

# **Full Nucleon Structure Functions from the Lattice**

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With

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QCDSF Collaboration

Phys. Rev. Letters 118 (2017) 242001 [arXiv:1703.01153]

## Classical Approach

- Moments

$$\mu_n(q^2) = f \int_0^1 dx x^n F_1(x, q^2)$$

Mellin transform

$$F_1(x, q^2) = \frac{1}{2\pi i f} \int_{c-i\infty}^{c+i\infty} ds x^{-s-1} \mu_s(q^2)$$

- OPE

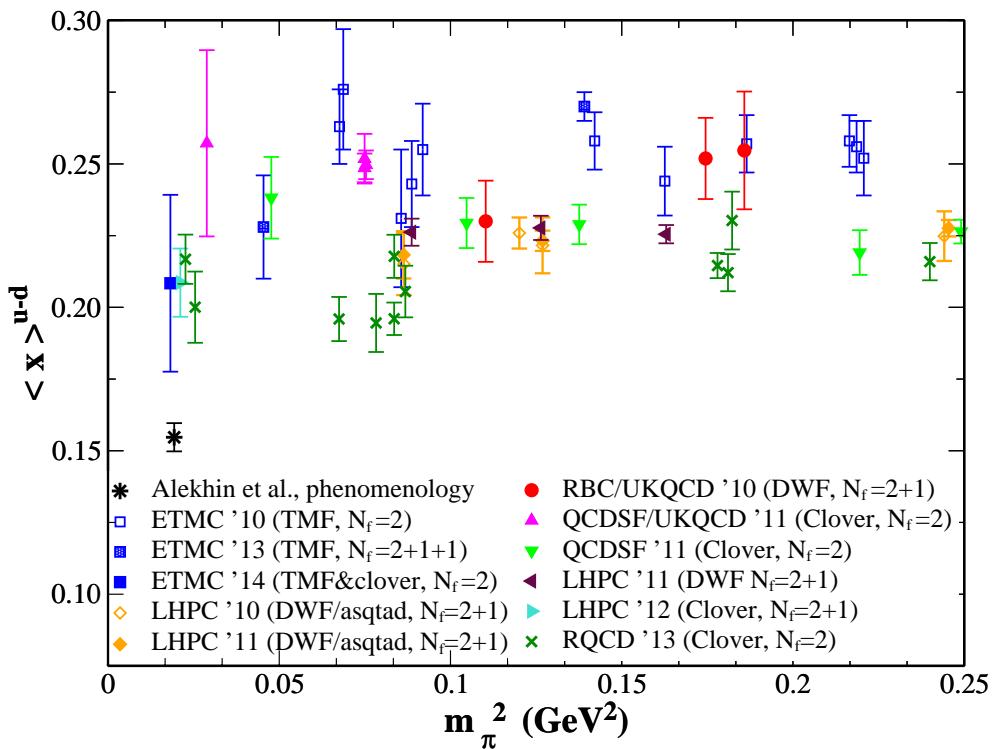
$$\mu_1(q^2) = c_2(q^2 a^2) \langle N | \mathcal{O}_2(a) | N \rangle + \frac{c_4(q^2 a^2)}{q^2} \langle N | \mathcal{O}_4(a) | N \rangle + \dots \quad \text{Lattice: } q^2 \sim \frac{1}{a^2}$$

$$\mu_2(q^2) = c_3(q^2 a^2) \langle N | \mathcal{O}_3(a) | N \rangle + \frac{c_5(q^2 a^2)}{q^2} \langle N | \mathcal{O}_5(a) | N \rangle + \dots$$

:

- The computations are limited to a few lower moments, due to issues of operator mixing and renormalization. Even so, the uncertainties are at least comparable to the magnitude of the power corrections

Martinelli & Sachrajda



Constantinou [arXiv:1511.00214]

## OPE without OPE

Compton amplitude & OPE: theoretical basis for calculation of deep-inelastic structure functions

$$\begin{aligned}
 T_{\mu\nu}(p, q) &= \int d^4x e^{iqx} \langle p, s | T J_\mu(x) J_\nu(0) | p, s \rangle \\
 &= \left( \delta_{\mu\nu} - \frac{q_\mu q_\nu}{q^2} \right) \mathcal{F}_1(\omega, q^2) + \left( p_\mu - \frac{pq}{q^2} q_\mu \right) \left( p_\nu - \frac{pq}{q^2} q_\nu \right) \frac{1}{pq} \mathcal{F}_2(\omega, q^2) \\
 &\quad + \epsilon_{\mu\nu\lambda\sigma} q_\lambda s_\sigma \frac{1}{pq} \mathcal{G}_1(\omega, q^2) + \epsilon_{\mu\nu\lambda\sigma} q_\lambda (pq s_\sigma - sq p_\sigma) \frac{1}{(pq)^2} \mathcal{G}_2(\omega, q^2)
 \end{aligned}$$

Crossing symmetry,  $T_{\mu\nu}(p, q) = T_{\nu\mu}(p, -q)$ , implies

$$\mathcal{F}_{1,2}(-\omega, q^2) = \pm \mathcal{F}_{1,2}(\omega, q^2), \quad \mathcal{G}_{1,2}(-\omega, q^2) = -\mathcal{G}_{1,2}(\omega, q^2) \quad \omega = \frac{1}{x} = \frac{2pq}{q^2}$$

In the physical region  $1 \leq |\omega| \leq \infty$

$$\text{Im } \mathcal{F}_{1,2}(\omega, q^2) = 2\pi F_{1,2}(\omega, q^2), \quad \text{Im } \mathcal{G}_{1,2}(\omega, q^2) = 2\pi g_{1,2}(\omega, q^2)$$

## Dispersion relations

$$\mathcal{F}_1(\omega, q^2) = 2\omega \int_1^\infty d\bar{\omega} \left[ \frac{F_1(\bar{\omega}, q^2)}{\bar{\omega}(\bar{\omega} - \omega)} - \frac{F_1(\bar{\omega}, q^2)}{\bar{\omega}(\bar{\omega} + \omega)} \right] + \mathcal{F}_1(0, q^2)$$

$$\mathcal{F}_2(\omega, q^2) = 2\omega \int_1^\infty d\bar{\omega} \left[ \frac{F_2(\bar{\omega}, q^2)}{\bar{\omega}(\bar{\omega} - \omega)} + \frac{F_2(\bar{\omega}, q^2)}{\bar{\omega}(\bar{\omega} + \omega)} \right]$$

$$\mathcal{G}_1(\omega, q^2) = 2\omega \int_1^\infty d\bar{\omega} \left[ \frac{g_1(\bar{\omega}, q^2)}{\bar{\omega}(\bar{\omega} - \omega)} + \frac{g_1(\bar{\omega}, q^2)}{\bar{\omega}(\bar{\omega} + \omega)} \right]$$

$$\mathcal{G}_2(\omega, q^2) = 2\omega \int_1^\infty d\bar{\omega} \left[ \frac{g_2(\bar{\omega}, q^2)}{\bar{\omega}(\bar{\omega} - \omega)} + \frac{g_2(\bar{\omega}, q^2)}{\bar{\omega}(\bar{\omega} + \omega)} \right]$$

↑  
polarizability

For  $p_3 = q_3 = q_0 = 0$ , substituting  $\bar{\omega}$  by  $1/x$

$$\begin{aligned} T_{33}(p, q) &= \mathcal{F}_1(\omega, q^2) = 4\omega \int_0^1 dx \frac{\omega x}{1 - (\omega x)^2} F_1(x, q^2) + \mathcal{F}_1(0, q^2) \\ &= \sum_{n=2,4,\dots}^{\infty} 4\omega^n \int_0^1 dx x^{n-1} F_1(x, q^2) + \mathcal{F}_1(0, q^2) \end{aligned}$$

$$\begin{aligned} T_{03}(p, q) &\stackrel{\vec{s}\parallel\vec{p}}{=} \frac{(\vec{q}\times\vec{s})_3}{pq} \mathcal{G}_1(\omega, q^2) = \frac{(\vec{q}\times\vec{s})_3}{pq} 4\omega \int_0^1 dx \frac{1}{1 - (\omega x)^2} g_1(x, q^2) \\ &= \frac{(\vec{q}\times\vec{s})_3}{pq} \sum_{n=1,3,\dots}^{\infty} 4\omega^n \int_0^1 dx x^{n-1} g_1(x, q^2) \end{aligned}$$

$$\begin{aligned} T_{03}(p, q) &\stackrel{\vec{s}\parallel\vec{q}}{=} -\frac{(\vec{p}\times\vec{q})_3 \vec{s}\vec{q}}{(pq)^2} \mathcal{G}_2(\omega, q^2) = -\frac{(\vec{p}\times\vec{q})_3 \vec{s}\vec{q}}{(pq)^2} 4\omega \int_0^1 dx \frac{1}{1 - (\omega x)^2} g_2(x, q^2) \\ &= -\frac{(\vec{p}\times\vec{q})_3 \vec{s}\vec{q}}{(pq)^2} \sum_{n=1,3,\dots}^{\infty} 4\omega^n \int_0^1 dx x^{n-1} g_2(x, q^2) \end{aligned}$$

includes power corrections

## From $T_{33}$ to $\mu_n$ and $F_1(x, q^2)$

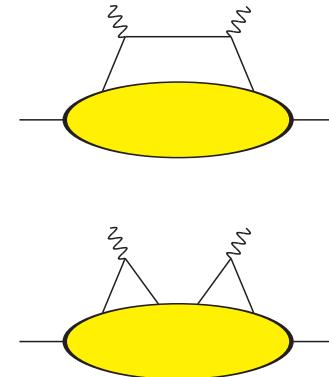
The Compton amplitude can be computed most efficiently, including singlet (disconnected) matrix elements, by the **Feynman-Hellmann** technique. By introducing the perturbation to the Lagrangian

$$\mathcal{L}_{\text{QCD}}(x) \rightarrow \mathcal{L}_{\text{QCD}}(x) + \lambda \mathcal{J}_3(x), \quad \mathcal{J}_3(x) = Z_V \cos(\vec{q}\vec{x}) e_q \bar{q}(x) \gamma_3 q(x)$$

and taking the second derivative of  $\langle N(\vec{p}, t) \bar{N}(\vec{p}, 0) \rangle_\lambda \simeq C_\lambda e^{-E_\lambda(p, q)t}$  with respect to  $\lambda$  on both sides, we obtain

$$-2E_\lambda(p, q) \frac{\partial^2}{\partial \lambda^2} E_\lambda(p, q) \Big|_{\lambda=0} = T_{33}(p, q)$$

The amplitude encompasses the dominating ‘handbag’ diagram as well as the power-suppressed ‘cats ears’ diagram. Varying  $q^2$  will allow to test the twist expansion. **No further renormalization is needed**



## Implementation

All we need to compute are nucleon two-point functions, from which we derive the energy levels  $E_\lambda$ . If that has been done successfully, we can resort to continuum language

### Valence quark distribution functions

- Computationally cheap. No extra background (vacuum) gauge field configurations have to be generated
- The electromagnetic current needs to be inserted in quark propagators of nucleon two-point function only
- Propagators can be used to compute a variety of other observables, including form factors and Compton amplitudes of all stable particles

### Sea quark and gluon distribution functions

- Need to generate new background gauge field configurations with the electromagnetic current being attached to the sea quarks
- As before, the new configurations lend themselves to the calculation of many other observables, besides the nucleon Compton amplitude

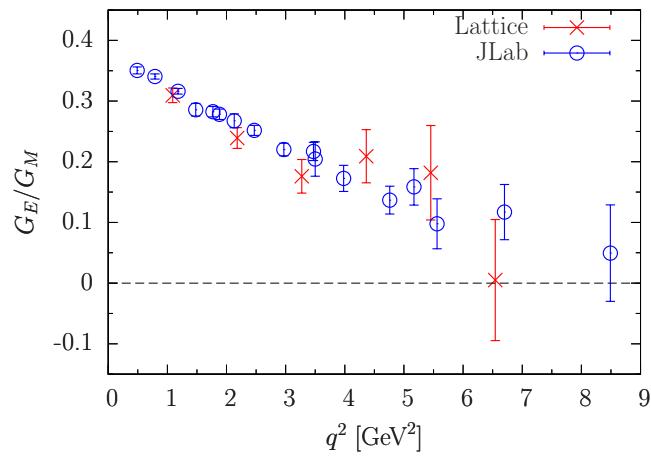
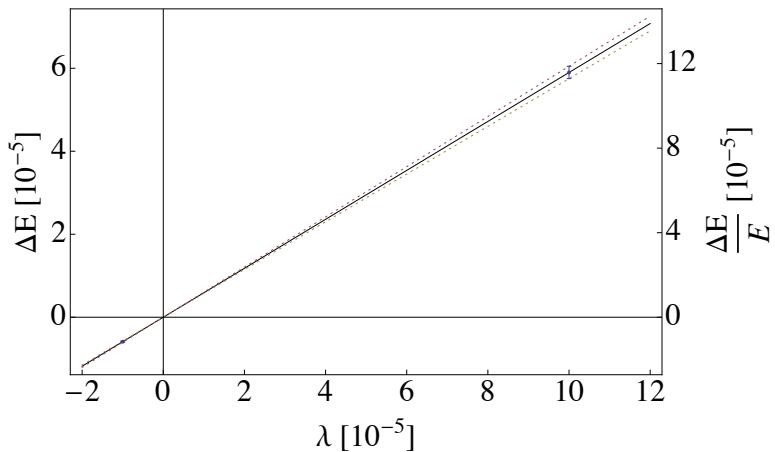
Example: Nucleon form factors at large  $q^2$

$$G_{E,M}(q^2) \propto \partial E_\lambda(p, p') / \partial \lambda \Big|_{\lambda=0}$$

$$\vec{p} + \vec{p}' = 0$$

Breit frame

$$\Delta E_\lambda = E_\lambda - E_0$$



Powerful method

Phys. Rev. D96 (2017) 114509 [arXiv:1702.01513]

## Moments

The lowest  $M$  [even] moments

[odd moments need  $\langle p, s | T J_\mu(x) J_\nu^5(0) | p, s \rangle$ ]

$$\mu_{2m-1} = \int_0^1 dx x^{2m-1} F_1(x)$$

can be computed from a finite number of sampled points  $\{T_{33}(\omega_n)\}$  of the Compton amplitude

$$t_n = T_{33}(\omega_n), n = 1, \dots, N$$

by the set of linear equations

$$\begin{pmatrix} t_1 \\ t_2 \\ \vdots \\ t_N \end{pmatrix} = \begin{pmatrix} 4\omega_1^2 & 4\omega_1^4 & \cdots & 4\omega_1^{2M} \\ 4\omega_2^2 & 4\omega_2^4 & \cdots & 4\omega_2^{2M} \\ \vdots & \vdots & \vdots & \vdots \\ 4\omega_N^2 & 4\omega_N^4 & \cdots & 4\omega_N^{2M} \end{pmatrix} \begin{pmatrix} \mu_1 \\ \mu_3 \\ \vdots \\ \mu_{2M-1} \end{pmatrix} \quad \text{Vandermonde M}$$

Solutions are well documented in the literature. Alternatively, we can fit the Compton amplitude by the interpolating polynomial

$$T_{33}(\omega) = 4 (\omega^2 \mu_1 + \omega^4 \mu_3 + \cdots + \omega^{2M} \mu_{2M-1})$$

## Structure function

The structure function  $F_1(x)$  can be computed from  $\{T_{33}(\omega_n)\}$  by discretizing the integral equation

$$t_n = \epsilon \sum_{m=1}^M K_{nm} f_m, \quad n = 1, \dots, N \quad [\text{here: points equidistant with step size } \epsilon]$$

with

$$f_m = F_1(x_m), \quad K_{nm} = \frac{4 \omega_n^2 x_m}{1 - (\omega_n x_m)^2}, \quad N < M$$

The  $N \times M$  matrix  $K$  is written

$$K = U [\text{diag}(w_1, \dots, w_N)] V^T$$

where  $W$  is singular:  $w_l \approx 0, L < l \leq N$ . Solution by **singular value decomposition (SVD)**

$$f_m = \sum_{n=1}^N K_{mn}^{-1} \epsilon^{-1} t_n$$

with  $K^{-1}$  being the pseudoinverse

$$K^{-1} = V [\text{diag}(1/w_1, \dots, 1/w_K, 0, \dots, 0)] U^T$$

**Mathematica**

## Alternative techniques

Educated fit

$$x F_1(x) = A_q x^\alpha (1-x)^\beta \quad \rightarrow \quad T_{33}(\omega) = 4A_q \Gamma(1+\beta) \sum_n \frac{\Gamma(\alpha+n)}{\Gamma(1+\alpha+\beta+n)} \omega^{n+1}$$

Fredholm integral equation of the first kind

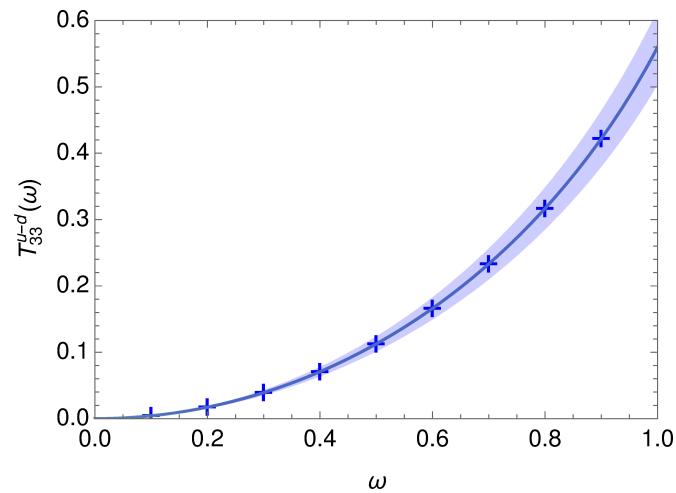
Solution [unique]

- Discrete Galerkin method [Hölder continuous functions]
- Chebyshev and Legendre wavelet collocation
- Inverse generalized Laplace–Stieltjes transform

## Proof of Concept

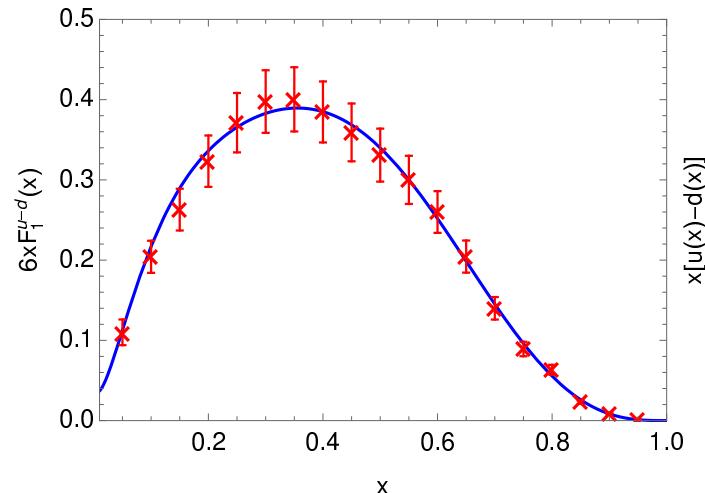
In

$$T_{33}^{u-d}(\omega) = 4\omega \int_0^1 dx \frac{\omega x}{1 - (\omega x)^2} F_1^{u-d}(x)$$



Out

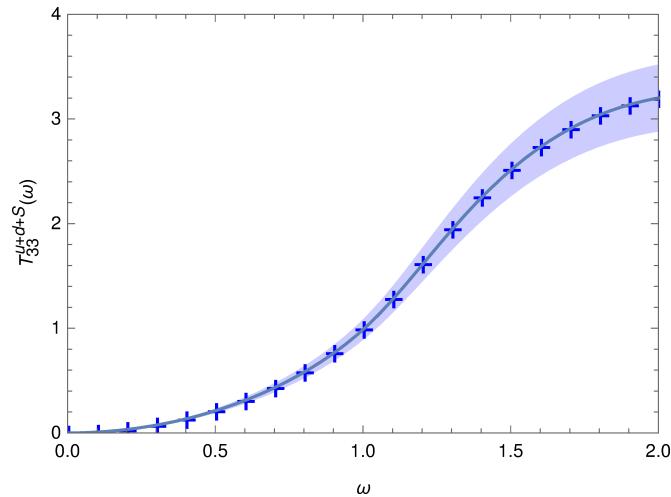
$$2xF_1^{u-d}(x) = \frac{1}{3}x[u(x) - d(x)]$$



MSTW-lo

In

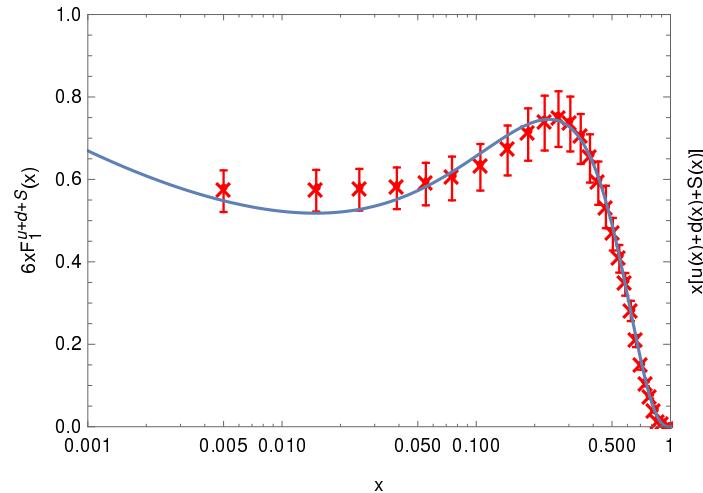
$$T_{33}^{u+d+S}(\omega) = 4\omega \int_0^1 dx \frac{\omega x}{1-(\omega x)^2} F_1^{u+d+S}(x)$$



$$\omega \in [0, 2]$$

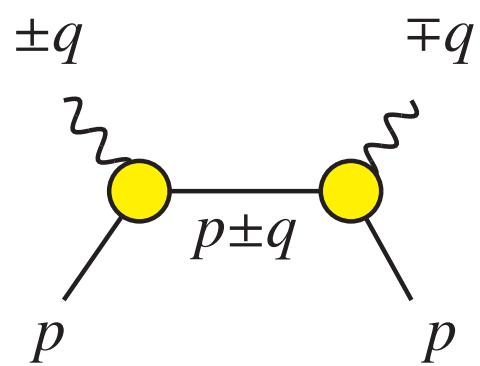
Out

$$2xF_1^{u+d+S}(x) = \frac{1}{3}x[u(x) + d(x) + S(x)]$$



MSTW-10

Note that intermediate states of the (semi-)elastic Compton amplitude  $T_{\mu\nu}(\omega, q^2)$  can go on-shell for  $\omega \geq 1$



For example: **nucleon pole**

$$p^2 = -E^2 + \vec{p}^2 = -m_N^2$$

$$\begin{aligned} (p \pm q)^2 &= p^2 \pm 2pq + q^2 = -m_N^2 + q^2(1 \pm \omega) \\ &= -m_N^2 \quad \text{for } \omega = \mp 1 \end{aligned}$$

However, this contribution is **power suppressed** by the product of nucleon form factors,  $[F_1(q^2)]^2$ . In our example (see next slides)  $q^2 \approx 4 \text{ GeV}^2$ , which leads to a suppression factor of  $\approx 1/500$

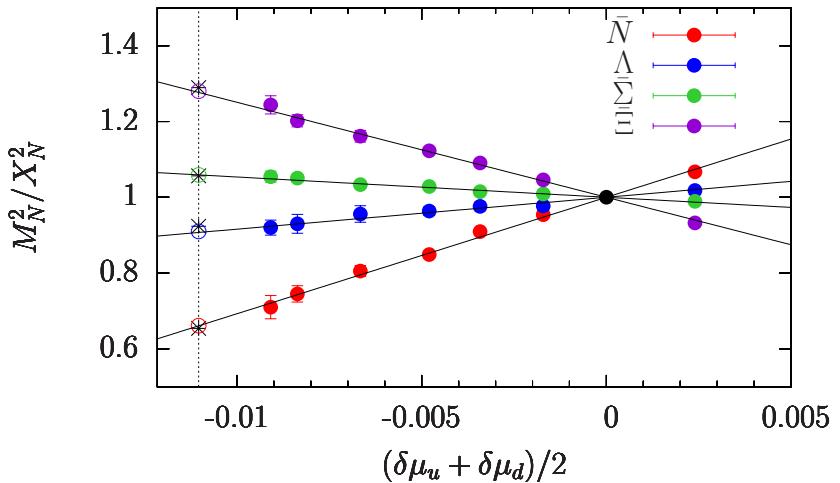
## Lattice Study

SU(3) symmetric point

$$M_\pi = M_K \approx 420 \text{ MeV}$$

$V$	$a$ [fm]	$q^2$ [GeV $^2$ ]
$32^3 \times 64$	0.074	1.37
$32^3 \times 64$	0.074	2.74
$32^3 \times 64$	0.074	3.56
$32^3 \times 64$	0.074	4.66
$32^3 \times 64$	0.074	5.48
$32^3 \times 64$	0.074	6.85
$48^3 \times 96$	0.068	1.44

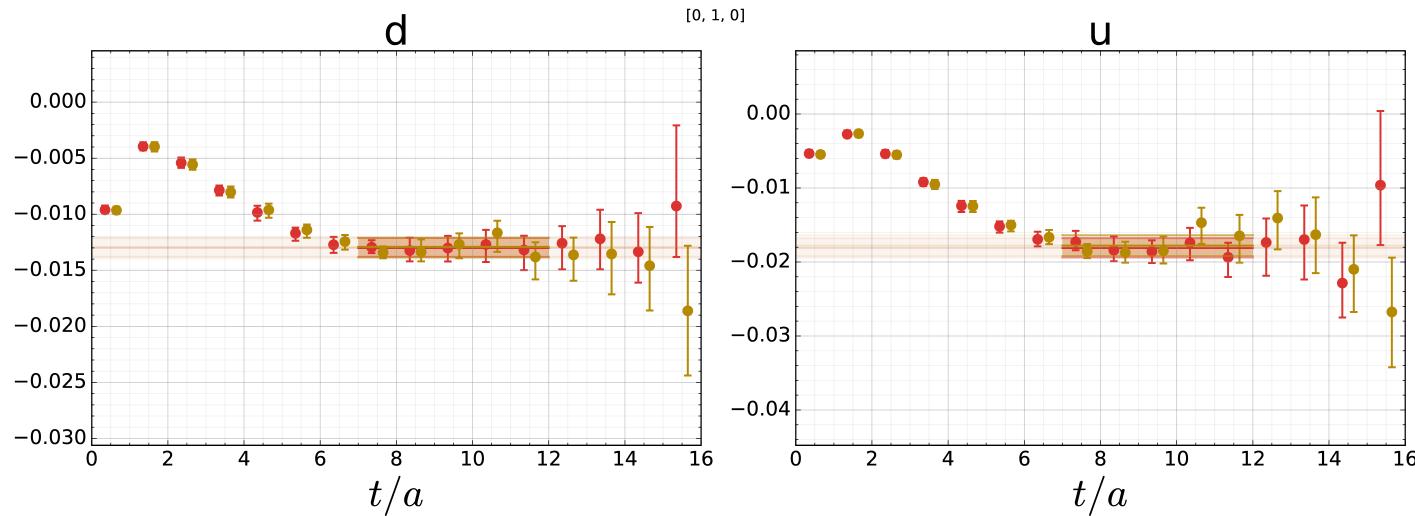
Valence quark insertion



$$\Delta E_\lambda = E_\lambda - E_0$$

$$\langle N(\vec{p}, t) \bar{N}(\vec{p}, 0) \rangle_\lambda \simeq C_\lambda e^{-E_\lambda(p,q) t}$$

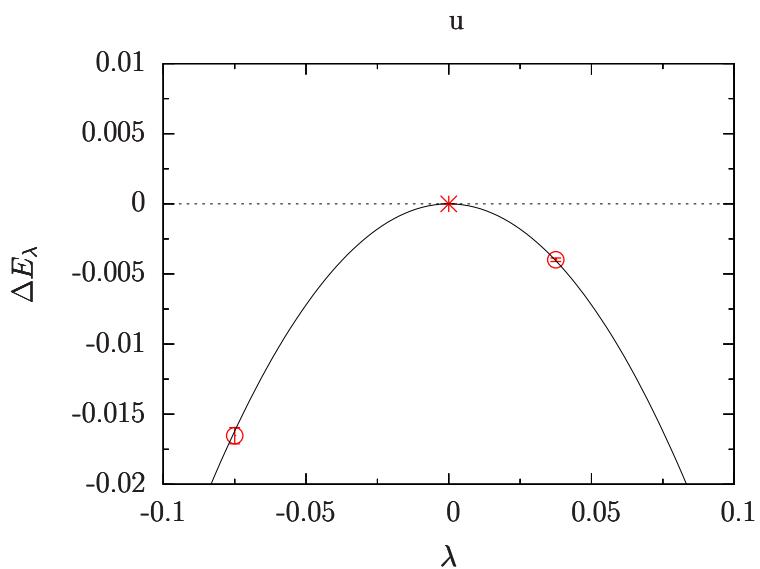
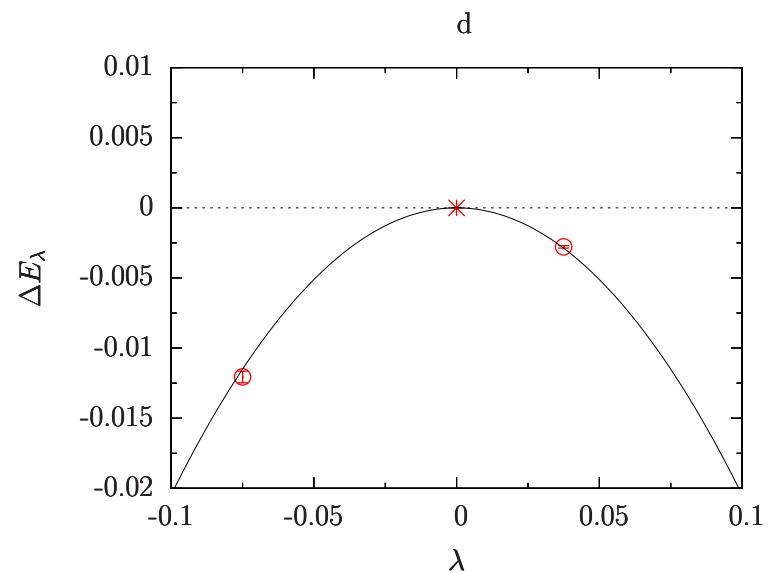
$$\omega=0.3$$

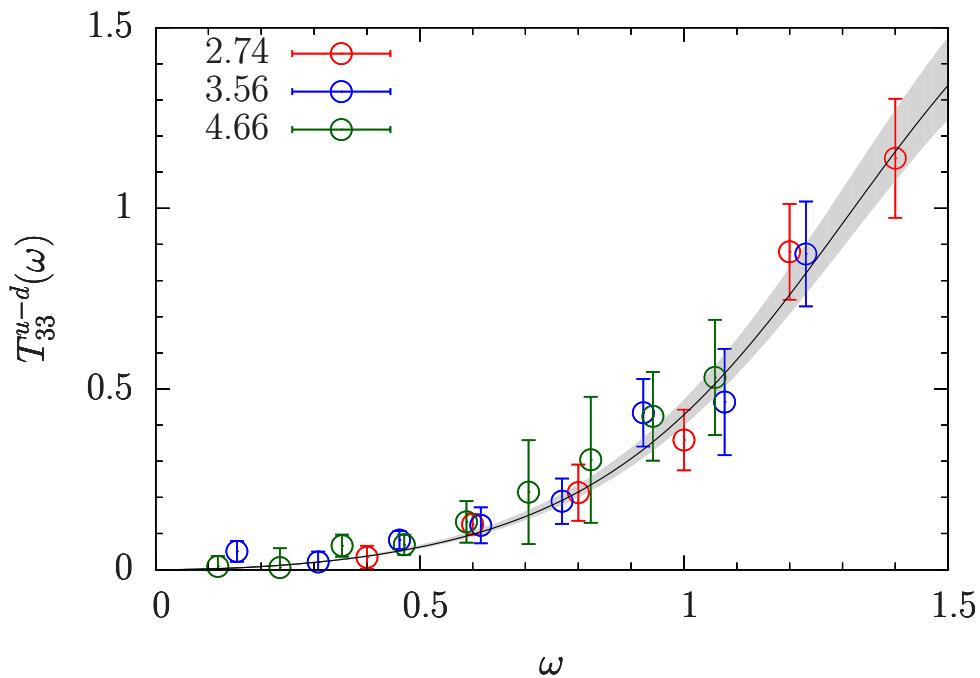


→ continuum physics

$$\Delta E_\lambda = E_\lambda - E_0 \propto \lambda^2$$

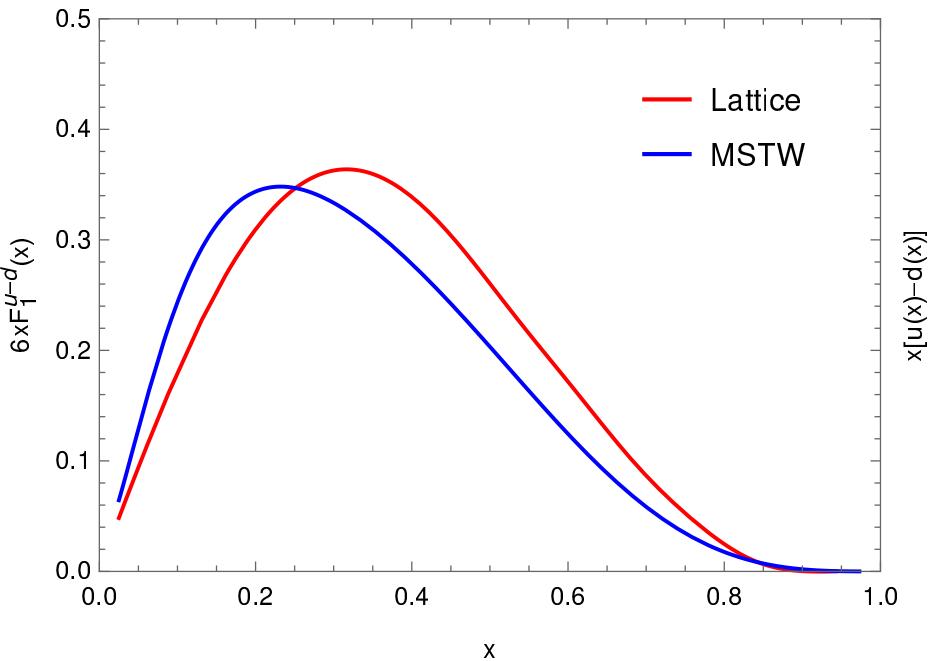
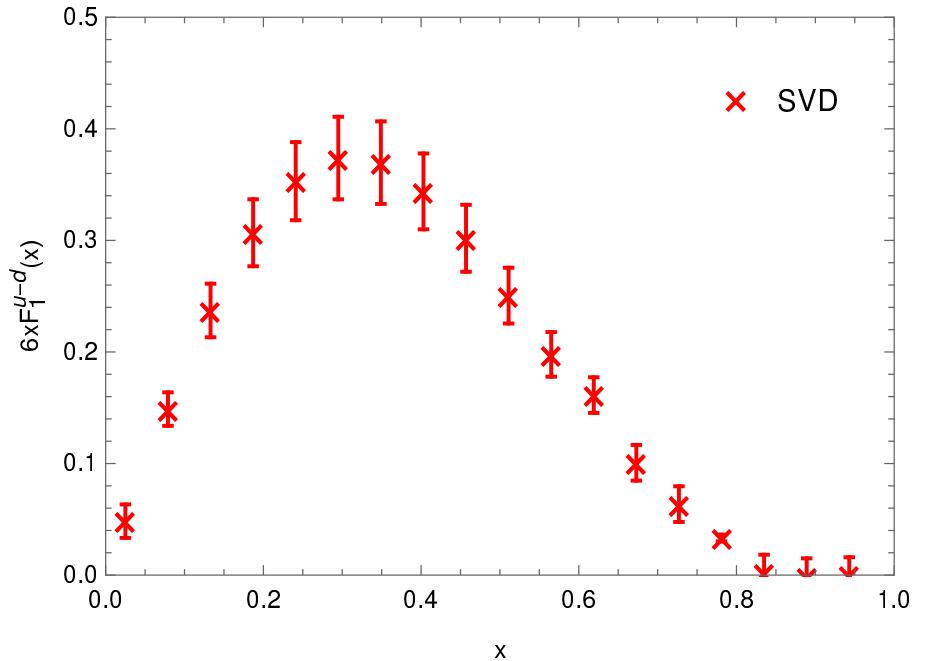
$$\omega = 0.3$$



$q^2 [\text{GeV}^2]$ 

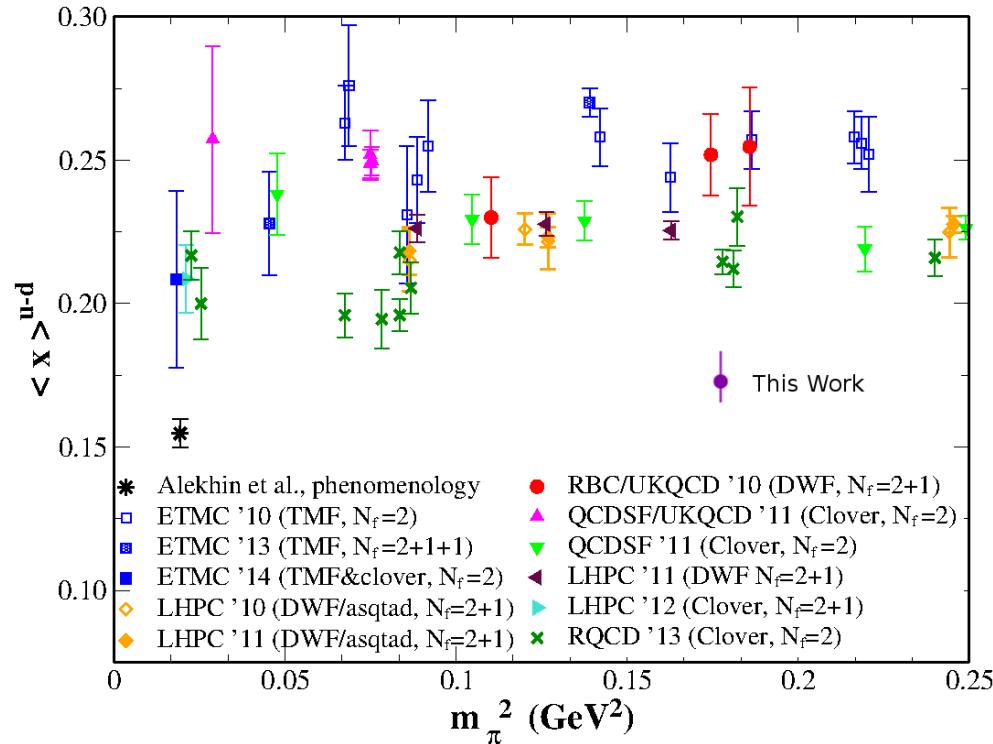
Padé fit

## Solution



MSTW NNLO

## First moment



From  $T_{03}$  to  $g_1(x, q^2)$  and  $g_2(x, q^2)$

The Compton amplitude  $T_{03}(\omega, q^2)$  needs to be antisymmetric in the Lorentz indices,  $T_{03}(\omega, q^2) = -T_{30}(\omega, q^2)$ , in this case. That can be achieved by introducing the perturbation to the Lagrangian

$$\mathcal{L}_{\text{QCD}}(x) \rightarrow \mathcal{L}_{\text{QCD}}(x) + \lambda \mathcal{J}_{0+3}(x), \quad \mathcal{J}_{0+3}(x) = Z_V e_q \bar{q}(x) (\gamma_0 \cos(\vec{q}\vec{x}) + \gamma_3 \sin(\vec{q}\vec{x})) q(x)$$

and taking the second derivative of  $\langle N(\vec{p}, t) \bar{N}(\vec{p}, 0) \rangle_\lambda \simeq C_\lambda e^{-E_\lambda(p,q)t}$  with respect to  $\lambda$  as before, giving

$$-2E_\lambda(p, q) \frac{\partial^2}{\partial \lambda^2} E_\lambda(p, q) \Big|_{\lambda=0} = T_{03}(p, q) - T_{30}(p, q)$$

$T_{00}, T_{33}$  drop out

## PDFs

$$F_1(x) = \sum_{i=u,d,\dots,g} \int_x^1 \frac{dy}{y} c_{1,i}(x/y, \mu^2) f_i(y, \mu^2)$$

$$g_1(x) = \sum_{i=u,d,\dots,g} \int_x^1 \frac{dy}{y} e_{1,i}(x/y, \mu^2) \Delta f_i(y, \mu^2)$$



perturbatively known

$f_u(x) = u(x)$	$\Delta f_u(x) = \Delta u(x)$
$f_d(x) = d(x)$	$\Delta f_d(x) = \Delta d(x)$
$f_{\bar{u}}(x) = \bar{u}(x)$	$\Delta f_{\bar{u}}(x) = \Delta \bar{u}(x)$
$f_{\bar{d}}(x) = \bar{d}(x)$	$\Delta f_{\bar{d}}(x) = \Delta \bar{d}(x)$

Solely need to replace

$$K_{nm} = \frac{4 \omega_n^2 x_m}{1 - (\omega_n x_m)^2} \quad \rightarrow \quad K_{nm} = 2 \omega_n^2 \int_0^1 dy y x_m \frac{c_1(y, \mu^2)}{1 - (y \omega_n x_m)^2}$$

Check factorization

## Outlook

- Computations can be improved in many respects
  - Apply Bayesian regression with SVD to alleviate overfitting
  - Employ momentum smearing techniques for larger values of  $\omega$
- With gradual improvements, we should be able to compute the structure functions  $F_1(x, q^2)$  and  $F_2(x, q^2)$ , as well as  $g_1(x, q^2)$  and  $g_2(x, q^2)$ , including contributions of higher twist, from the Compton amplitude with unprecedented accuracy
- This is possible, because the calculation skirts the issue of renormalization and operator mixing
- The method can easily be generalized to generalized parton distribution functions (GPDs)  $H(x, \xi, q^2)$  and  $E(x, \xi, q^2)$