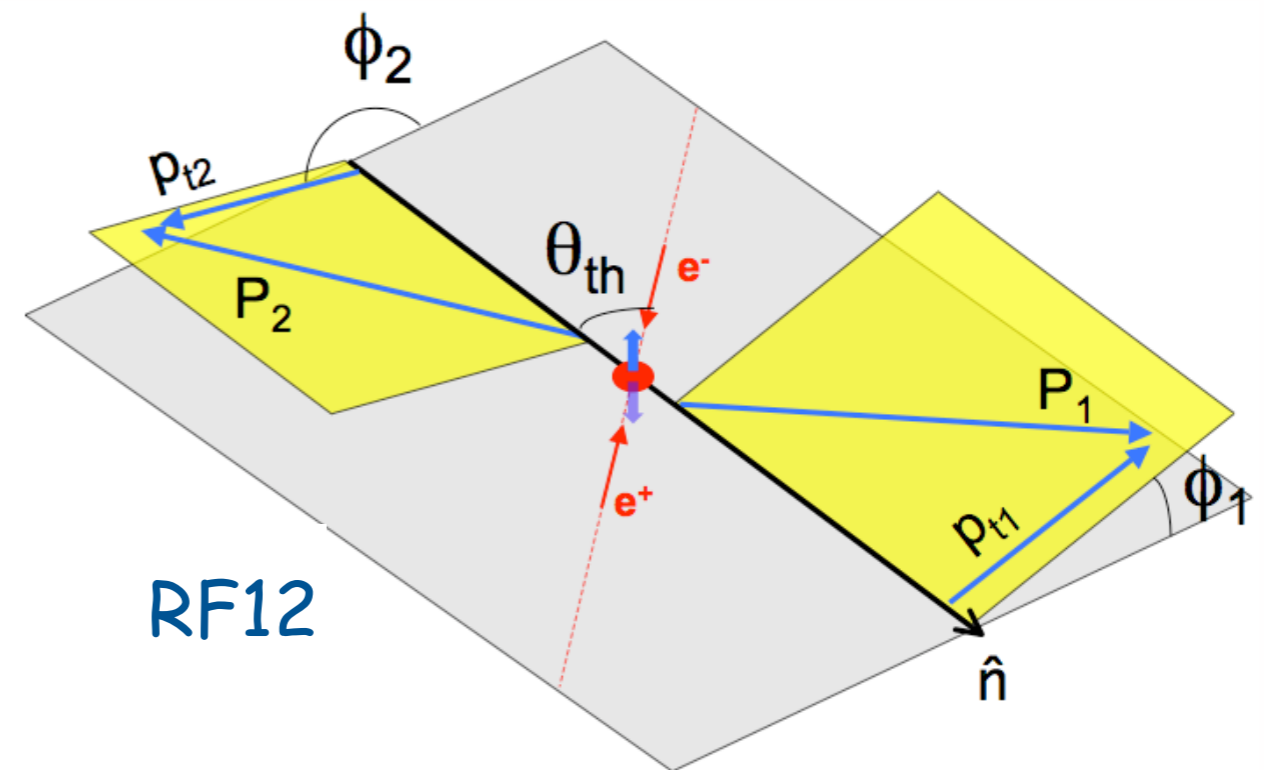
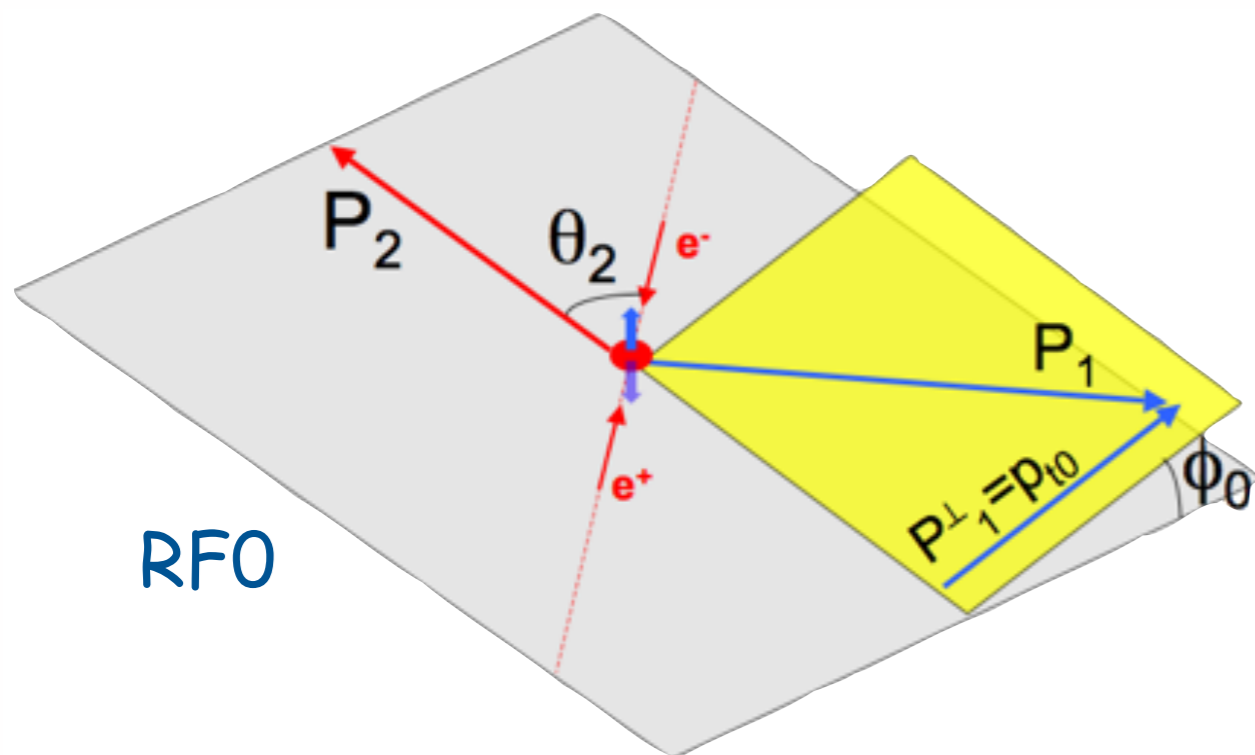
- experimental constraints on **individual z** restricts phase space of hadron pairs, however, not easy to avoid (detection requirements!)

- among others leads to mixing of partial-wave contributions [GS, QCDE'17]

polarization

hadron pairs: angular correlations

- angular correlations between nearly back-to-back hadrons used to tag transverse quark polarization \rightarrow Collins fragmentation functions
- RFO: one hadron as reference axis $\rightarrow \cos(2\phi_0)$ modulation
- RF12: thrust (or similar) axis $\rightarrow \cos(\phi_1 + \phi_2)$ modulation

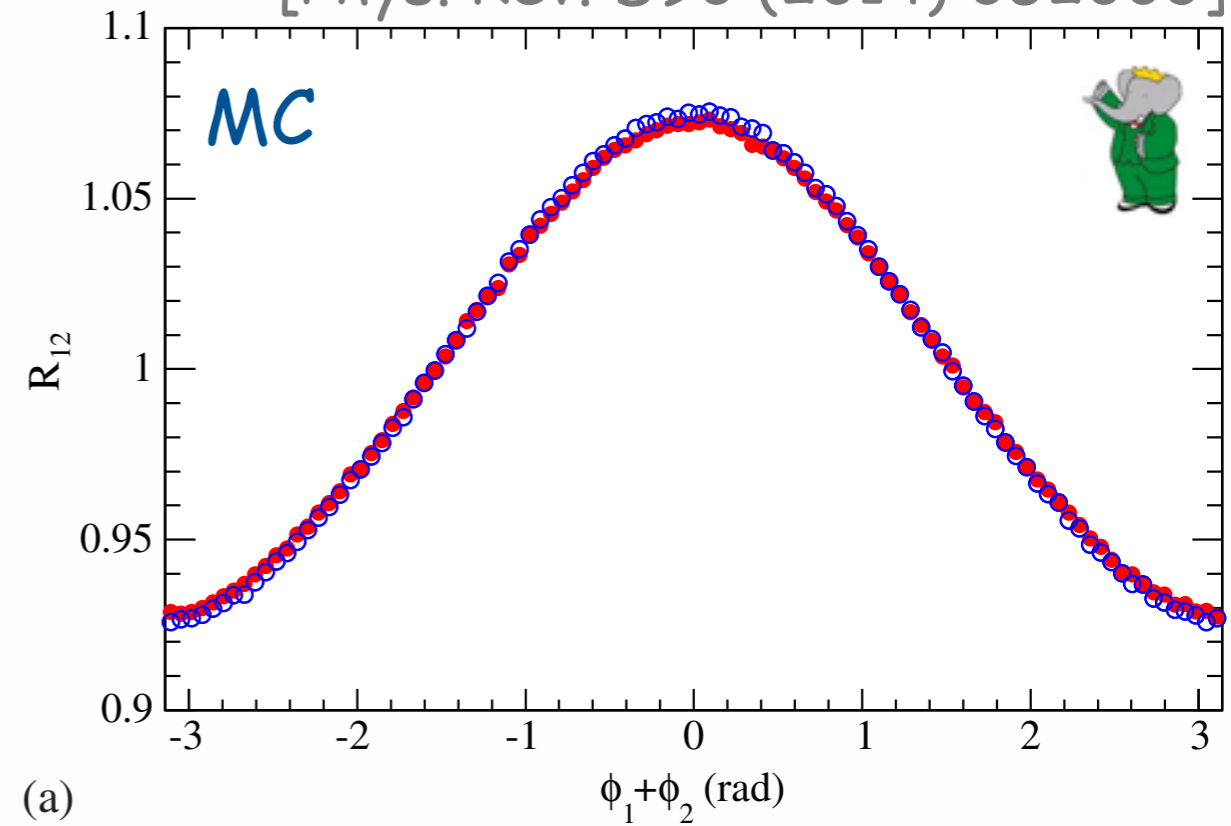


- RFO and RF12: different convolutions over transverse momenta
- debatable: MC used to "correct" thrust axis to $q\bar{q}$ axis

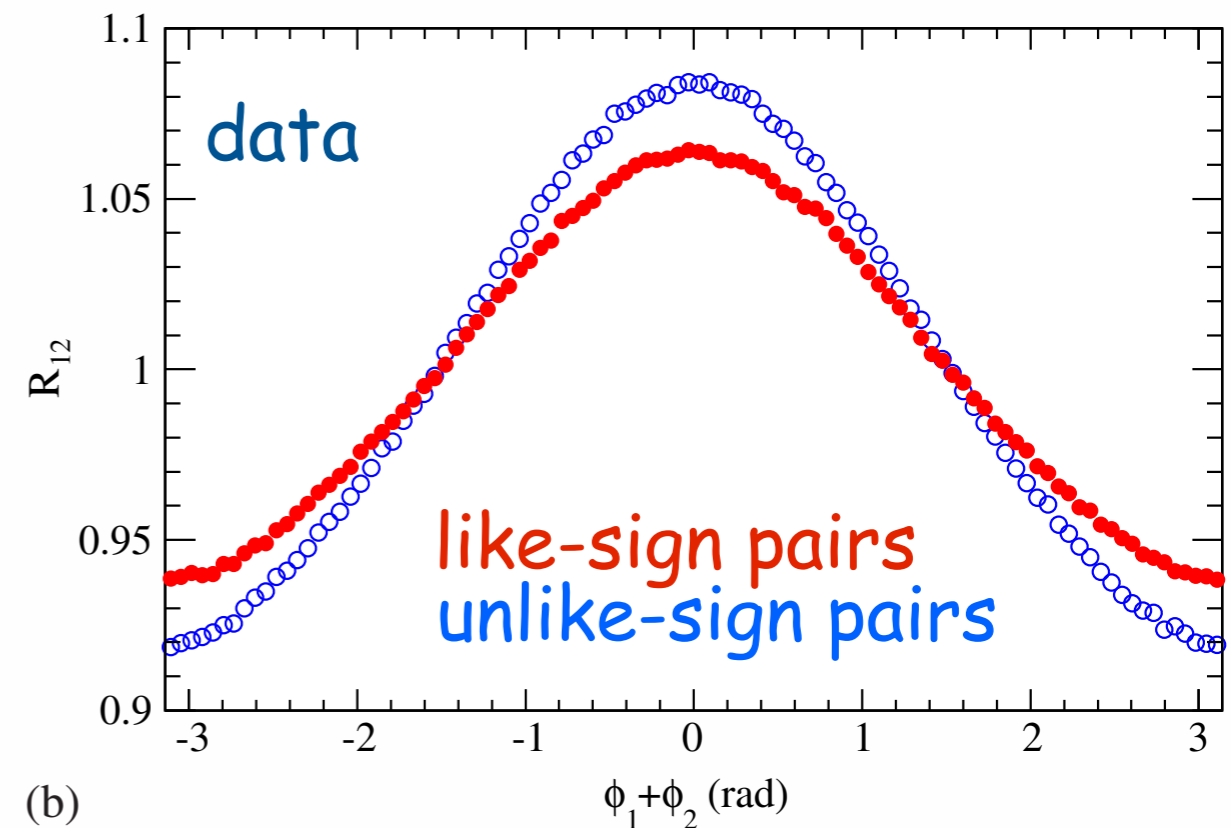
hadron pairs: angular correlations

- challenge: large modulations even without Collins effect (e.g., MC)

[Phys. Rev. D90 (2014) 052003]



(a)



(b)

hadron pairs: angular correlations

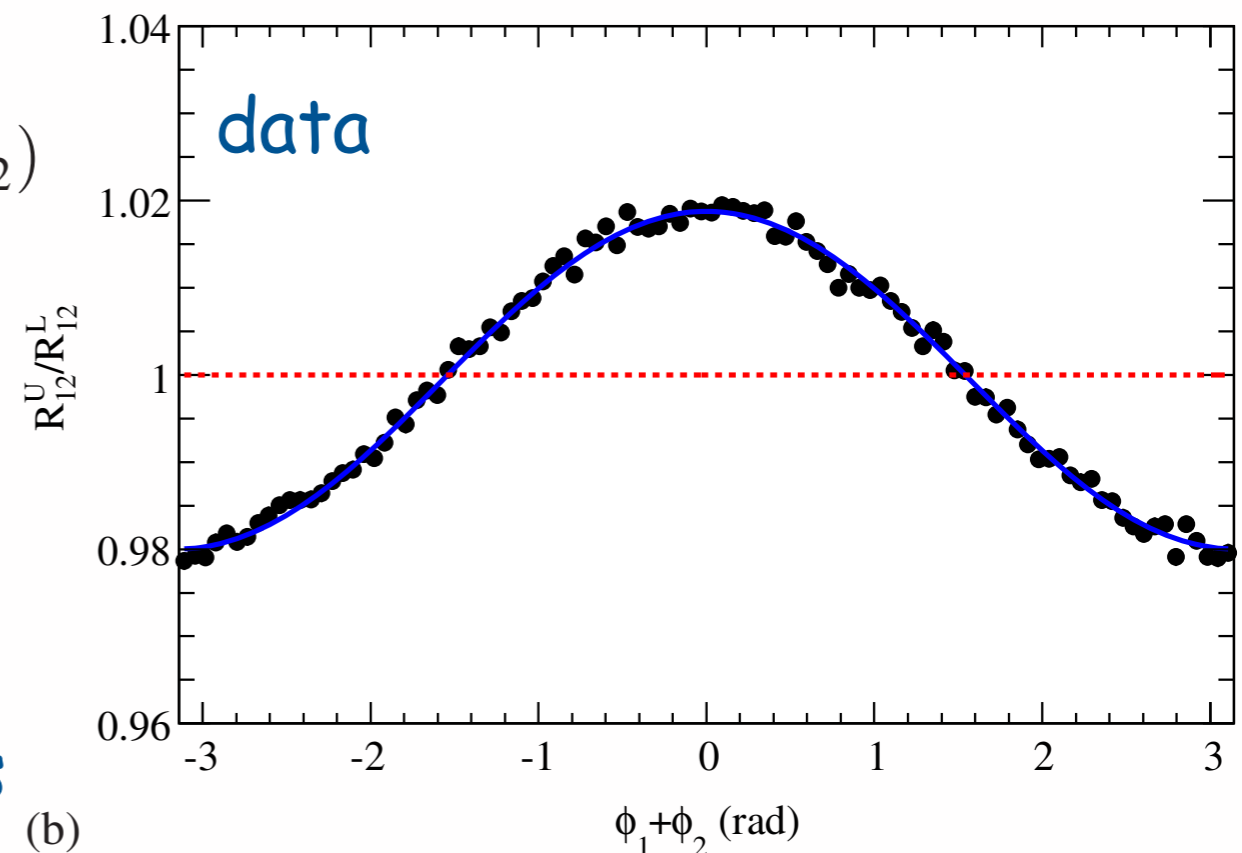
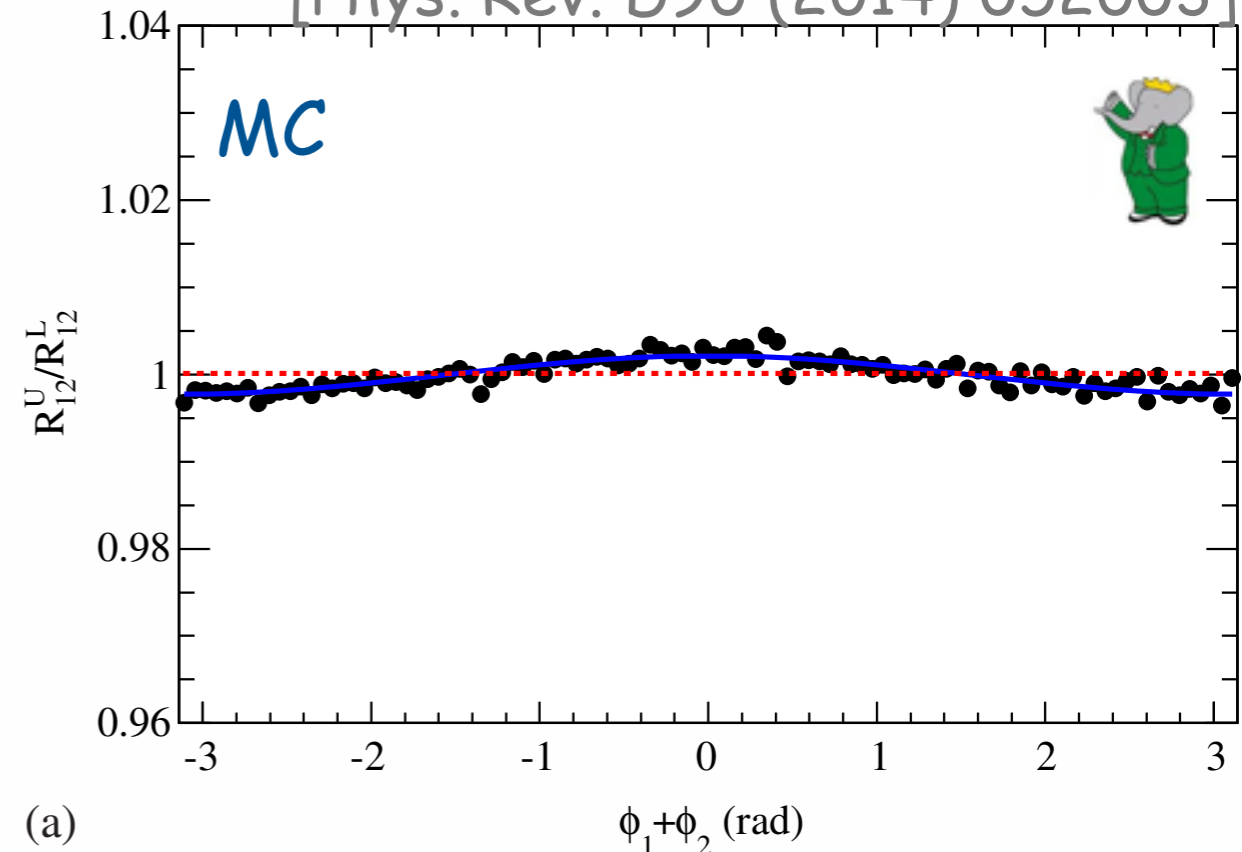
- challenge: large modulations even without Collins effect (e.g., MC)
- construct double ratio of normalized-yield distributions R_{12} , e.g. unlike-/like-sign:

$$\frac{R_{12}^U}{R_{12}^L} \simeq \frac{1 + \left\langle \frac{\sin^2 \theta_{\text{th}}}{1 + \cos^2 \theta_{\text{th}}} \right\rangle G^U \cos(\phi_1 + \phi_2)}{1 + \left\langle \frac{\sin^2 \theta_{\text{th}}}{1 + \cos^2 \theta_{\text{th}}} \right\rangle G^L \cos(\phi_1 + \phi_2)}$$

$$\simeq 1 + \left\langle \frac{\sin^2 \theta_{\text{th}}}{1 + \cos^2 \theta_{\text{th}}} \right\rangle \{G^U - G^L\} \cos(\phi_1 + \phi_2)$$

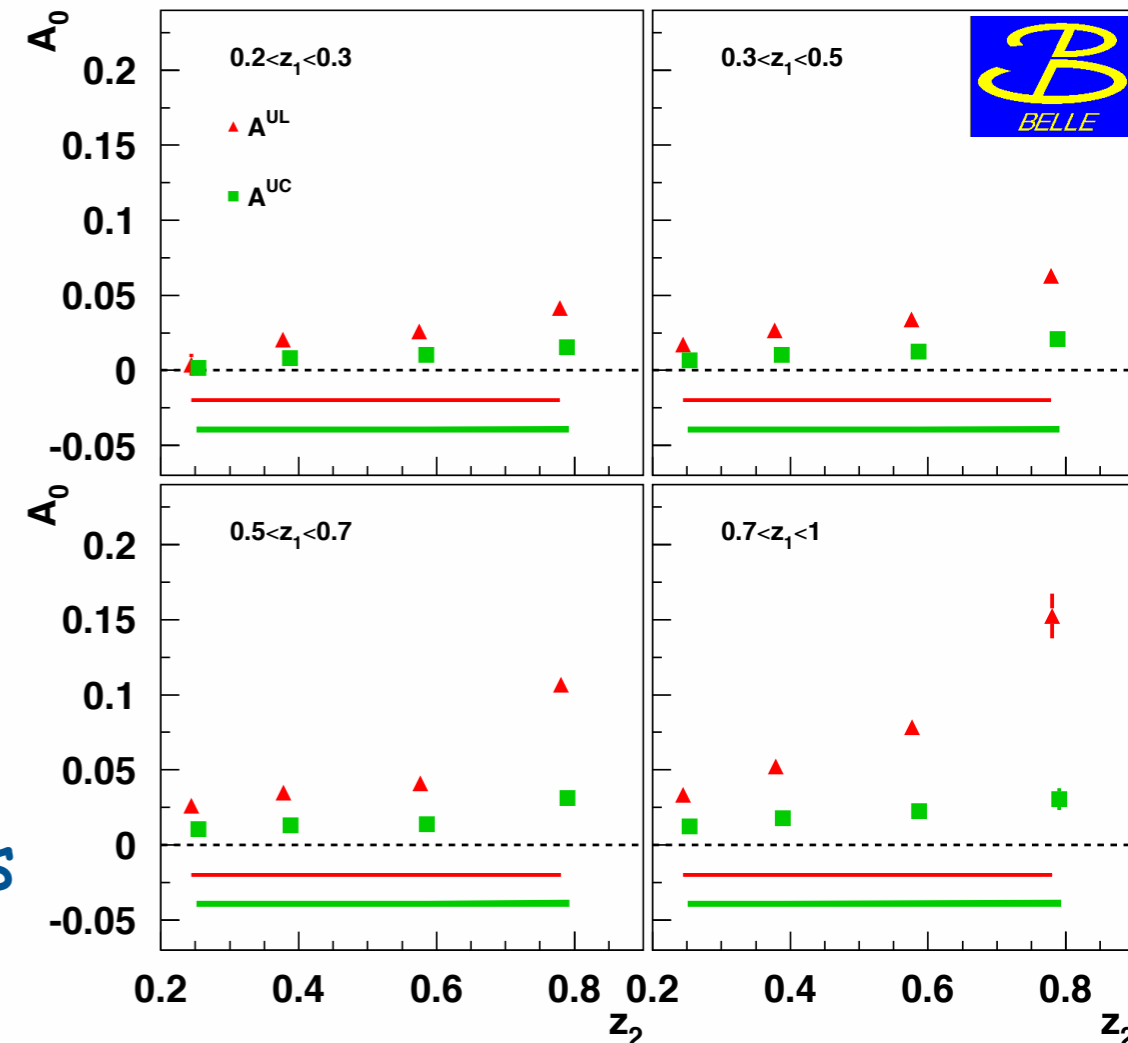
- suppresses flavor-independent sources of modulations
- $G^{U/L}$ specific combinations of FFs
- remaining MC asym.'s: systematics

[Phys. Rev. D90 (2014) 052003]



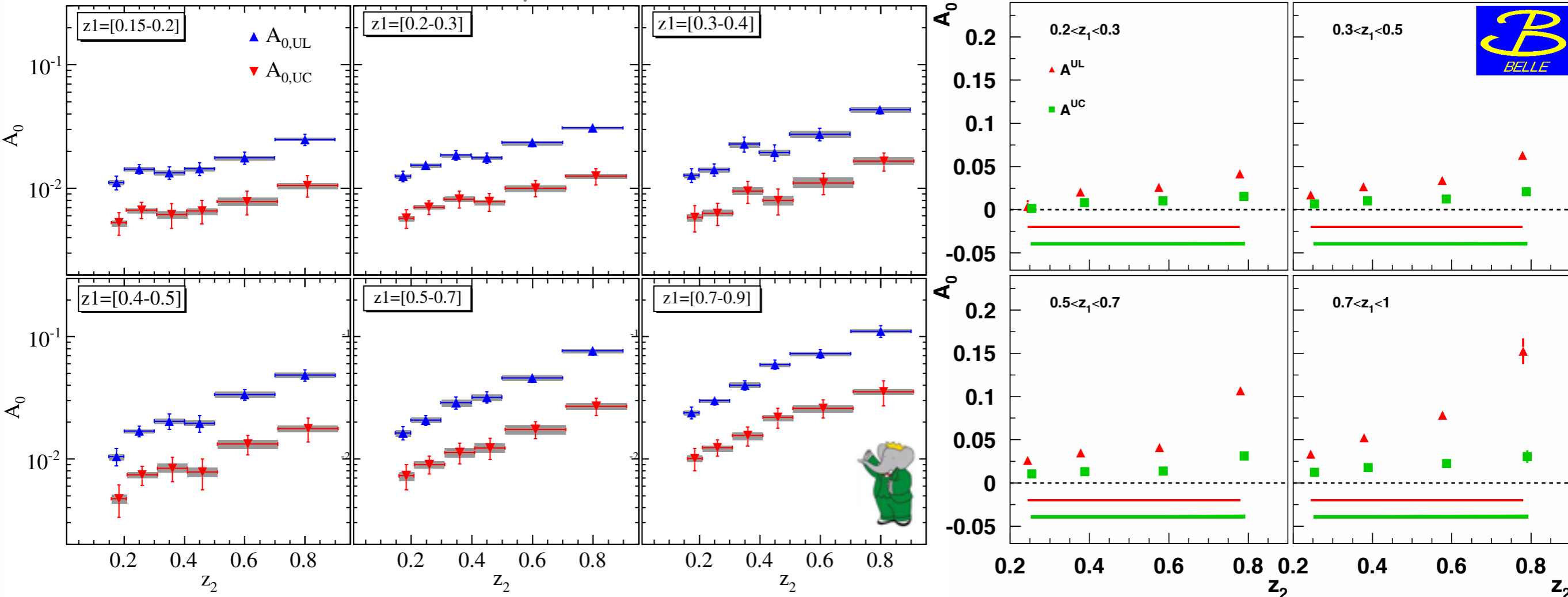
Collins asymmetries (RF0)

- first measurement of Collins asymmetries by Belle [PRL 96 (2006) 232002, PRD 78 (2008) 032011, PRD 86 (2012) 039905(E)]
- significant asymmetries rising with z
- used for first transversity and Collins FF extractions



Collins asymmetries (RFO)

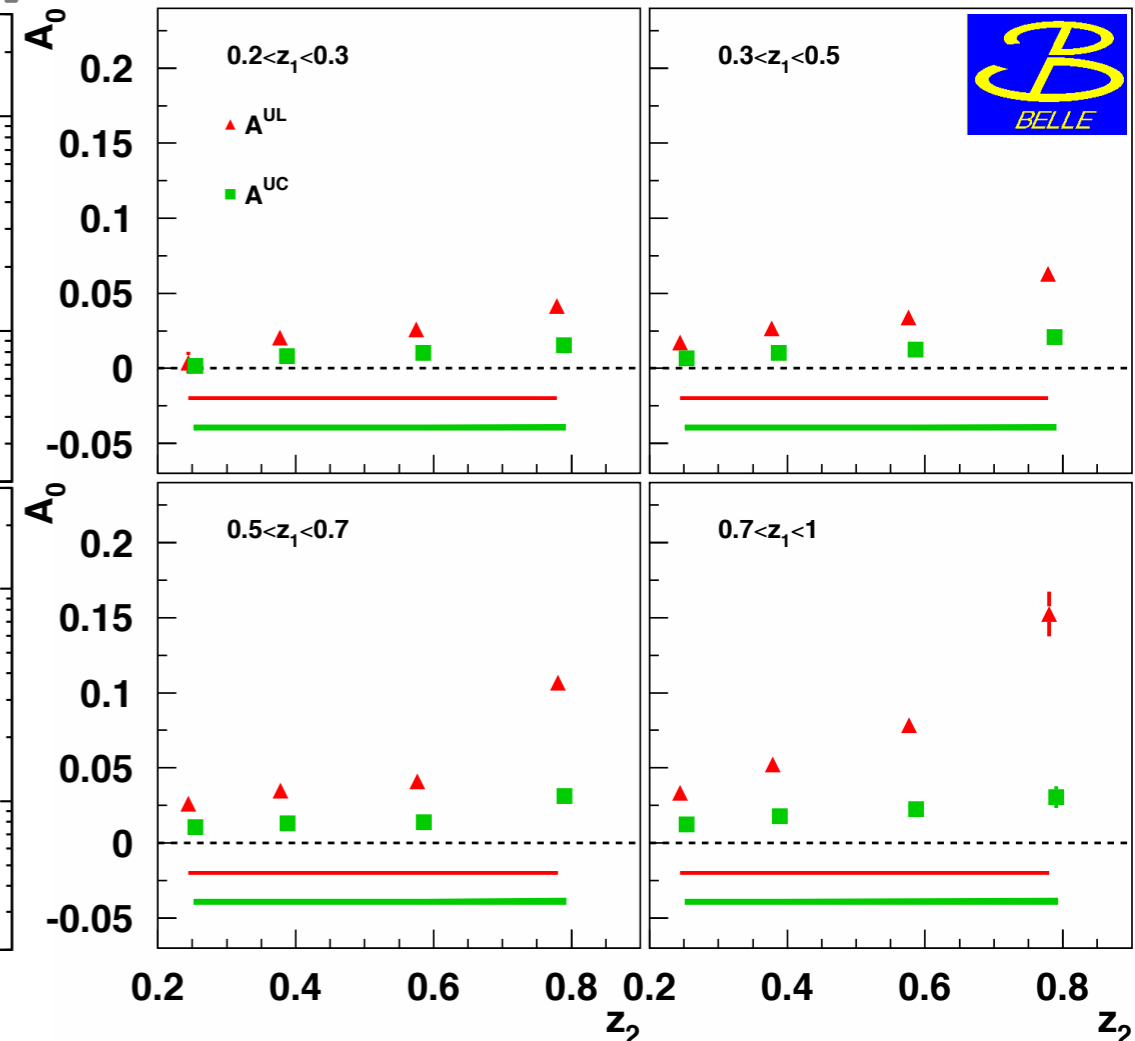
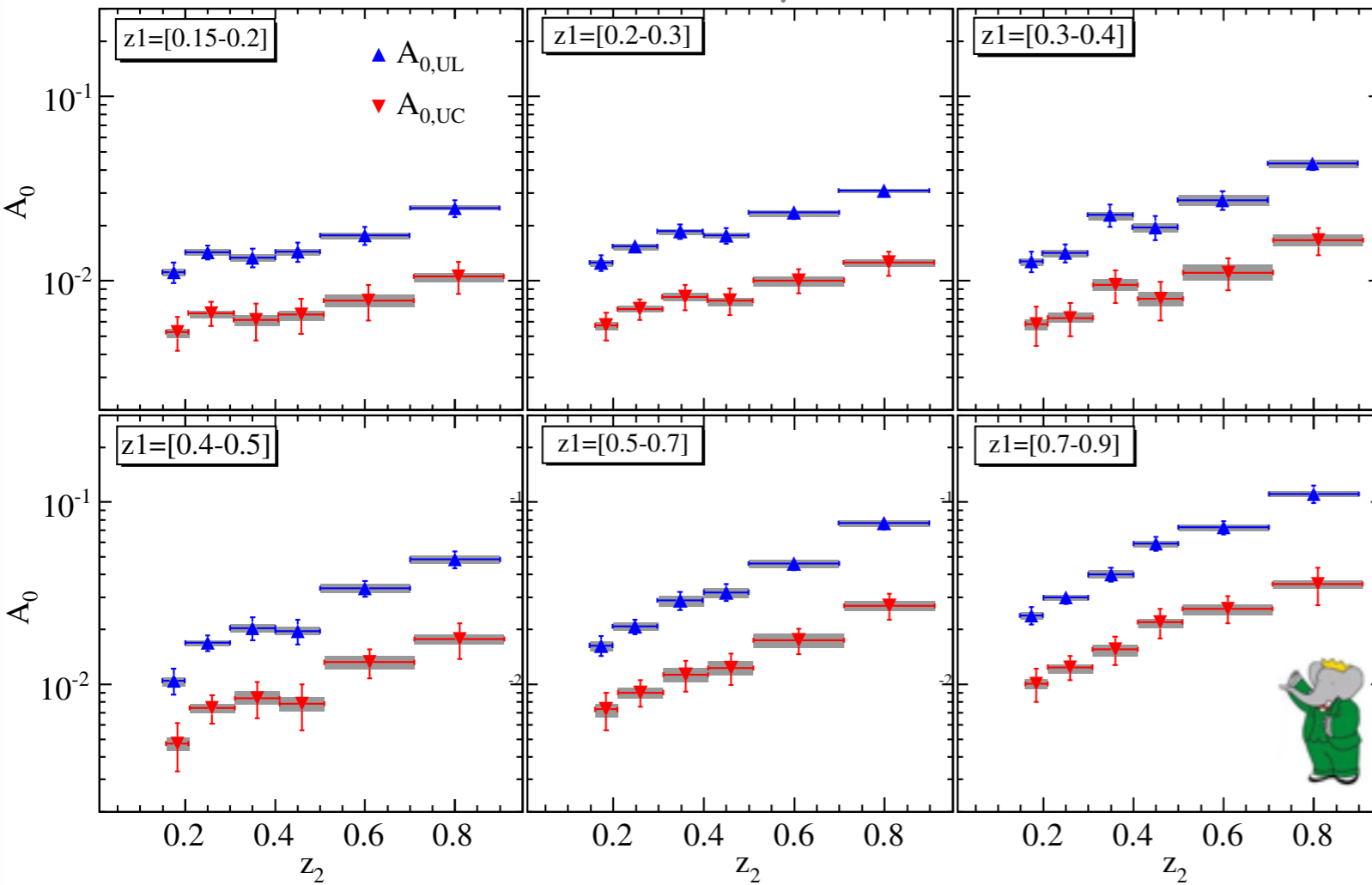
[Phys. Rev. D90 (2014) 052003]



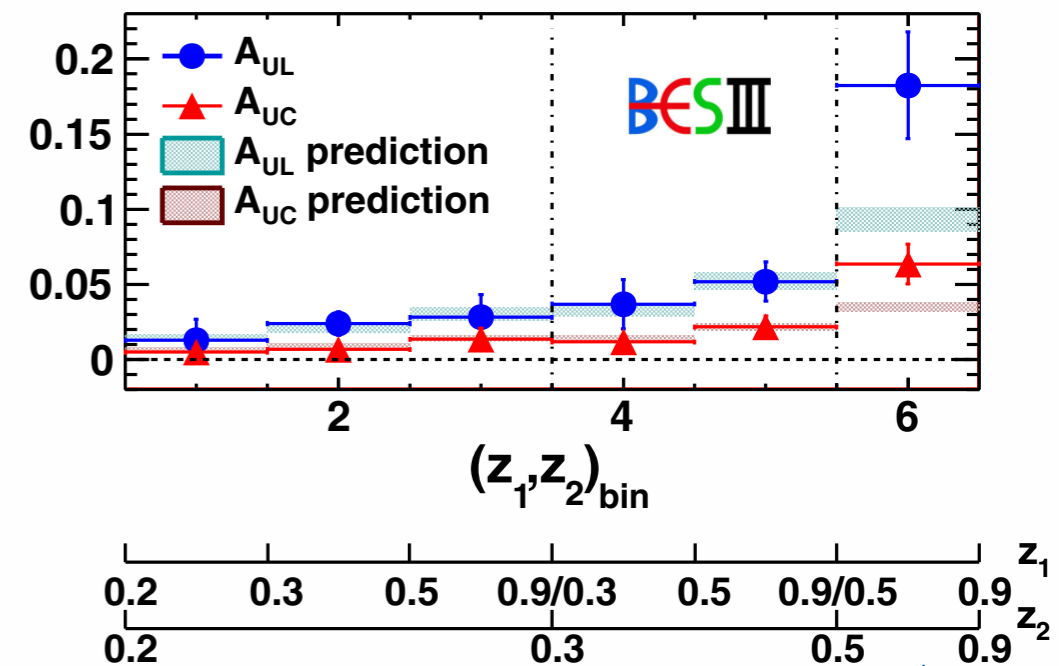
- BaBar results [PRD 90 (2014) 052003] consistent with Belle

Collins asymmetries (RFO)

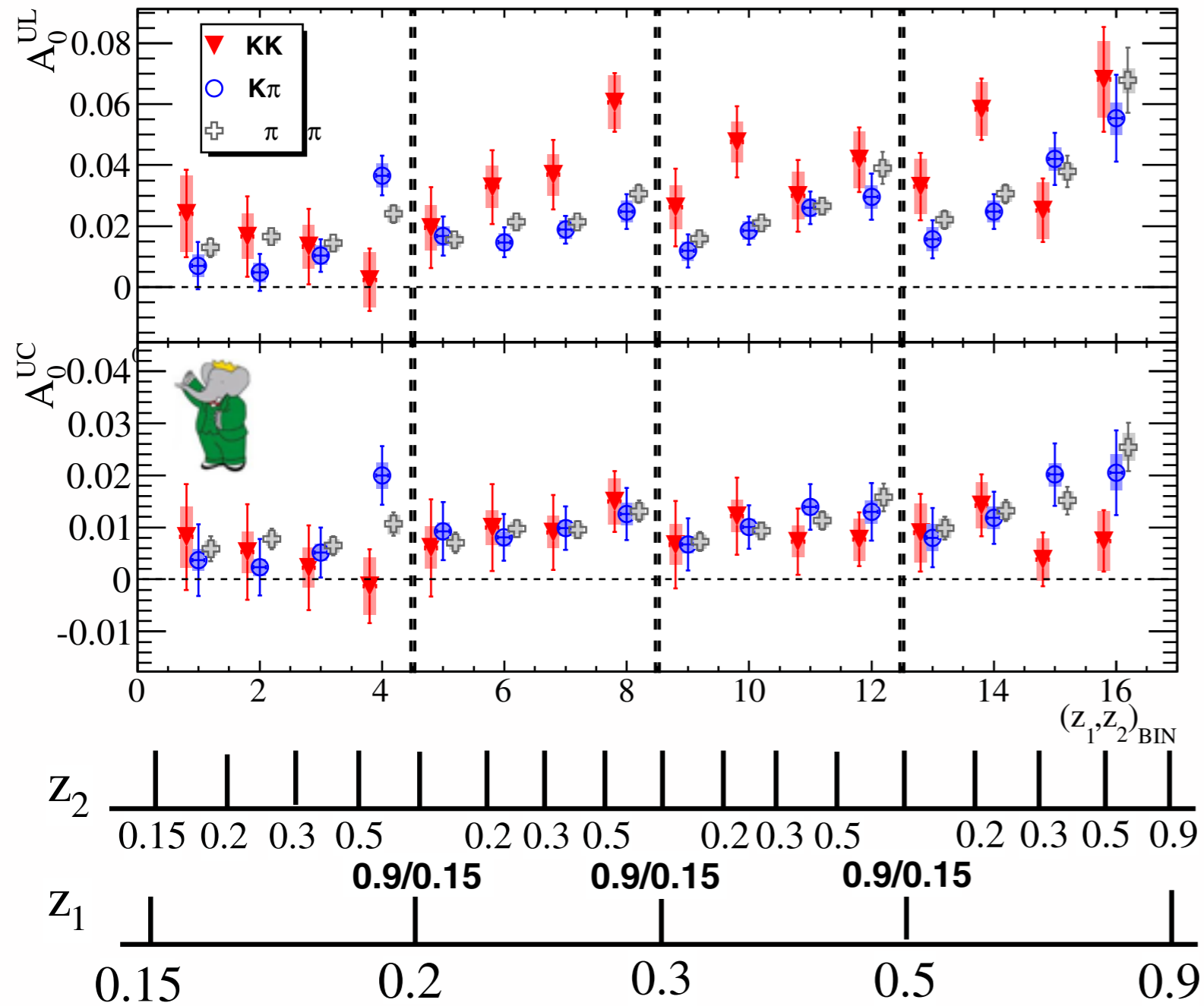
[Phys. Rev. D90 (2014) 052003]



- BaBar results [PRD 90 (2014) 052003] consistent with Belle
- BESIII [PRL 116 (2016) 042001] (at smaller s) consistent with TMD evolution [Z.-B. Kang et al., PRD 93 (2016) 014009]

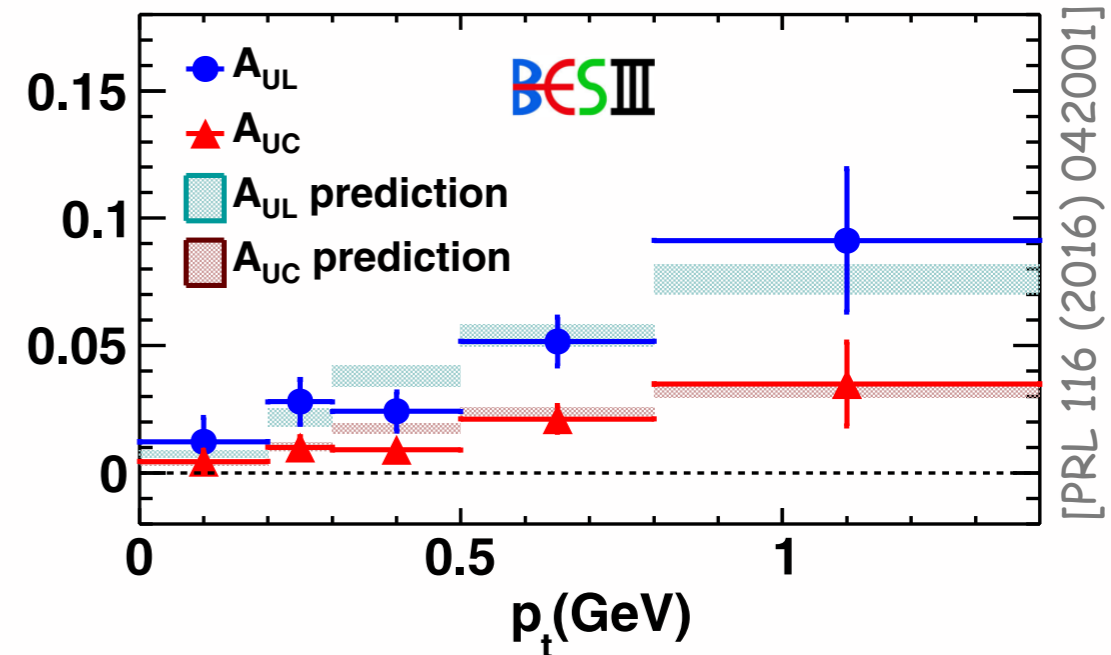
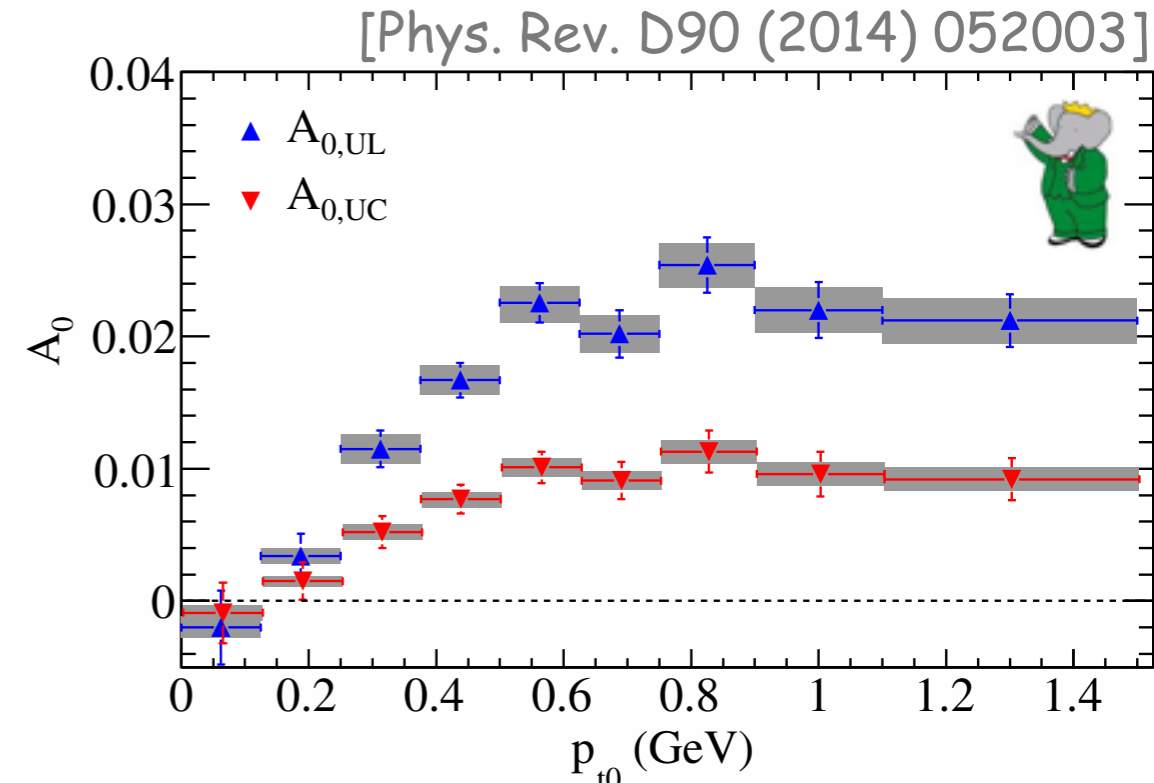
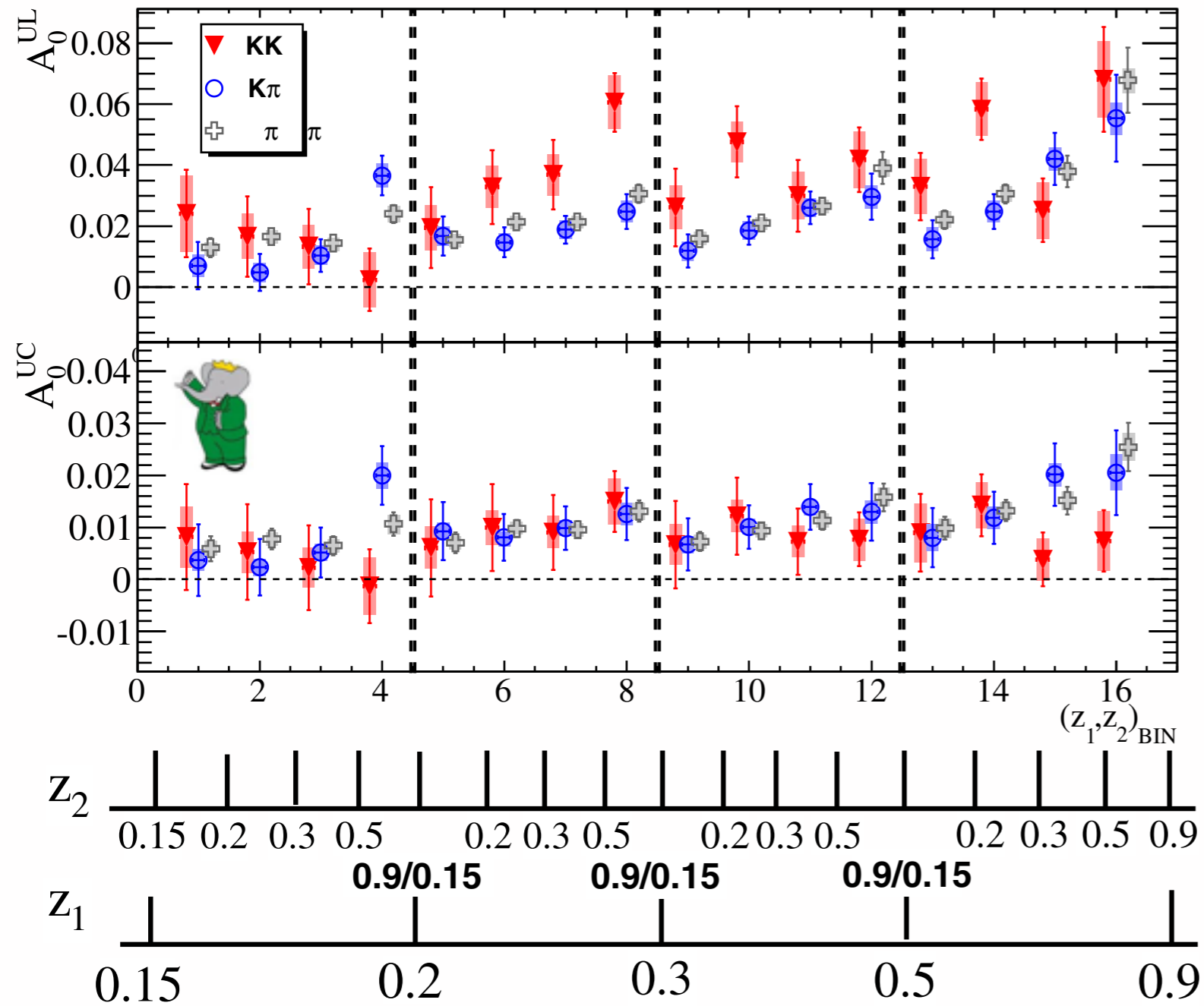


Collins asymmetries - going further



● even larger effects seen for kaon pairs

Collins asymmetries - going further

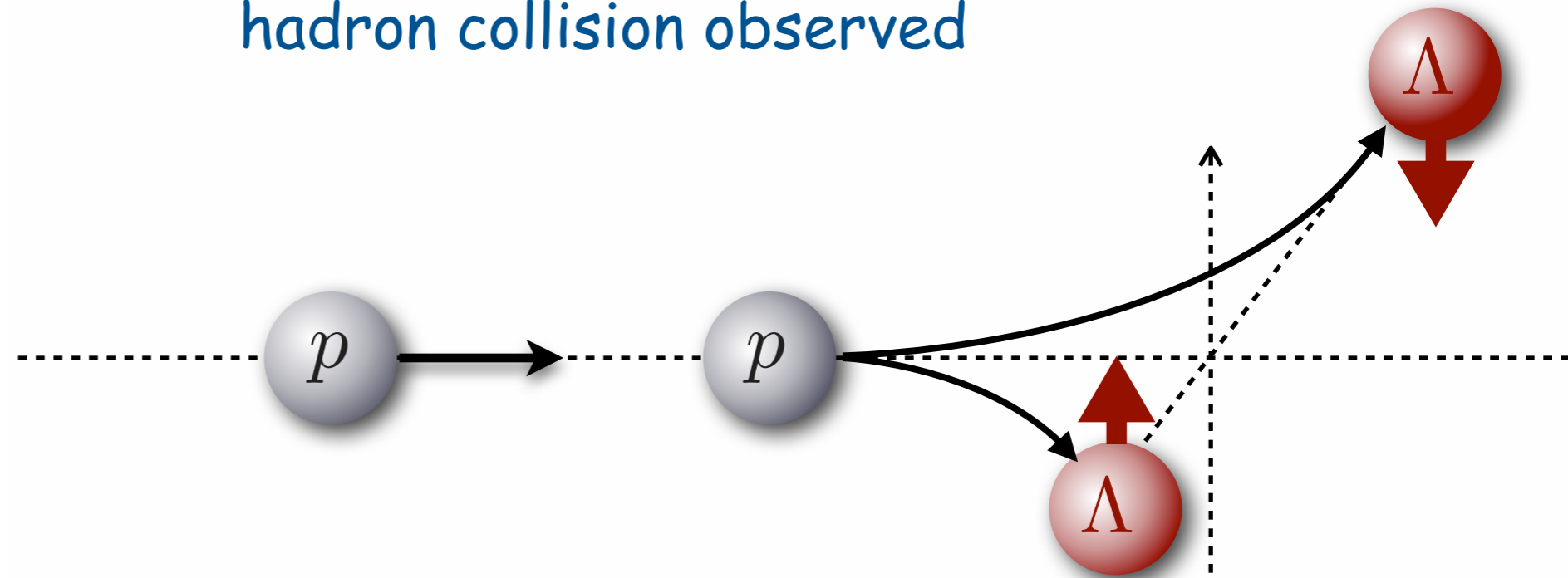
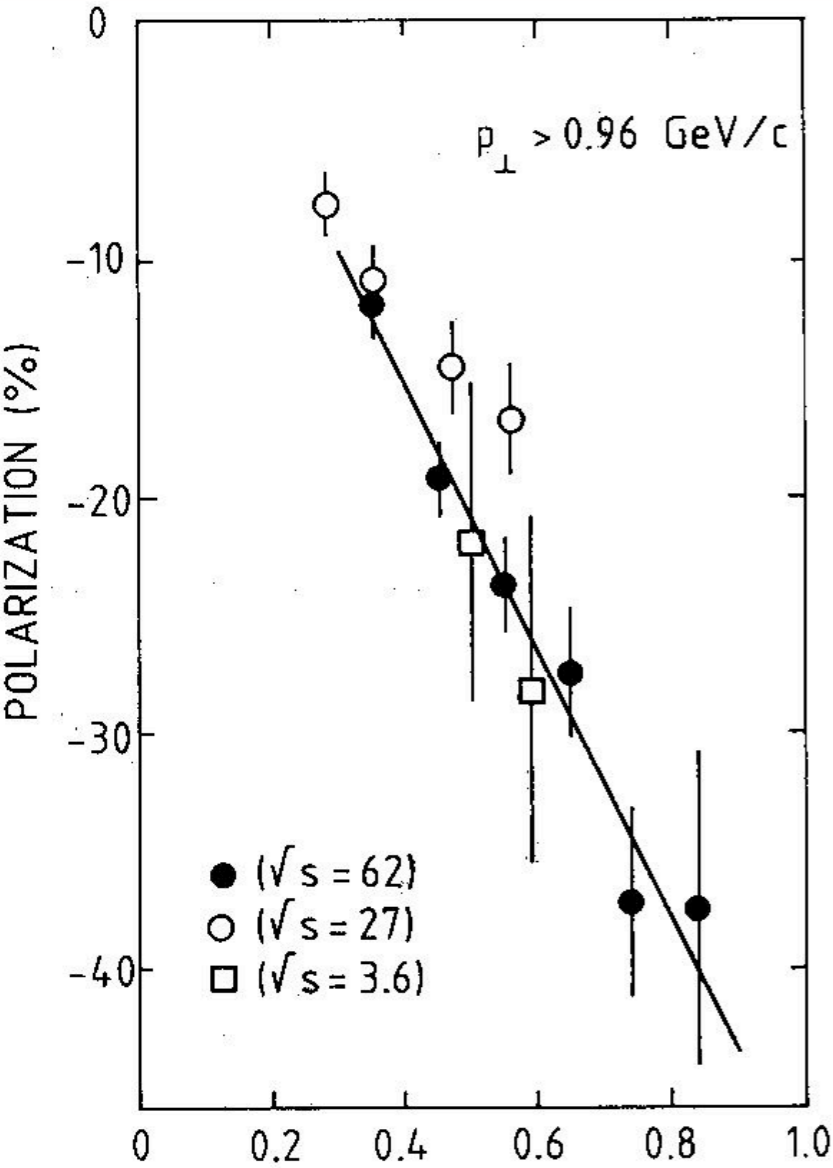


● even larger effects seen for kaon pairs

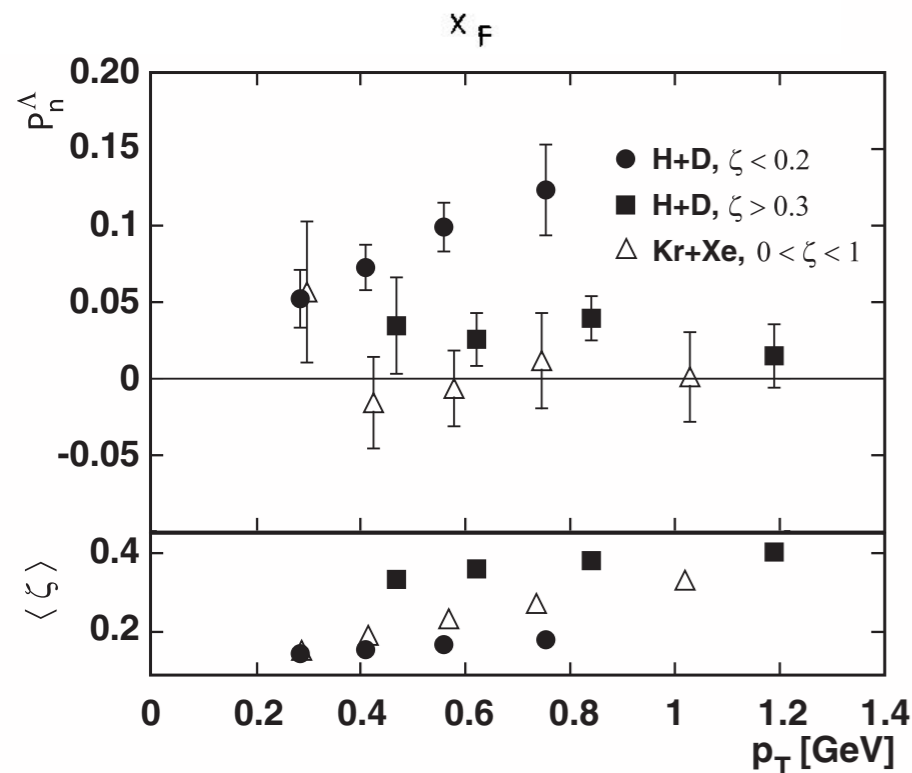
● p_T dependence for pions

polarizing fragmentation

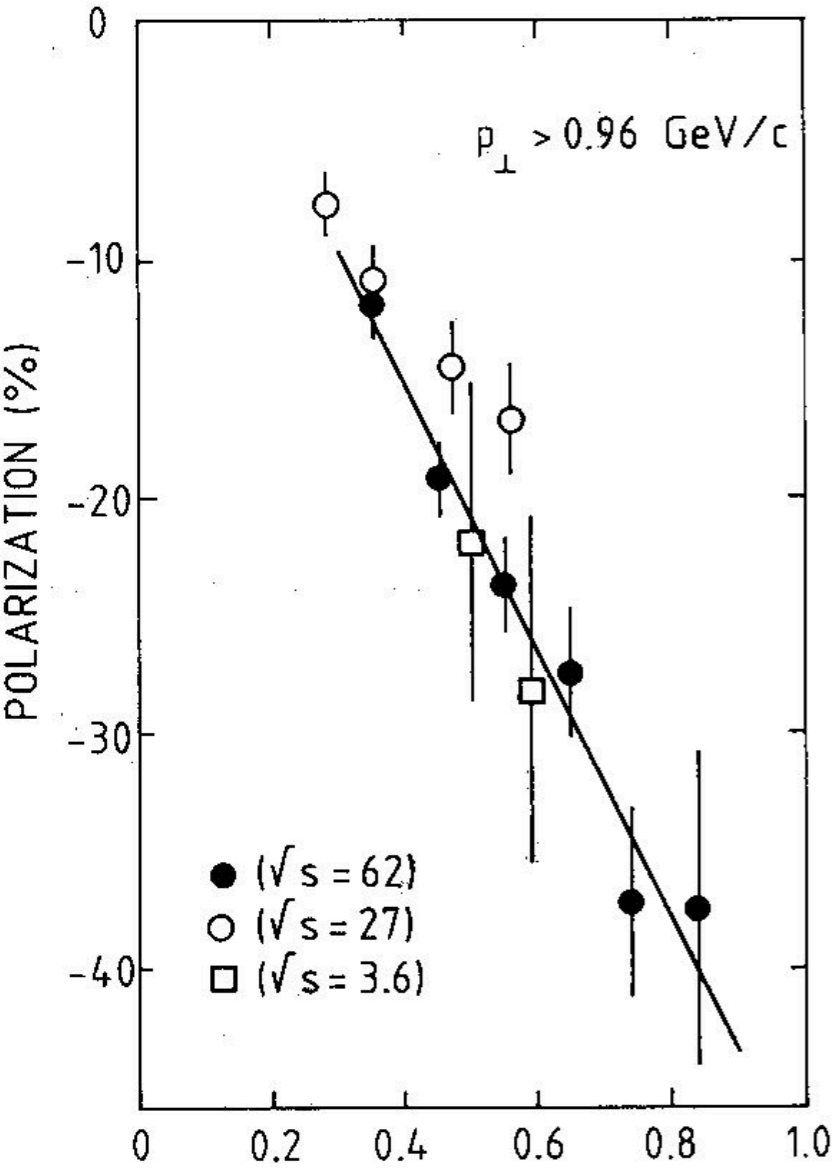
- large hyperon polarization in unpolarized hadron collision observed



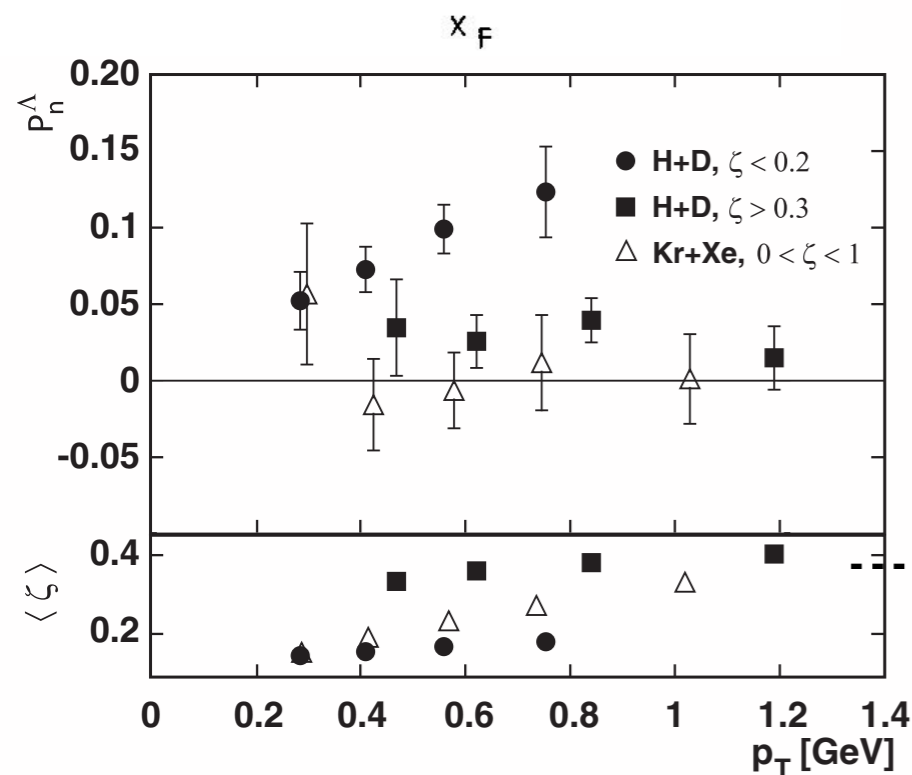
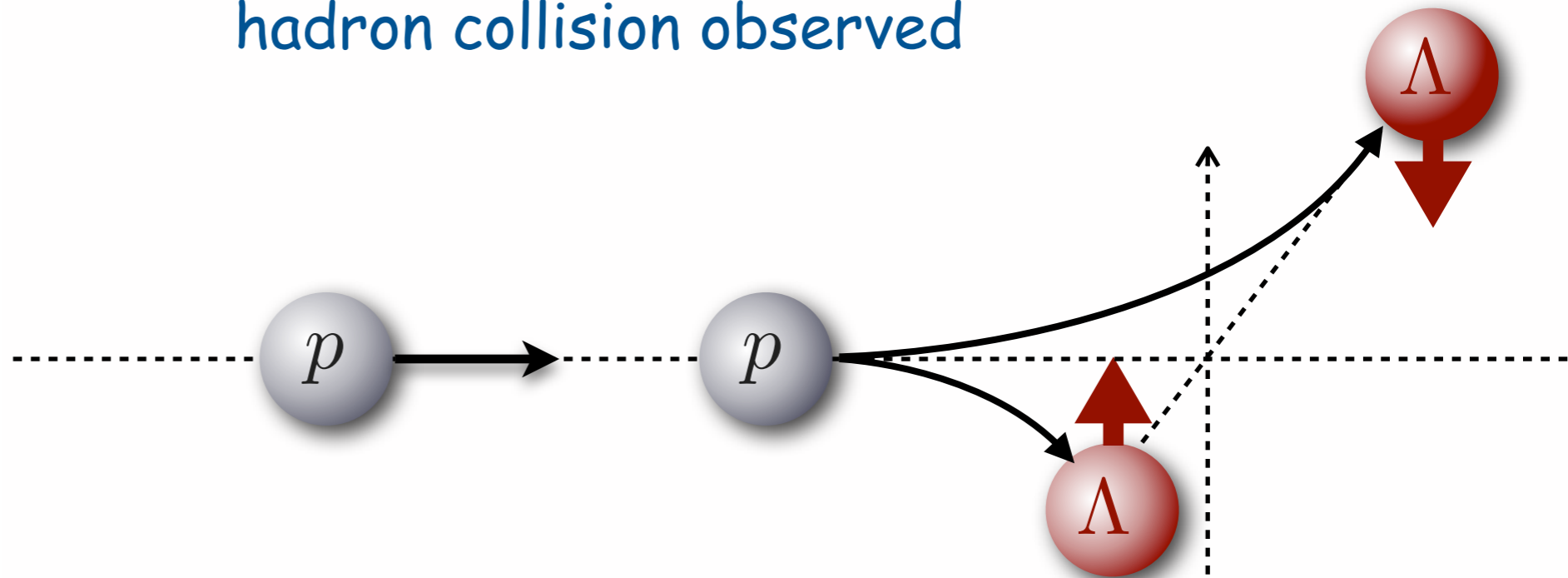
- ... as well as in inclusive lepto-production



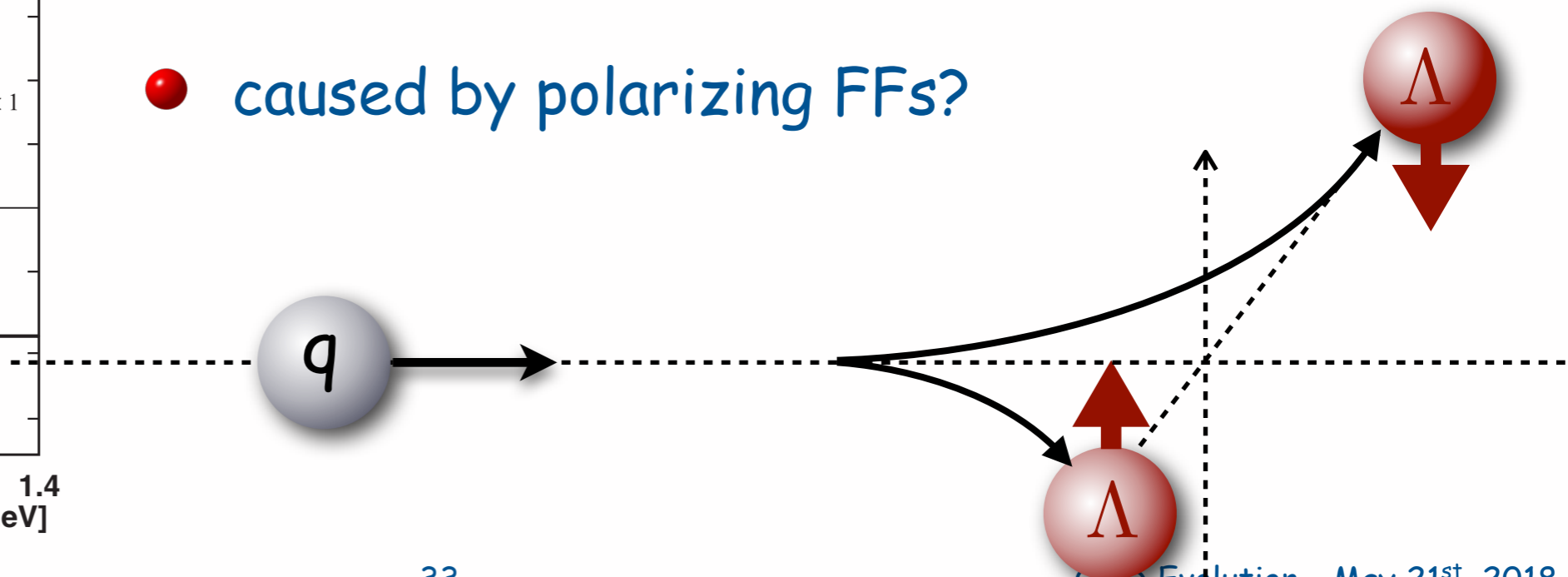
polarizing fragmentation



- large hyperon polarization in unpolarized hadron collision observed

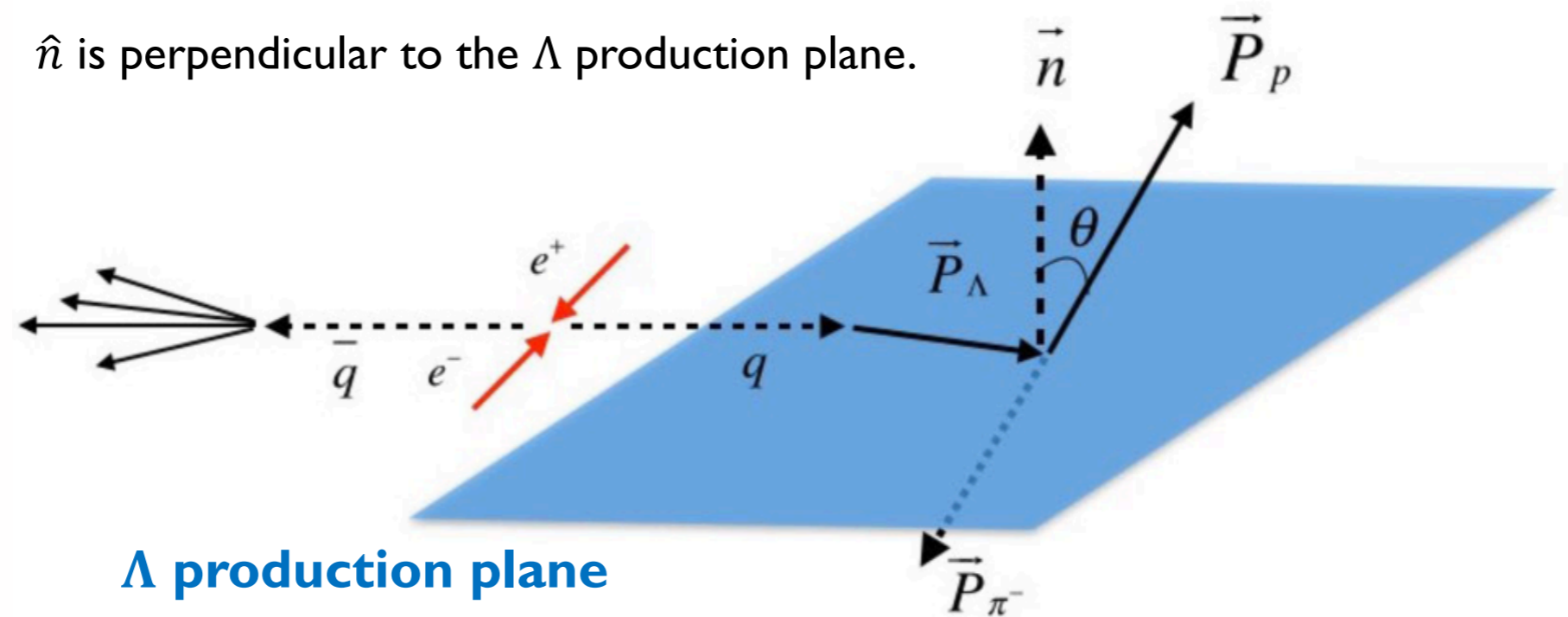


- ... as well as in inclusive lepto-production
- caused by polarizing FFs?



polarizing fragmentation function

- polarization measured normal to production plane, i.e. $\propto (\vec{q} \times \vec{P}_\Lambda)$
(note that sign got reversed in the drawing)

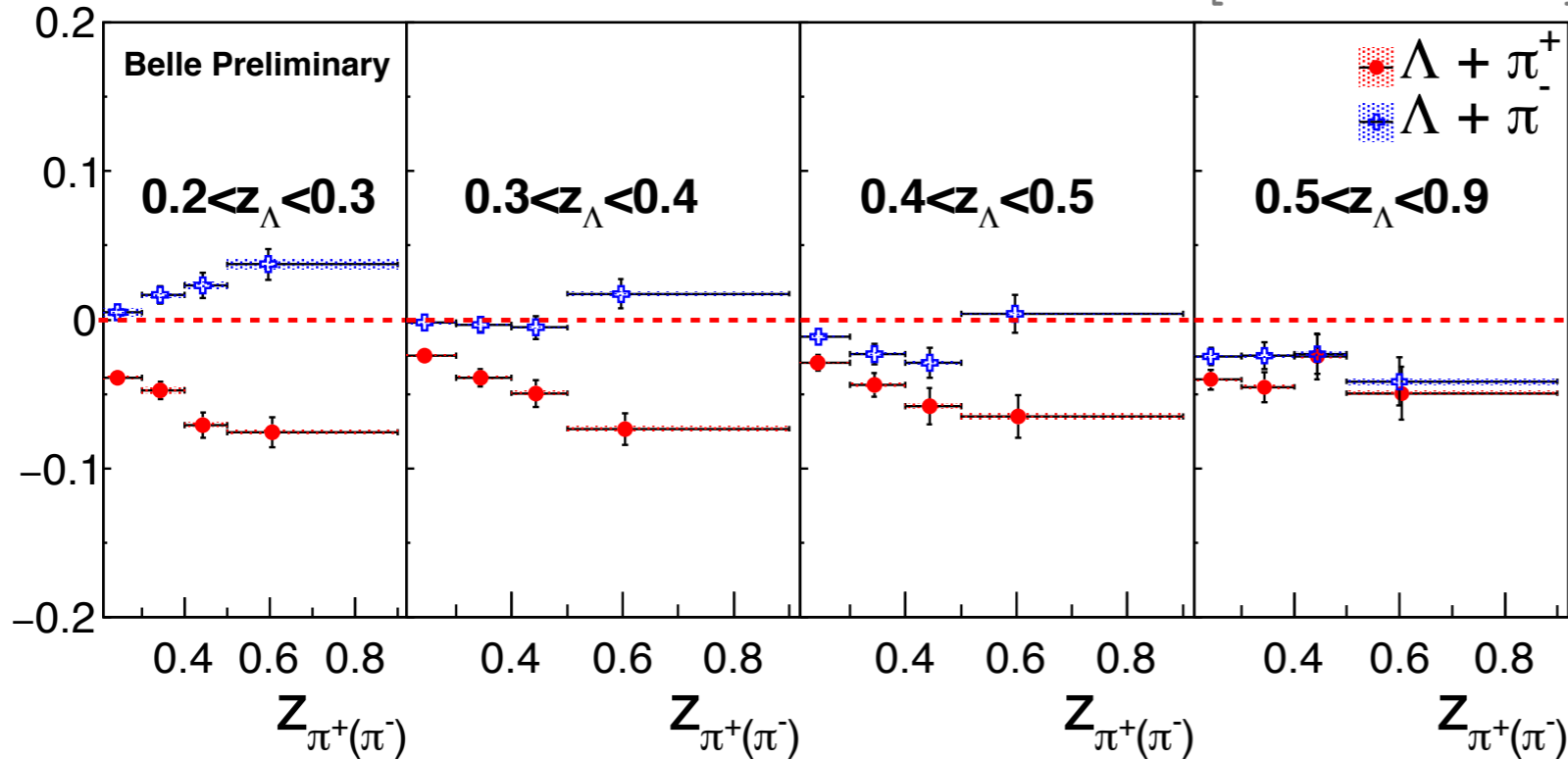


- reference axis to define transverse momentum:
 - "thrust frame" - use thrust axis
 - "hadron frame" - use momentum direction of "back-to-back" hadron

polarizing fragmentation function

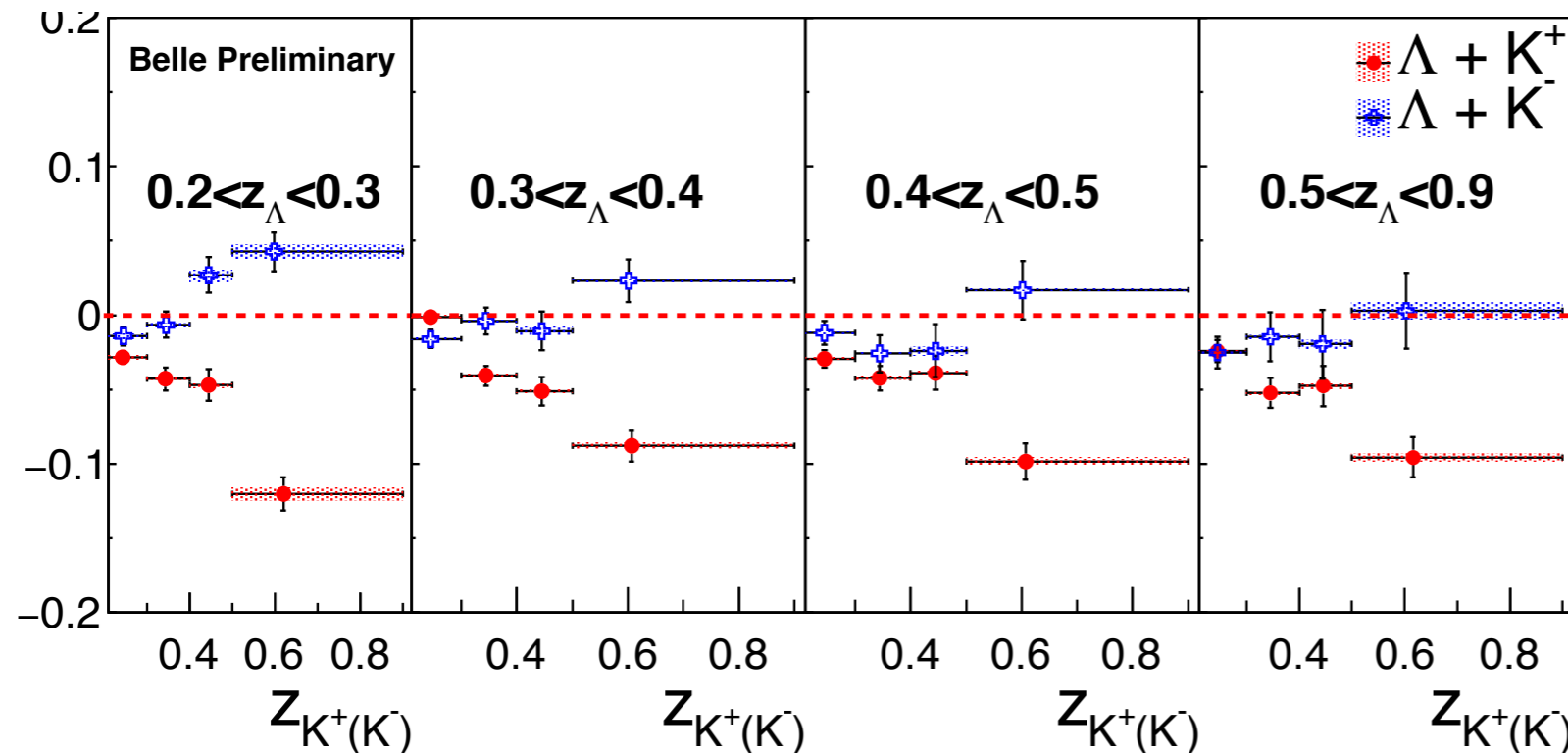
- flavor tagging through hadrons in opposite hemisphere:

[arXiv:1611.06648]



- large- z_h hadrons tag quark flavor more efficiently

➔ enlarges differences between oppositely charged hadrons



what to further expect (soon) from e^+e^-

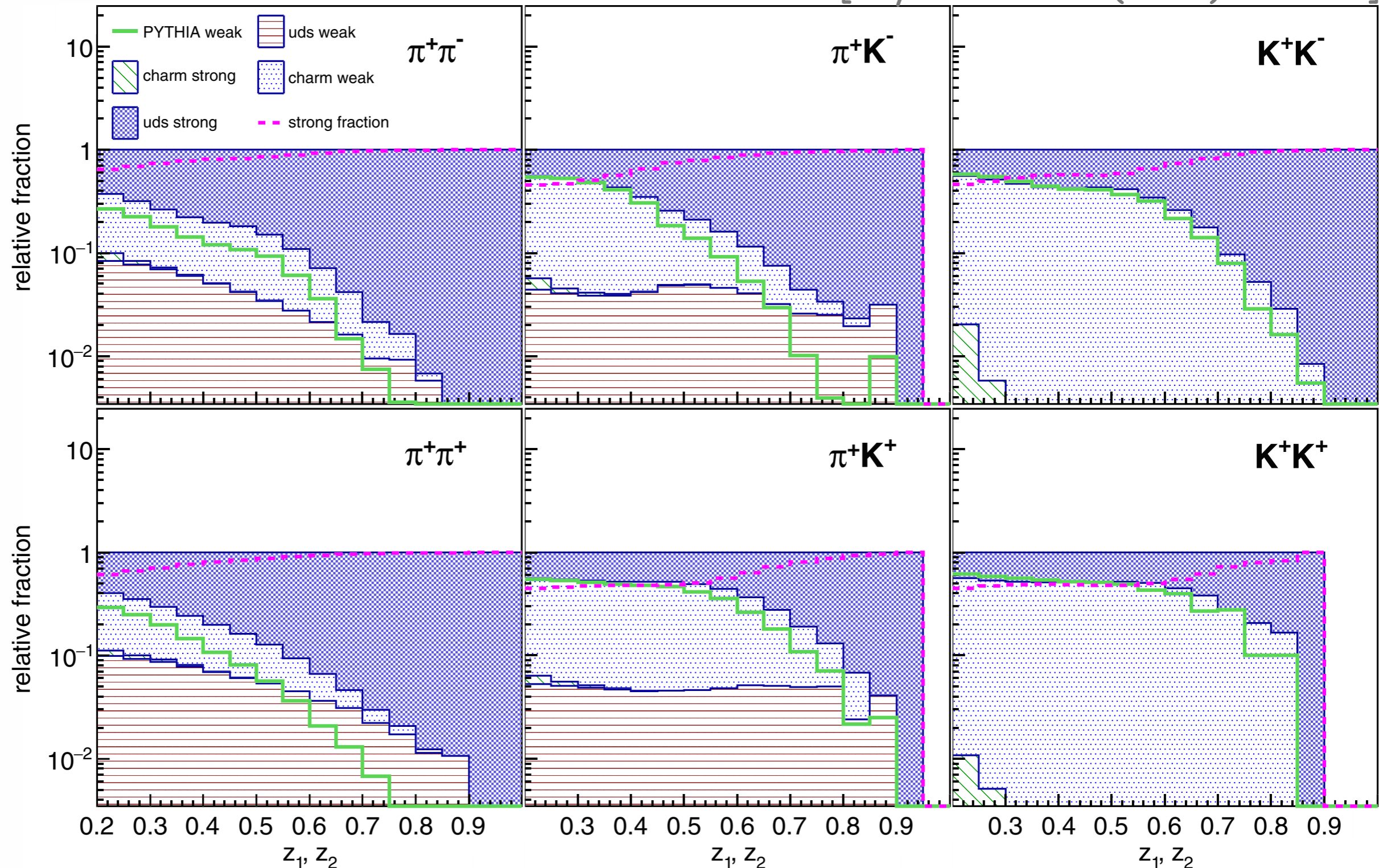
- transverse polarization of inclusively produced Λ^0 hyperons (Belle)
- Collins asymmetries:
 - neutral meson (pion and eta) incl. k_T dependence (Belle)
 - kaon and pion-kaon pairs as well as k_T dependence of Collins asymmetries (BaBar, Belle, BESIII)
 - Collins asymmetries without double ratios (BaBar)
- k_T -dependent D_1 FFs (Belle)
 - hadron-to-thrust
 - nearly back-to-back hadrons
- helicity-dependent dihadron fragmentation function G_{1^\perp} ("jet handedness") (Belle)

backup

hadron-pairs: weak-decay contributions

- not all hadrons originate from uds quarks but e.g., from D decay
- here only $z_1=z_2$ diagonal bins

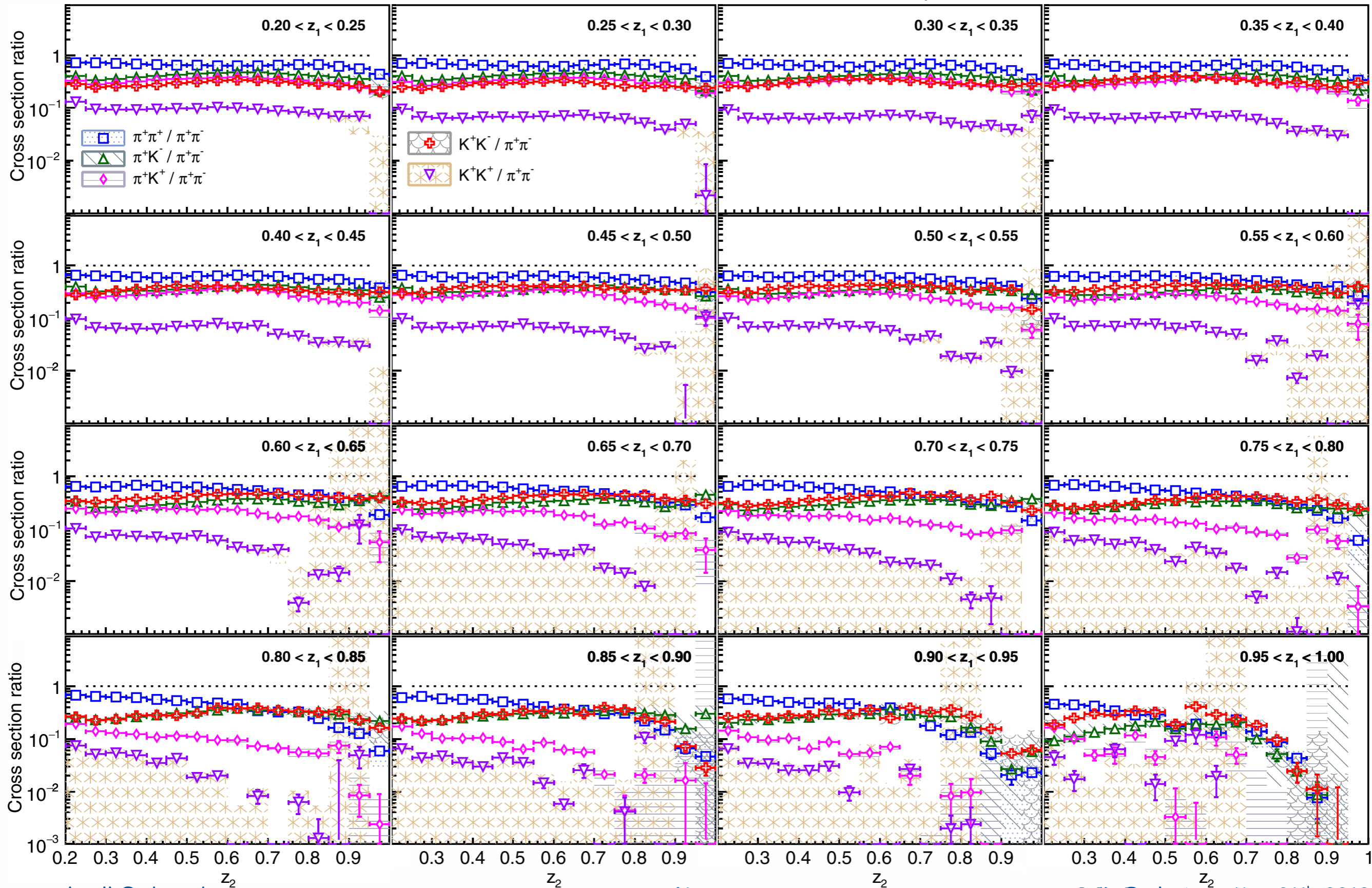
[Phys. Rev. D92 (2015) 092007]



no hemisphere selection

hadron-pair production

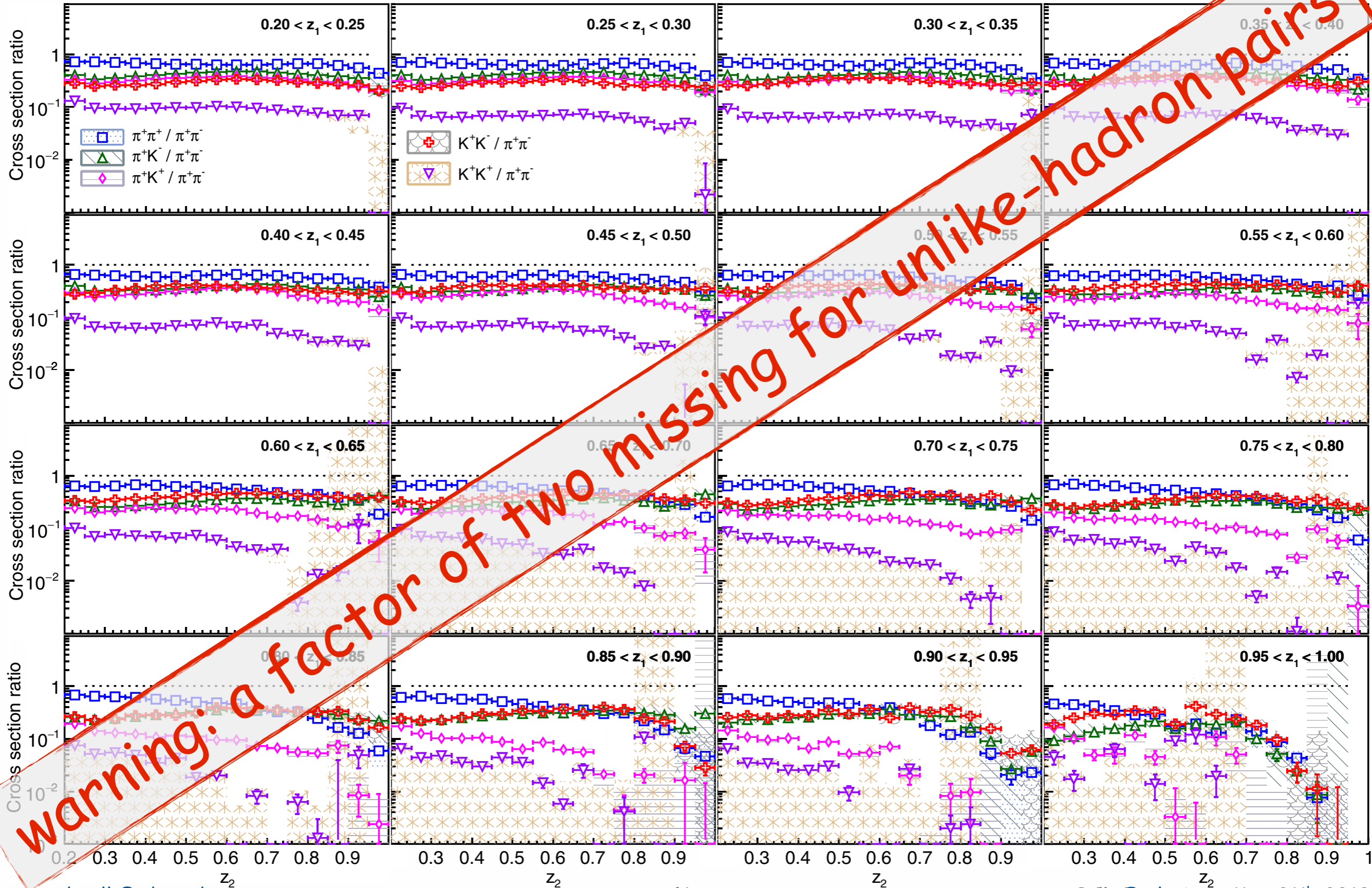
[Phys. Rev. D92 (2015) 092007]



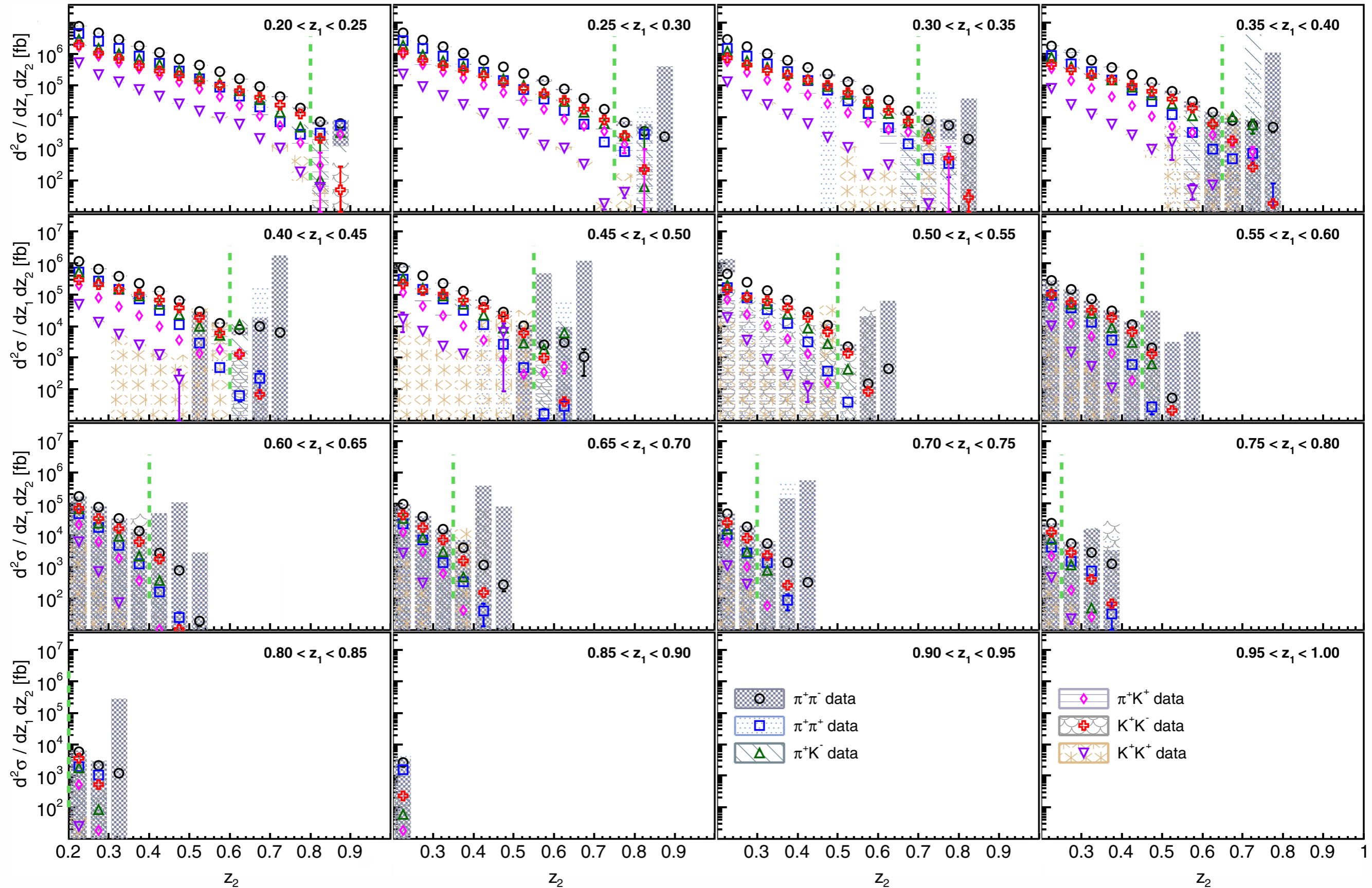
no hemisphere selection

hadron-pair production

[Phys. Rev. D92 (2015) 092007]

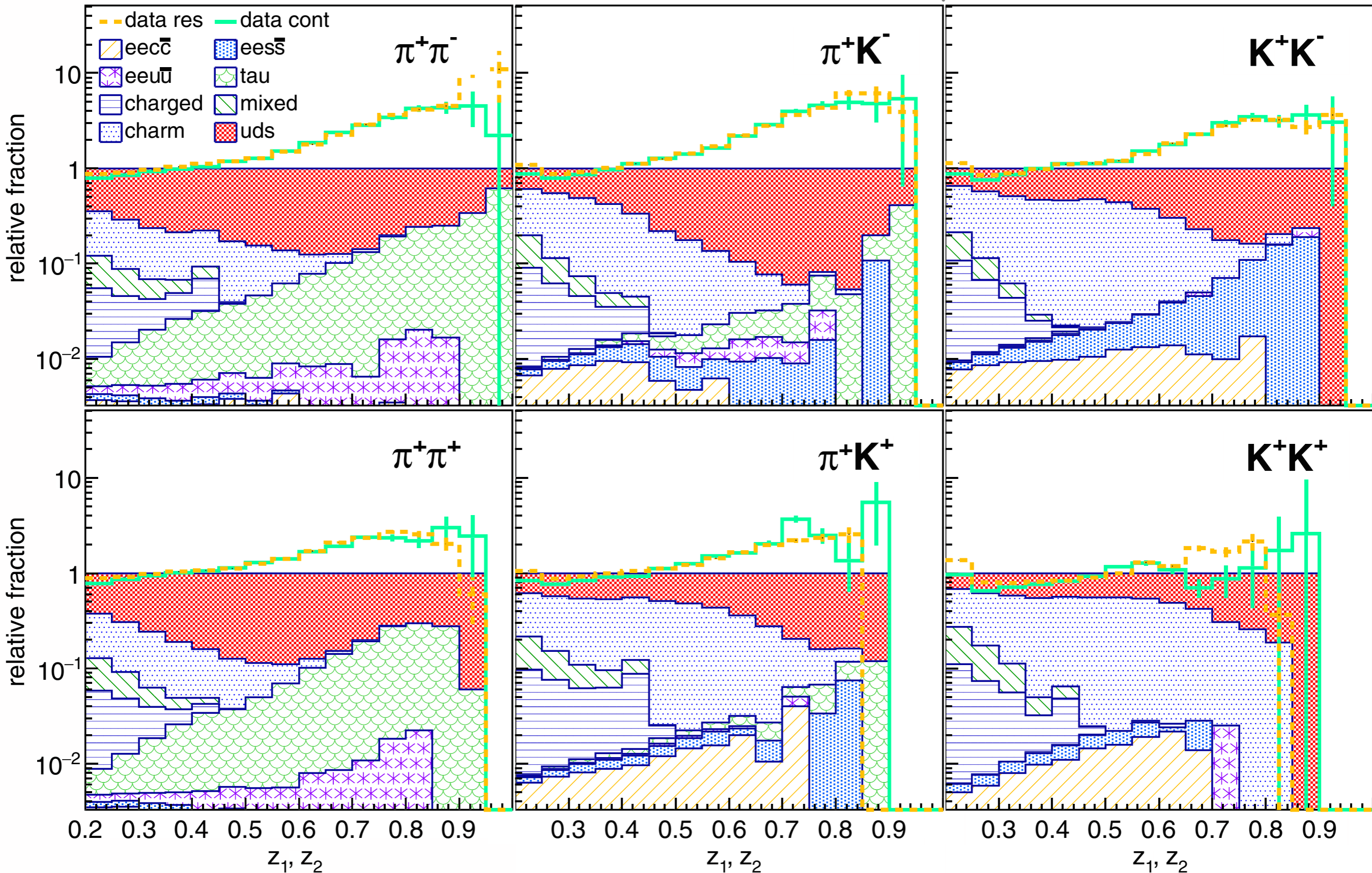


same-hemisphere hadron pairs



hadron-pairs: subprocess contributions

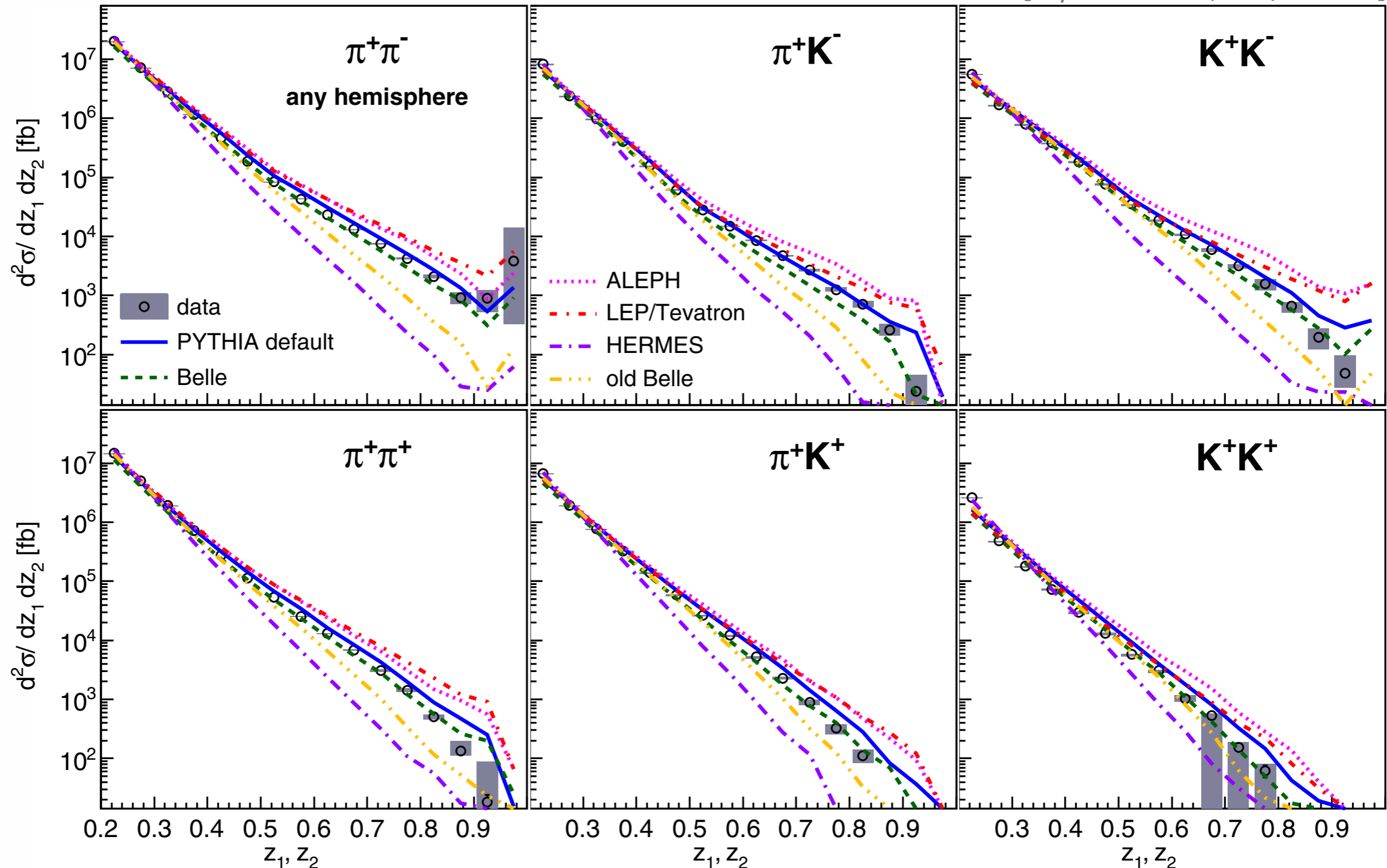
[Phys. Rev. D92 (2015) 092007]



hadron-pairs: comparison with PYTHIA

- generally good agreement at low z
- at large z only present Belle and PYTHIA default tunes satisfactory

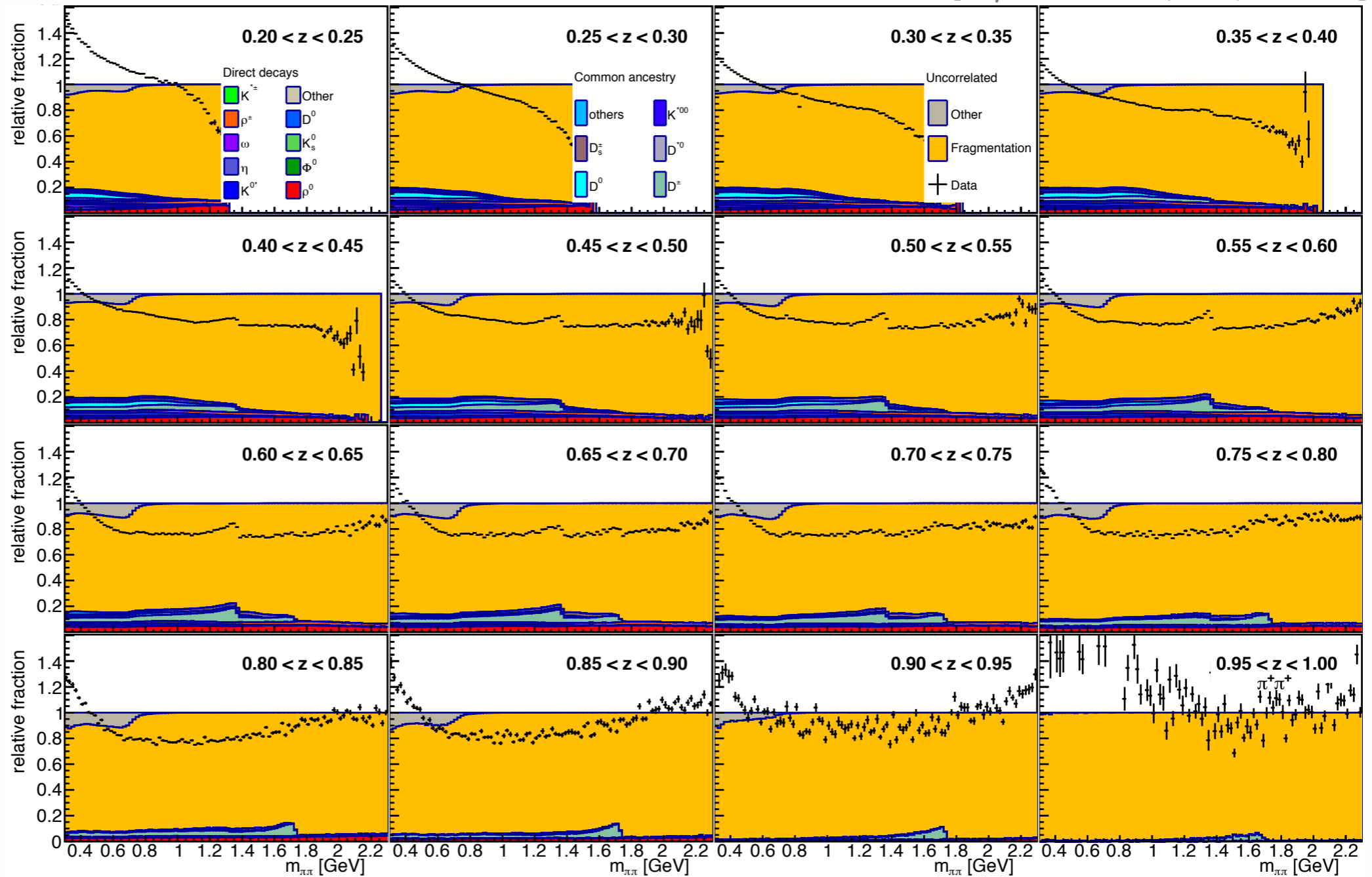
[Phys. Rev. D92 (2015) 092007]



same-hemisphere data: $M_{h_1 h_2}$ dependence

[Phys. Rev. D96 (2017) 032005]

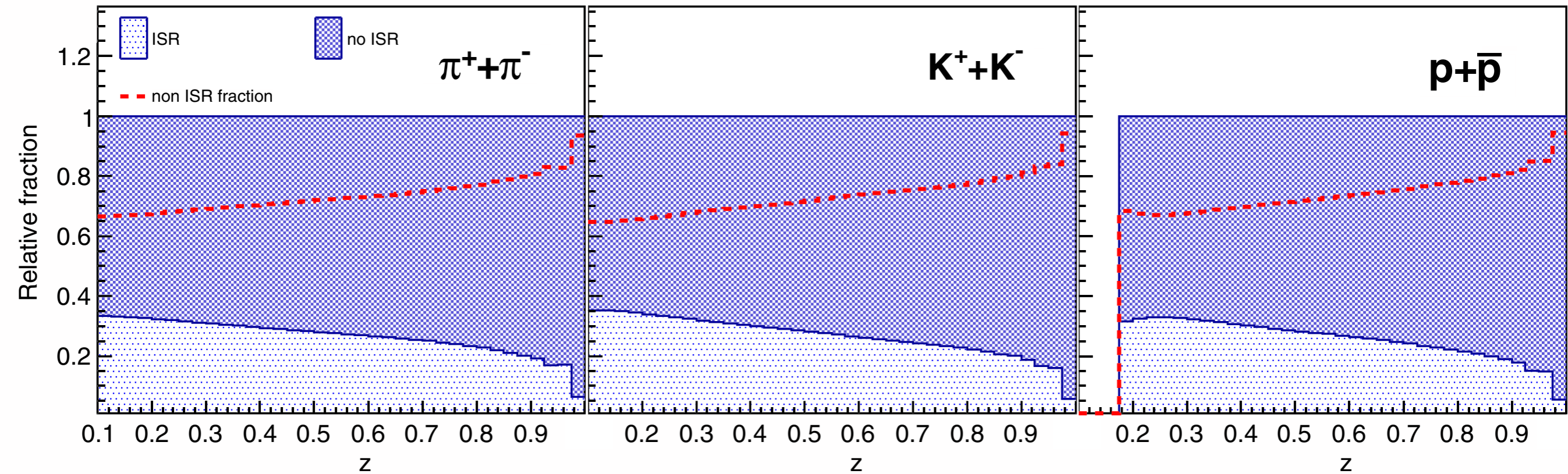
like-sign
pion pairs



$T > 0.8$
 $z_{1,2} > 0.1$

- decomposition based on PYTHIA simulation
- though no strong resonance structure still clear MC/data discrepancy

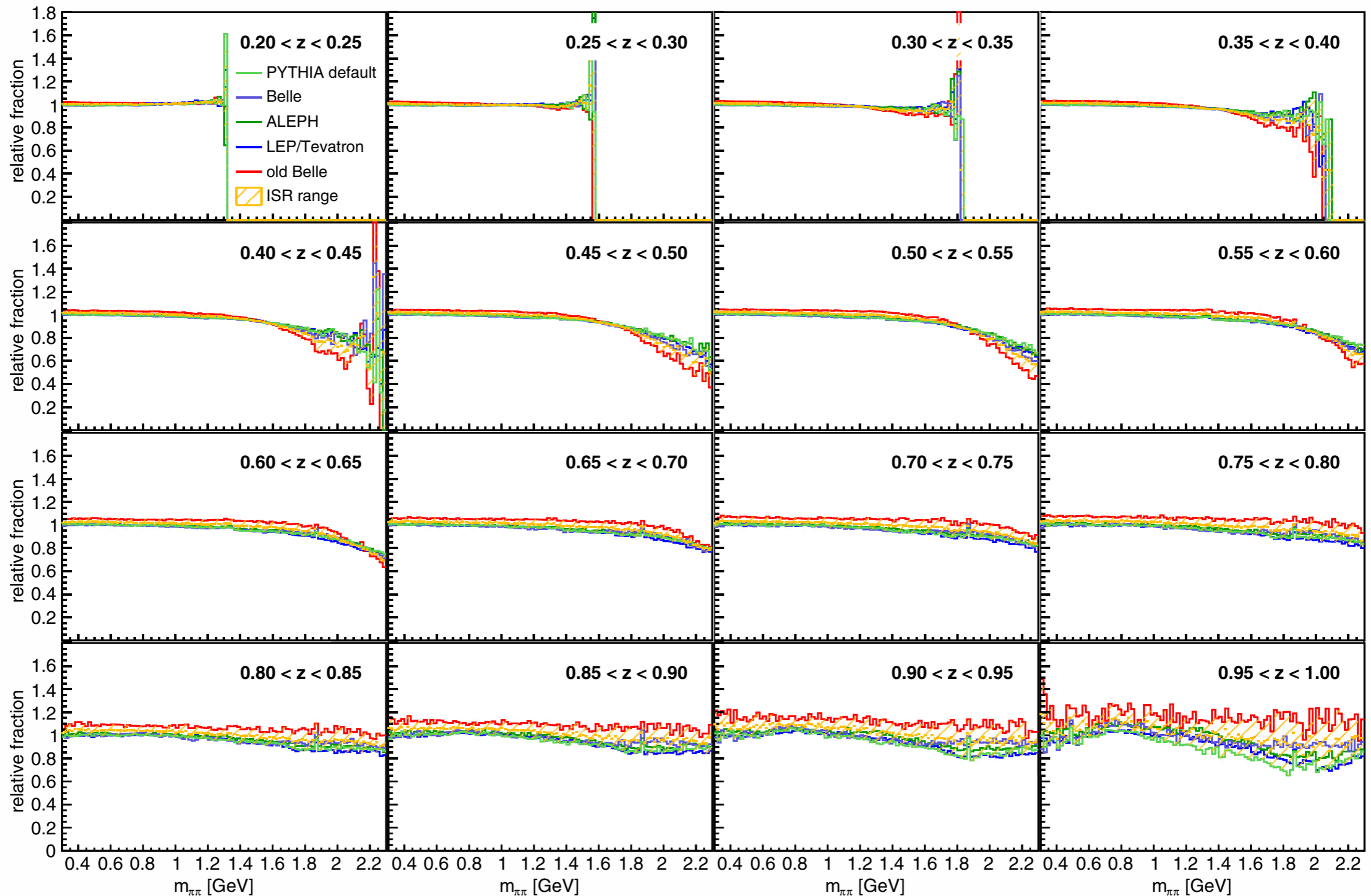
ISR corrections - PRD92 (2015) 092007



- relative fractions of hadrons as a function of z originating from ISR or non-ISR events (\equiv energy loss less than 0.5%)
- large non-ISR fraction at large z , as otherwise not kinematically reachable (remember $z = E_h / 0.5\sqrt{s}_{\text{nominal}}$)

➡ Ralf

ISR corrections - PRD96 (2017) 032005

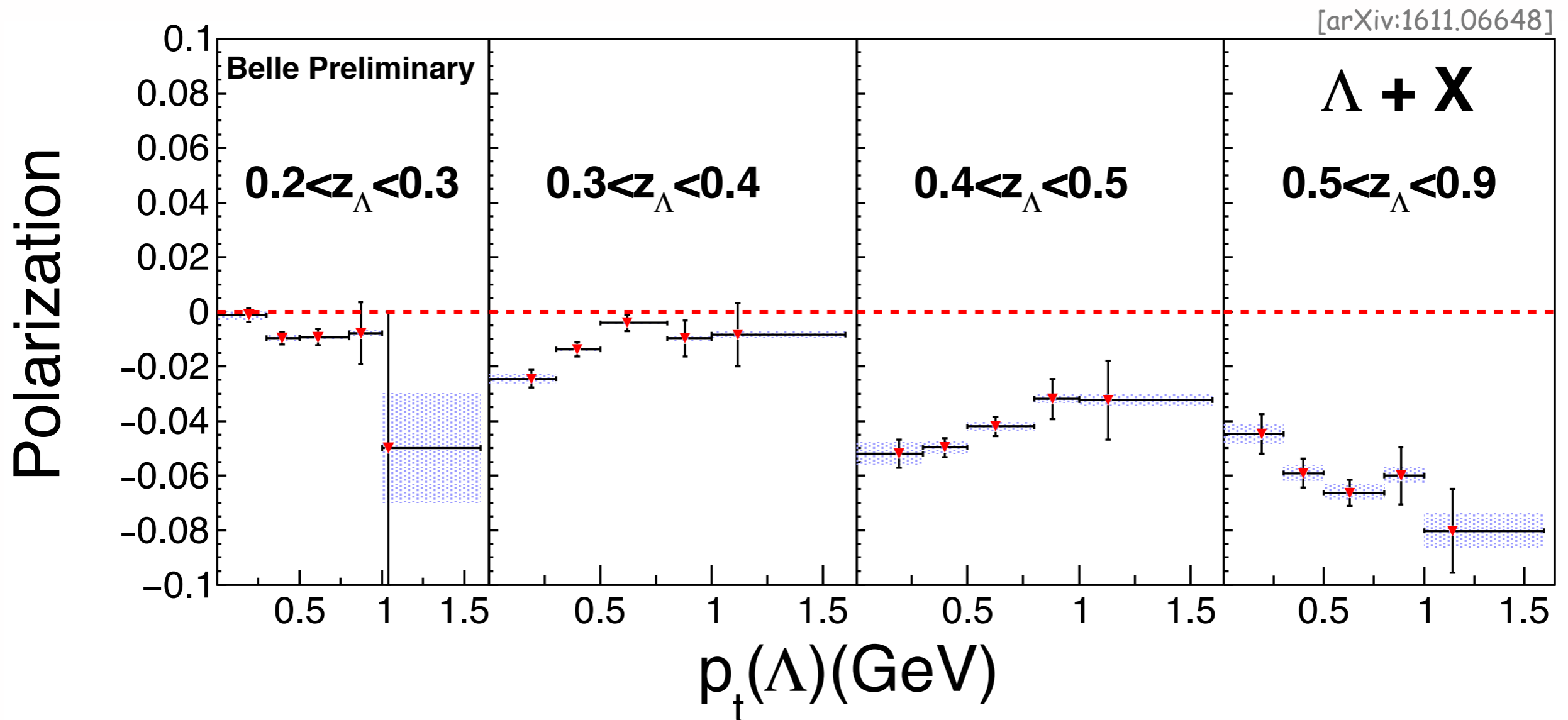


● non-ISR / ISR fractions based on PYTHIA switch MSTP(11)

● several PYTHIA tunes used for estimate of systematic uncertainty

polarizing fragmentation function

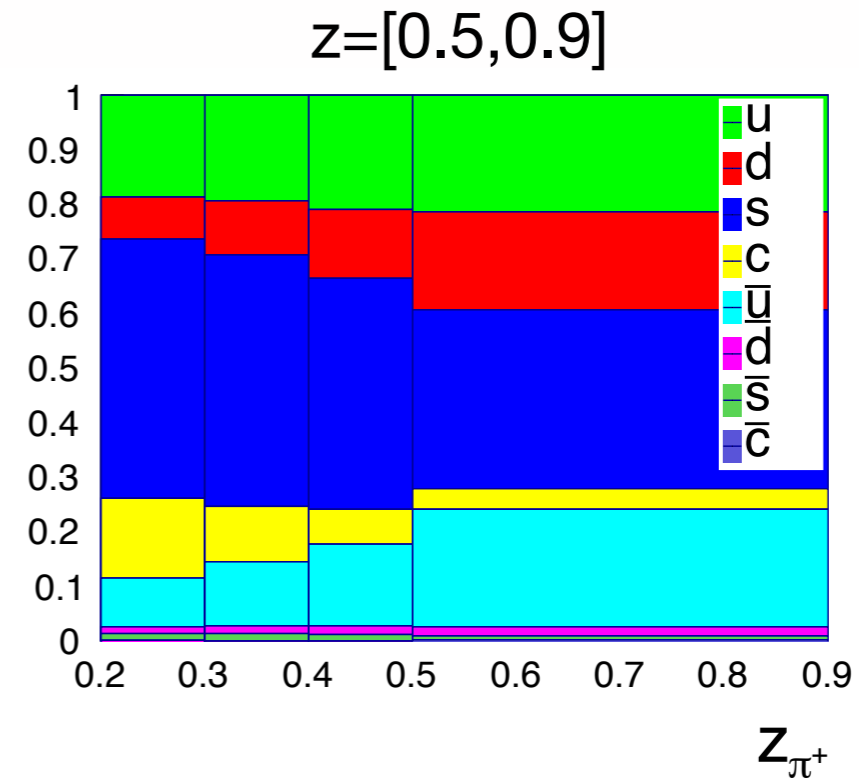
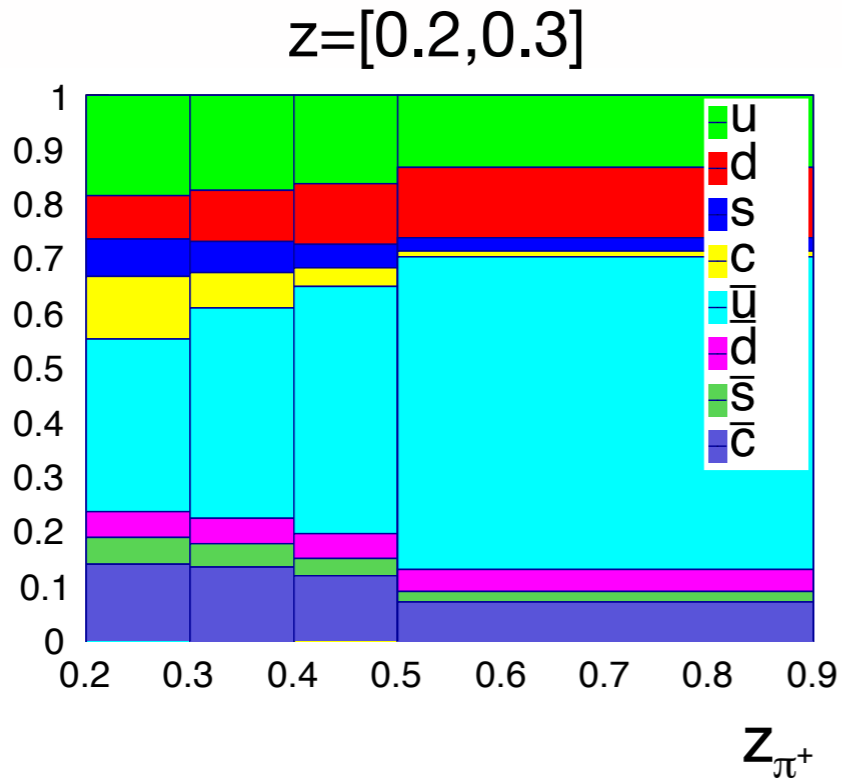
- polarization measured as function of z and p_t



- strong dependence on both kinematics
- unexpected/surprising behavior for $p_t \rightarrow 0$

quark-flavor contributions to Lambda prod.

- flavor tagging through opposite-hemisphere hadrons



[arXiv:1611.06648]

