

# Proton without confinement

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Pietro Faccioli



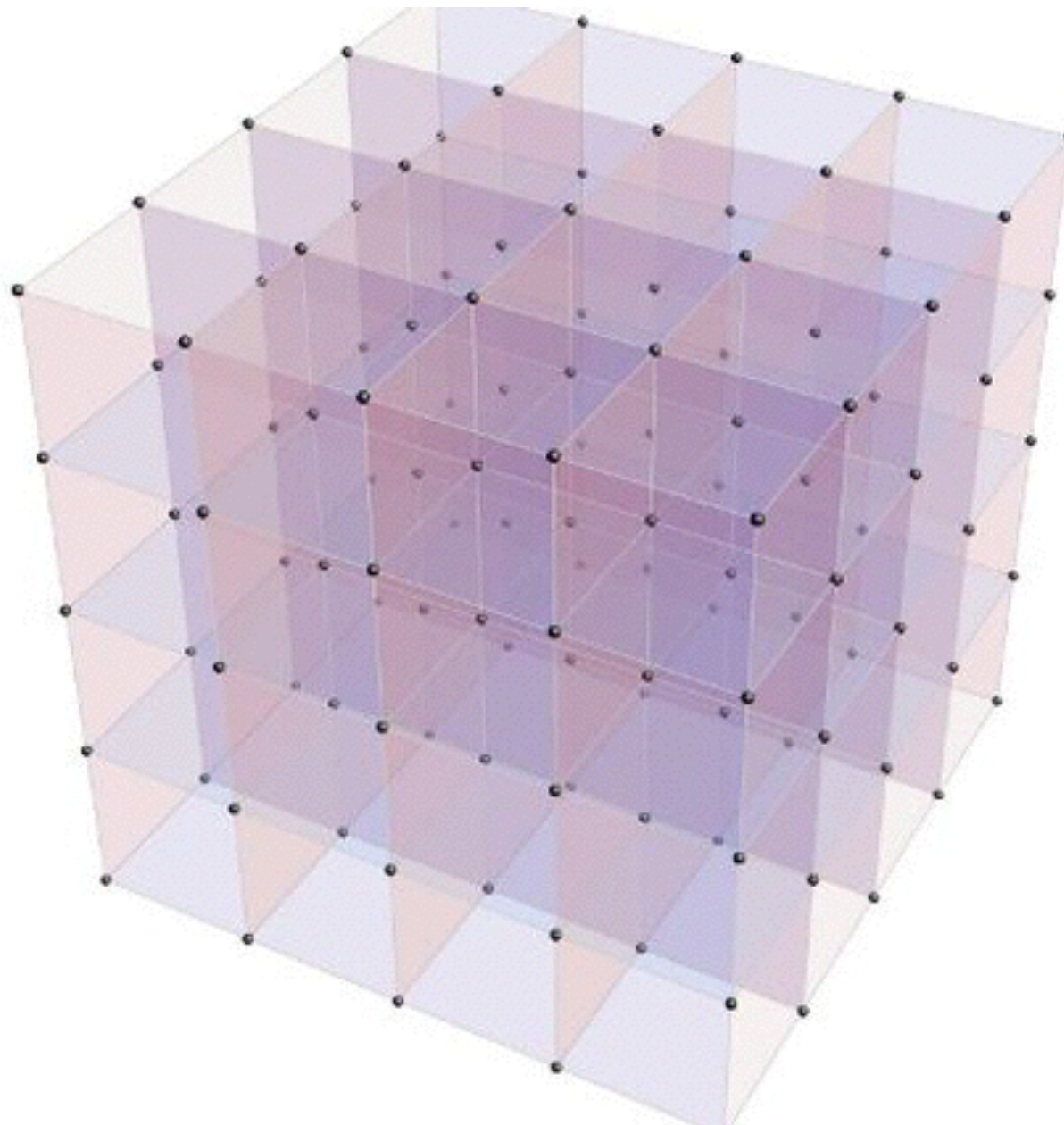
UNIVERSITÀ DEGLI STUDI  
DI TRENTO

Dipartimento di Fisica



Trento Institute for  
Fundamental Physics  
and Applications

# A few facts from lattice QCD



# Fact 1: Proton and confinement

\* In cooling numerical “experiments”, the string tension disappears a few cooling steps:

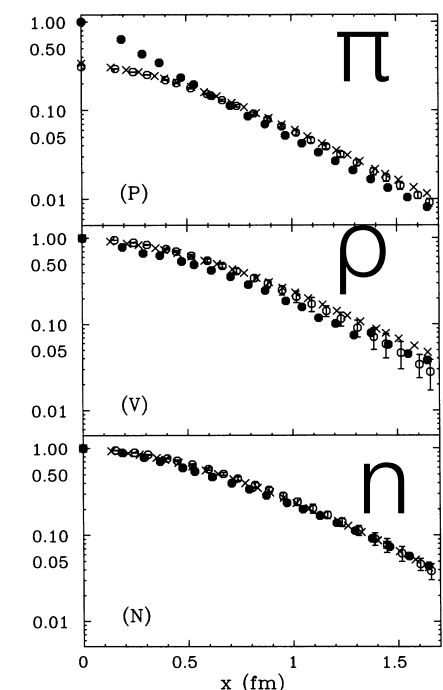
\* However, more cooling steps are needed to kill the proton pole in the 2-point correlation function:

\* After cooling, only smooth lumps of topological fields survive

Cooling steps	$\langle S \rangle / S_0$	$\sigma a^2$
0	20,211	0.18
25	64	0.05
50	31	0.03

*M-C Chu, J. Grandy, S Huang and J. Negele, PRD 49 (1994)*

$$\Pi(x) = \langle 0 | J(x) \bar{J}(0) | 0 \rangle$$



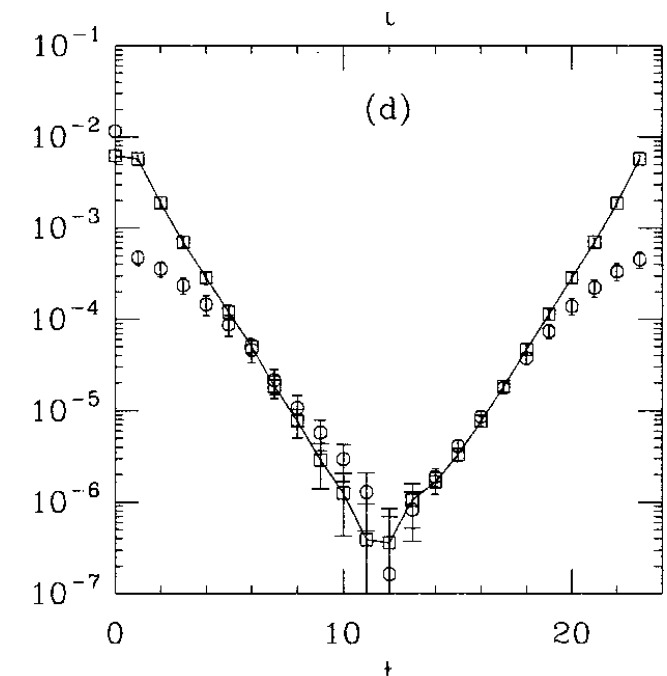
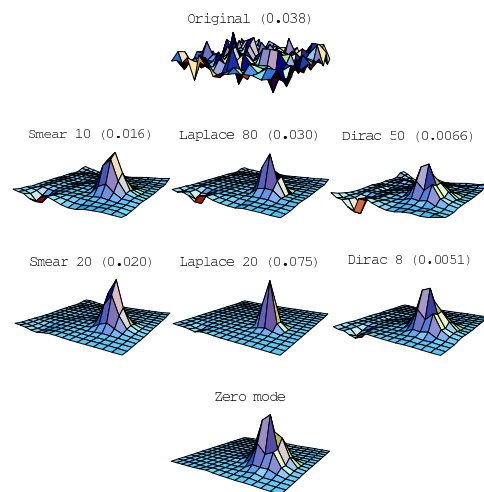
**Implication:** protons (and pions) can be bound by QCD ‘forces’, without confinement. Quantitative statements are more delicate

# Fact 2: Topology and chiral symmetry breaking

\* In eigenvalue filtering, chiral properties of QCD are well reproduced by lowest eigenmodes of Dirac operator and correlate with topological “lumps”.

\* The nucleon correlation function is “saturated” by the lowest modes

\* If the same lattice configurations are cooled, then very similar **topological structures** are obtained



*Bruckmann et al.*  
*Eur. Phys. J. A 33, 333–338 (2007)*

**Implication:** Topological lumps with low Euclidean action correlate with chiral properties of QCD. Chiral modes **bind** (not confine) the nucleon.



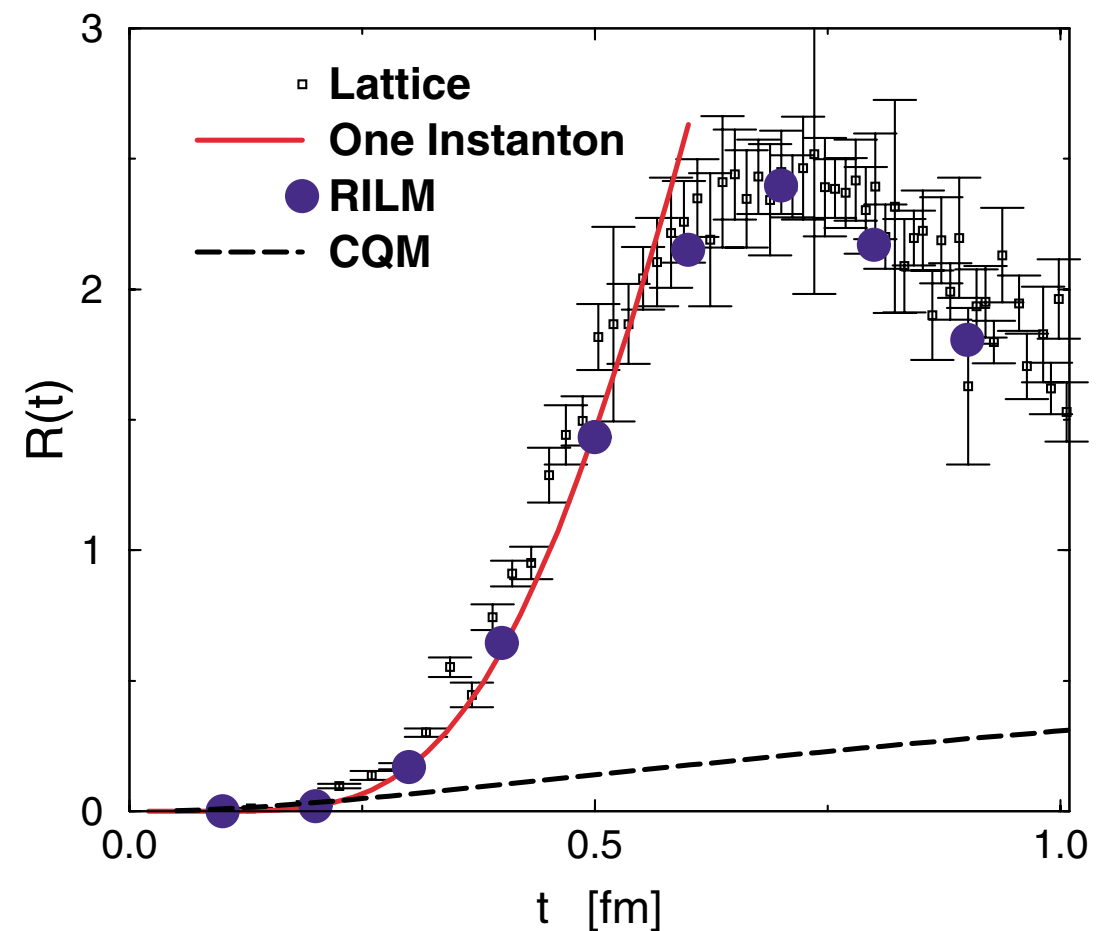
# Fact 3: Chirality flipping interactions

## Evidence for Instanton-Induced Dynamics from Lattice QCD

Pietro Faccioli<sup>1,2,\*</sup> and Thomas A. DeGrand<sup>3,4,†</sup>

\* Chiral asymmetry in lattice:

$$R(|x|) = \frac{\mathcal{P}(LR \xrightarrow{|x|} RL)}{\mathcal{P}(LR \xrightarrow{|x|} LR)}$$



**Explanation:** Quasi-local non perturbative chirality-flipping interactions large non-vector components to the quark-gluon vertex.

# Summarizing...


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- Light hadrons are bound also at zero string tension
- Their quark wave-function is saturated by near zero-modes correlated with isolated topological gauge field lumps with low action (chiral dynamics)
- Non-perturbative  $q\bar{q}$  interactions flip chirality.

# Can we embody this information in a qualitative picture leading to a calculable model?

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Why bother about a model if we have LQCD?



**Compute observables which are hard/impossible to get from LQCD**

E.g. time-like, hadronic resonances, neutron EDM, ...



**Build qualitative insight:**

E.g. what is the structure of the nucleon wave function?  
-i.e. why E/M form factors are what they are?

**...also learn from failures**

# QCD Instantons

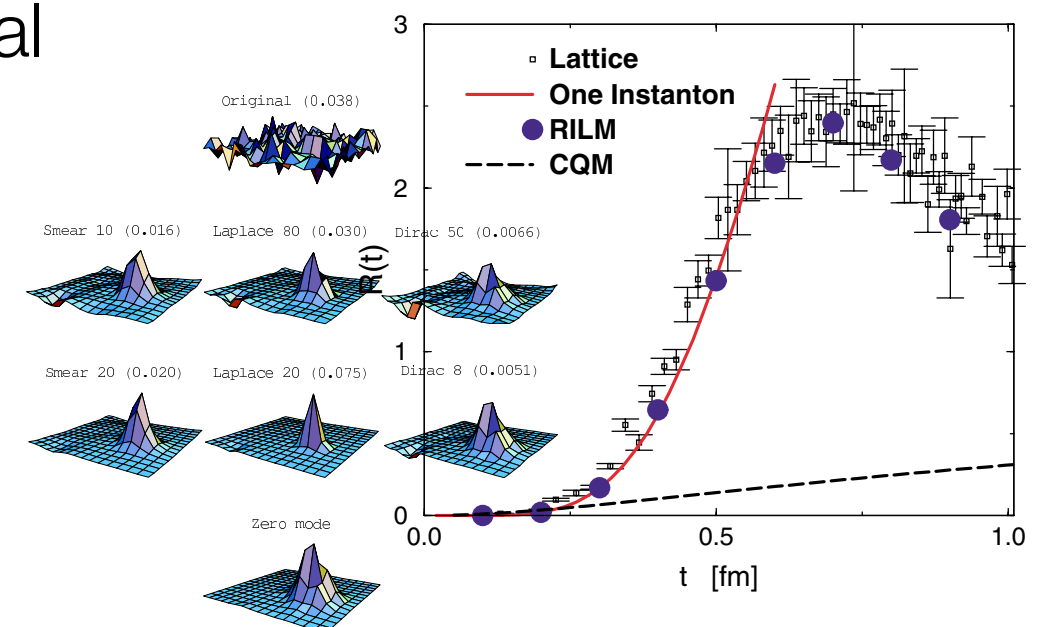
(Belavin, Polyakov, Schwartz, Tyupkin, 't Hooft )

Instanton solution:  $\delta S_{YM}[A_\mu^I] = 0$

One can build *multi-instanton* fields  $\bar{A}_\mu^\Lambda(x)$  such that:  $\delta S[\bar{A}_\mu^\Lambda] \simeq 0$

They are attractive because

- \* topological lumps with low action
- \* naturally generate near zero-mode zone (chiral symmetry breaking)
- \* they induce chirality flipping interactions
- \* Do not provide confinement



# Instanton liquid model

(Shuryak, Diakonov et al. 1982-200X)

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$$A_\mu(x) = \bar{A}_\mu^\Lambda(x) + \delta A_\mu \quad \Lambda = (\lambda_1, \lambda_2, \dots) \quad (\text{collective coordinates})$$

Then the QCD partition function is written as

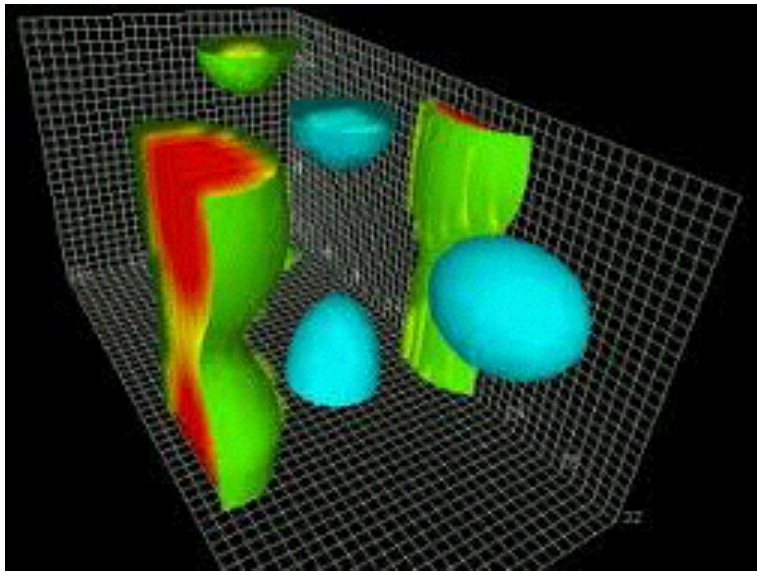
$$Z_{YM} = \int d\Lambda e^{-S_{YM}[\bar{A}_\mu(\Lambda)]} \int d\delta A_\mu e^{-(S_{YM}(\bar{A}^\Lambda + \delta A) - S_{YM}[\bar{A}^\Lambda])}$$

NB: expand this to  $\mathcal{O}(\delta A^2_\mu)$  -semiclassical approx-  
wouldn't work (IR divergent)

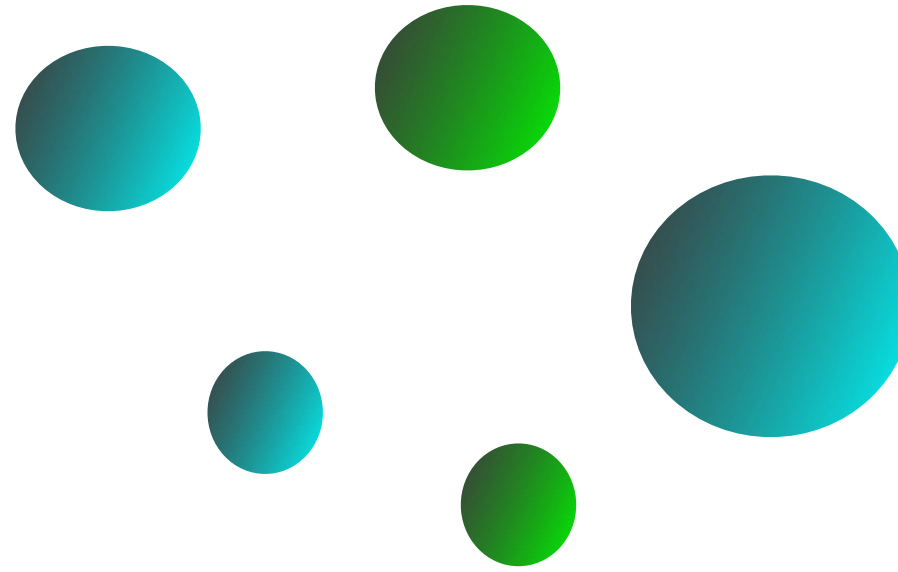
$$\simeq \int d\Lambda e^{-S_{YM}[\bar{A}_\mu(\Lambda)]} \times n(\Lambda) \equiv Z_{ILM}$$

Variational estimate of the QCD path integral

# Instanton Models



Lattice



ILM

$$\bar{n} \sim 1 \text{ fm}^{-4} \quad \bar{\rho} \sim \frac{1}{3} \text{ fm}$$

phenomenology or self-consistent variational principle

NB: (near) zero-mode wave function is analytically known  
(light-quark propagator in a given background)



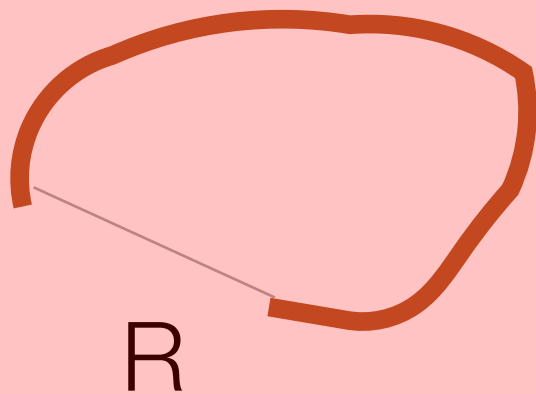
# Can we compute these parameters from LQCD?

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Cooling computing  $n(p)$  by cooling or filtering is tricky: when should we stop?

Can we compute it without “touching” the lattice configurations?

Inspiration: potential of mean-force in stat. mech.



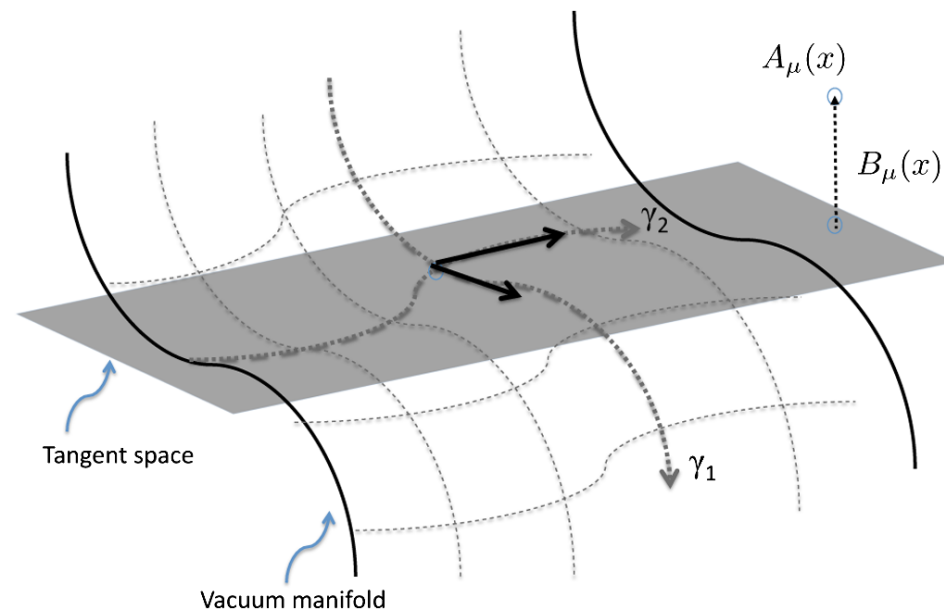
$$e^{-\beta G(R)} \equiv \int dP dQ \, \delta(R - |\mathbf{r}_1 - \mathbf{r}_N|) e^{-\beta H[P, Q]}$$

Project onto the plane of reaction coordinate and count...

How can we extent this simple idea to lattice QCD?

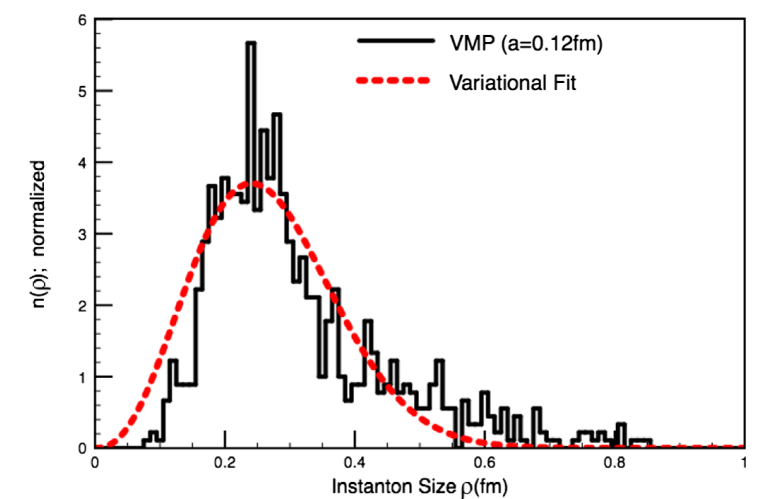
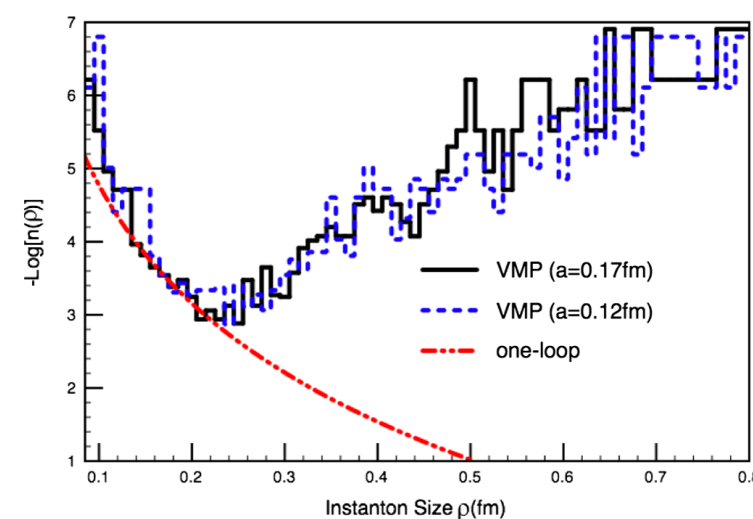
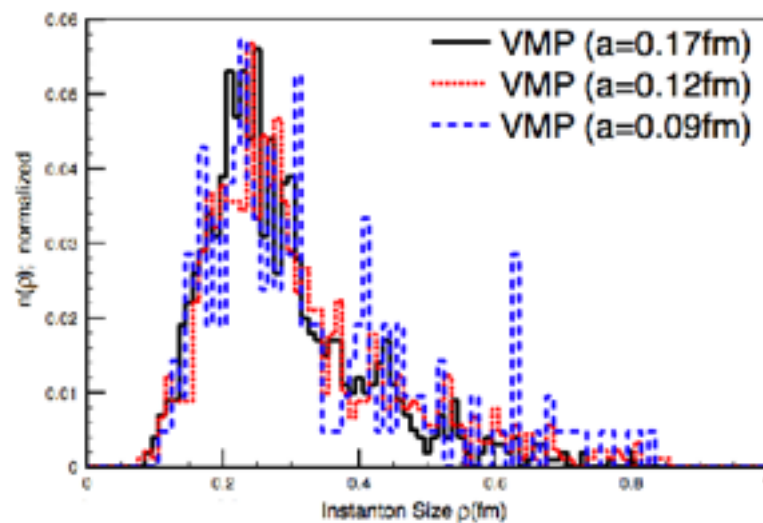
# Computing $n(\rho)$ from LQCD

Step 1: define a local system of coordinates in the back-ground field manifold



$$g_\mu^i(x) \equiv \frac{\partial}{\partial \lambda_i} \bar{A}_\mu^\Lambda(x)$$

$$(g_\mu^i(x), A_\mu(x)) = \text{Tr}_c \left[ \int d^4x A_\mu(x) g_\mu^i(x) \right]$$



NB some observables depend only on  $\rho$ !

Applications to light hadron phenomenology:

# Strategies to compute in the ILM

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## Monte Carlo Simulations (Shuryak, Schäfer)

- + no further approx.
- numeric (limited insight)

## Mean Field (chiral soliton) (Dyakonov, Petrov ...)

- + Analytic
- Mean field for hadrons....

## Single Instanton Approximation (Shifman, Shuryak, Forkel, PF)

- + Analytic, one parameter  $\rho=0.3$
- Valid only for  $Q^2 > 1$  GeV

PHYSICAL REVIEW D, VOLUME 64, 114020

### Systematic study of the single instanton approximation in QCD

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*Department of Physics and Astronomy, State University of New York at Stony Brook, Stony Brook, New York 11794*

(Received 1 August 2001; published 12 November 2001)

# Spectroscopy: Proton and pion mass

PHYSICAL REVIEW D 75, 034008 (2007)

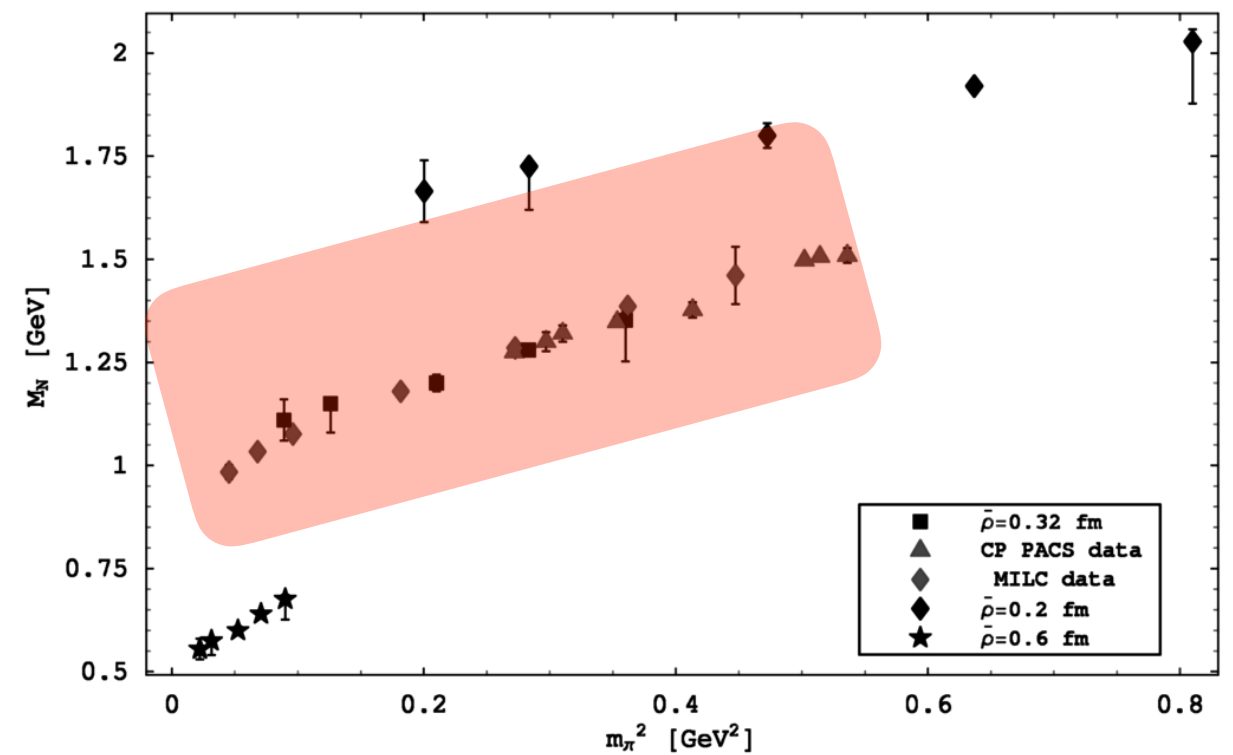
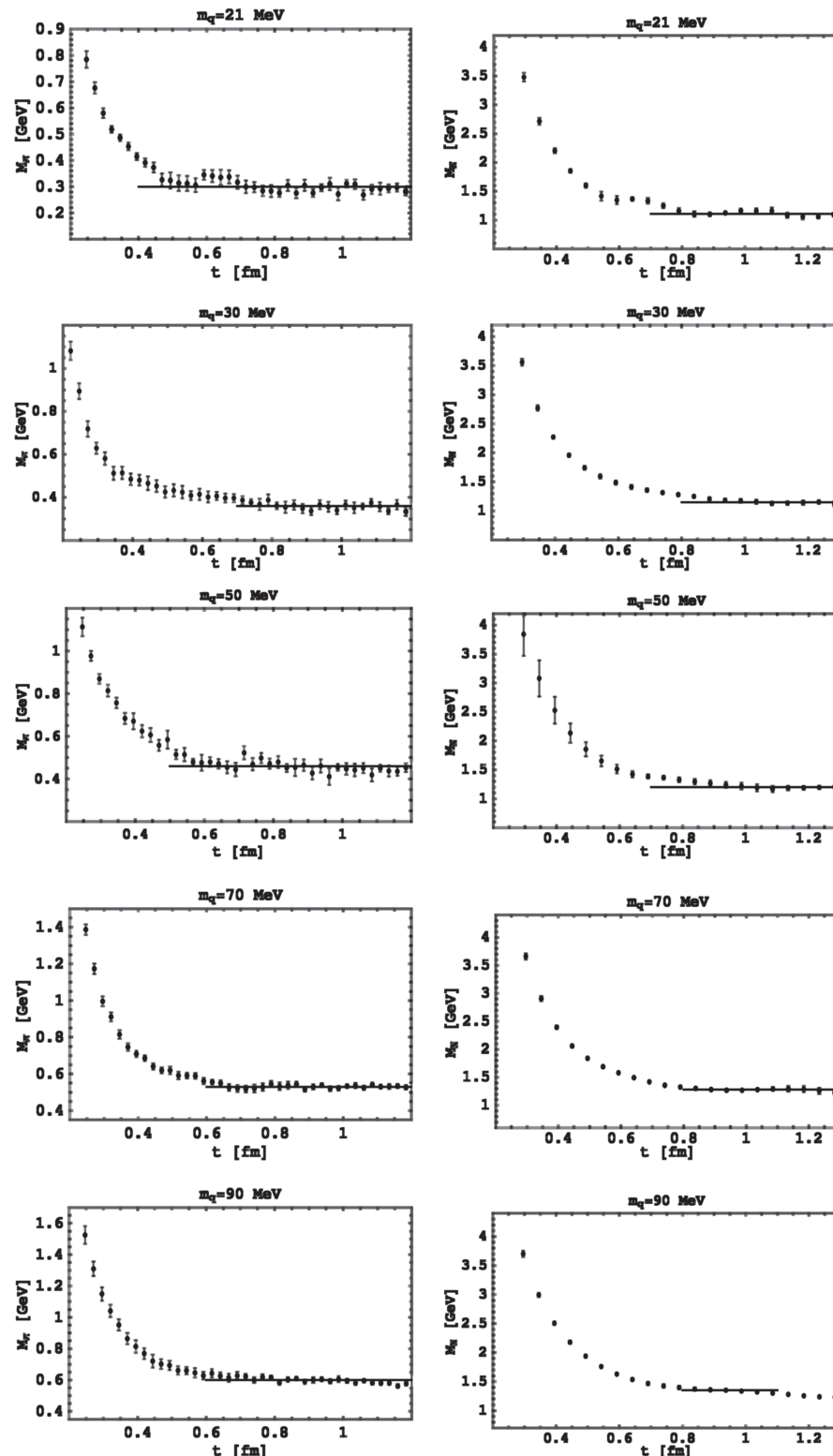
## Exploring the chiral regime of QCD in the interacting instanton liquid model

M. Cristoforetti, P. Faccioli, and M. C. Traini

*Dipartimento di Fisica and I.N.F.N., Università degli Studi di Trento, Via Sommarive 15, Povo (Trento) 38050 Italy.  
and European Centre for Theoretical Studies in Nuclear Physics and Related Areas,  
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J. W. Negele

*Center for Theoretical Physics Massachusetts Institute of Technology.*



$$G_2(\tau) = \int d^3\mathbf{x} \langle 0 | J_N(\mathbf{x}, \tau) J^\dagger(\mathbf{0}, 0) | 0 \rangle$$

$$M_{eff}(\tau) = -\frac{d}{d\tau} \log G_2(\tau)$$

# Spectroscopy: Lowest-lying resonances

PHYSICAL REVIEW D **75**, 034008 (2007)

## Exploring the chiral regime of QCD in the interacting instanton liquid model

M. Cristoforetti, P. Faccioli, and M. C. Traini

*Dipartimento di Fisica and I.N.F.N., Università degli Studi di Trento, Via Sommarive 15, Povo (Trento) 38050 Italy.  
and European Centre for Theoretical Studies in Nuclear Physics and Related Areas,  
Strada delle Tabarelle 286, Villazzano (Trento), I-38050 Italy.*

J. W. Negele

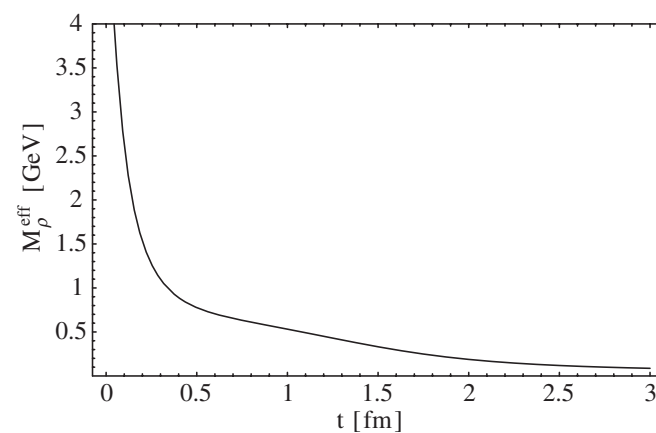
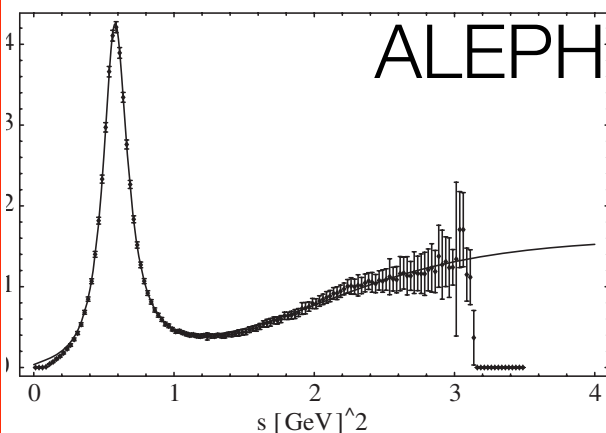
*Center for Theoretical Physics Massachusetts Institute of Technology.*

Hadron effective mass form phenomenology:

$$G_H(\tau) = \int d^3\mathbf{x} \langle 0 | J_H(\mathbf{x}, \tau) J_H^\dagger(\mathbf{0}, 0) | 0 \rangle$$

$$G_H(\tau) = \int \frac{ds}{2\sqrt{s}} \rho_H(s) e^{-\sqrt{s}\tau},$$

$\rho$  meson:

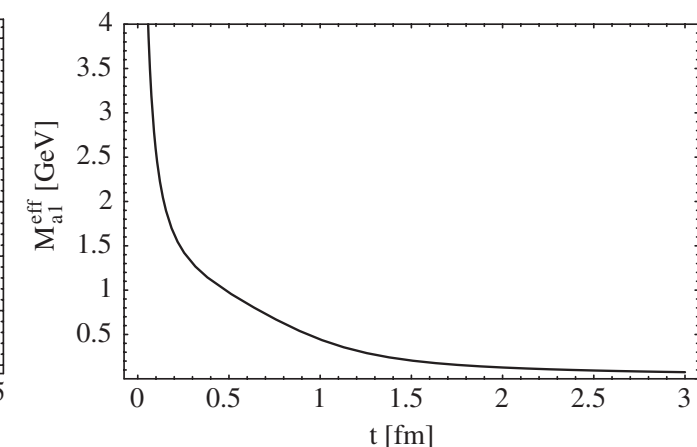
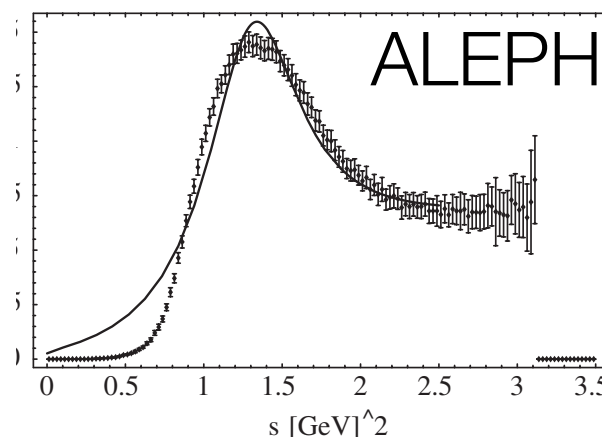


$$\rho_\rho(s) = C_1^p \frac{(\Gamma_\rho/2)^2}{(\Gamma_\rho/2)^2 + (\sqrt{s} - m_\rho)^2} + \frac{C_2^p}{1 + \exp[(E_0 - \sqrt{s})/0.2]}.$$

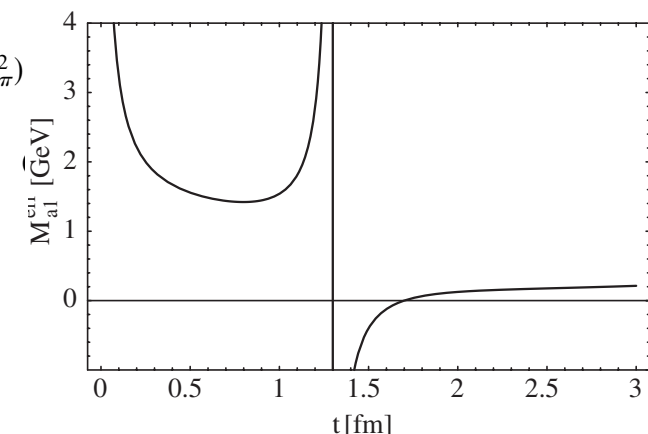
$$M_{eff}(\tau) = -\frac{d}{d\tau} \log G_H(\tau)$$

$$G_H(\tau) = \int \frac{ds}{2\sqrt{s}} \rho_H(s) e^{-\sqrt{s}\tau},$$

$a_1$  meson:



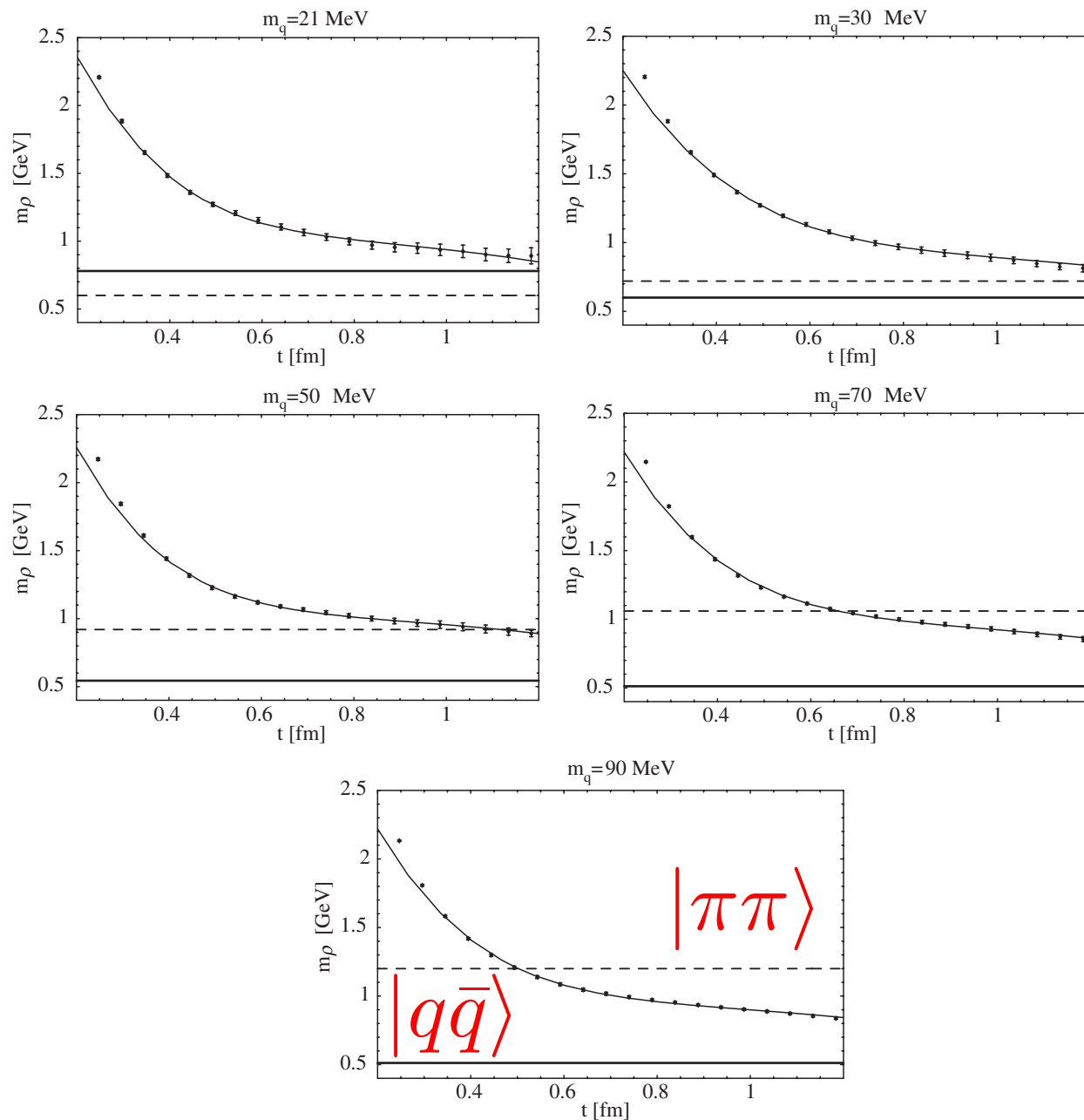
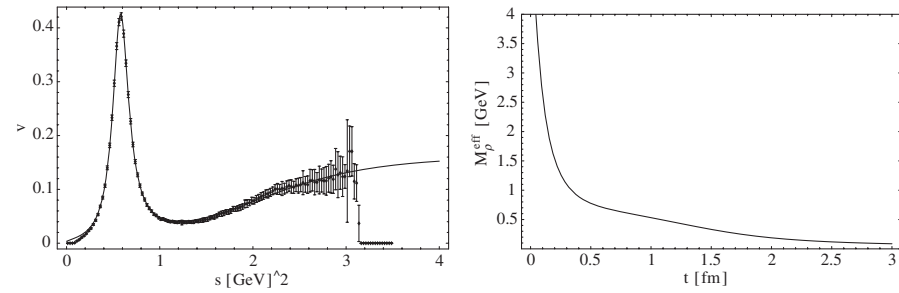
$$\rho(s) = C_1^{a_1} \frac{(\Gamma_{a_1}/2)^2}{(\Gamma_{a_1}/2)^2 + (\sqrt{s} - m_{a_1})^2} - f_\pi^2 m_\pi^2 \delta(s - m_\pi^2) + \frac{C_2^{a_1}}{1 + \exp[(E_0 - \sqrt{s})/0.2]}.$$





# ILM analysis

$\rho$  meson:



$a_1$  meson:

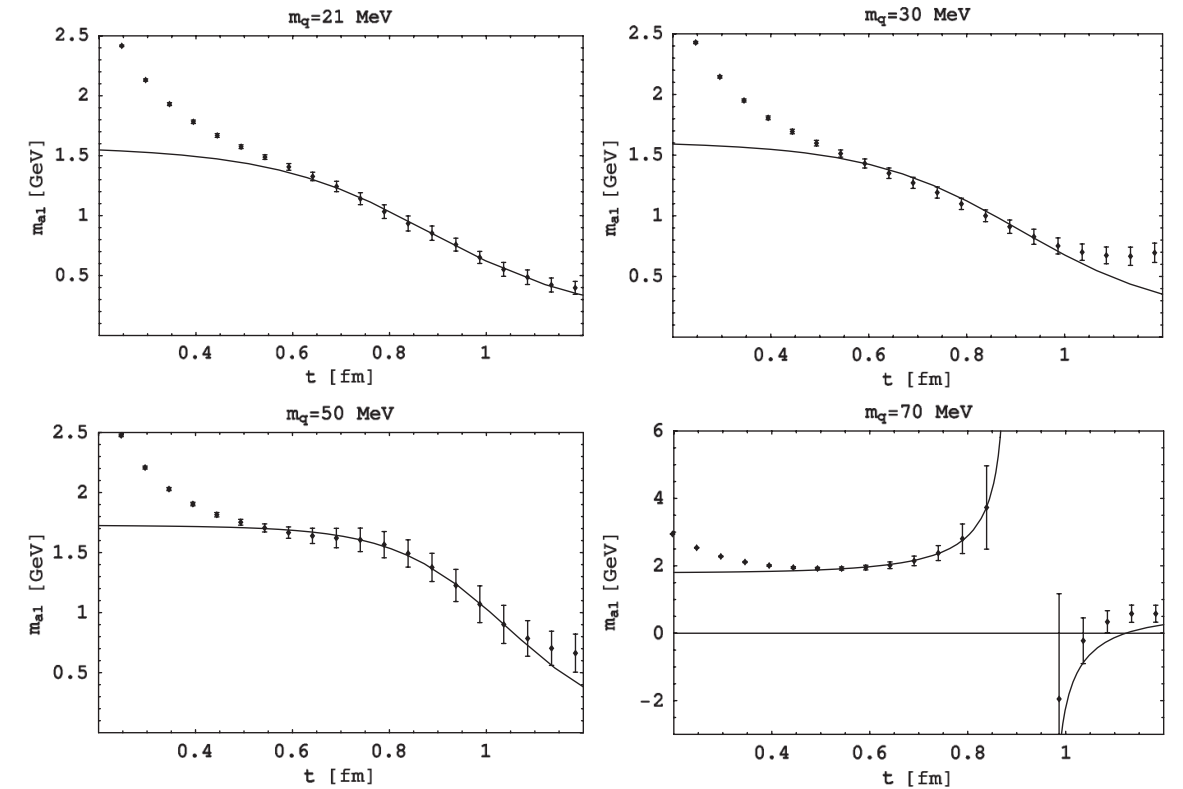
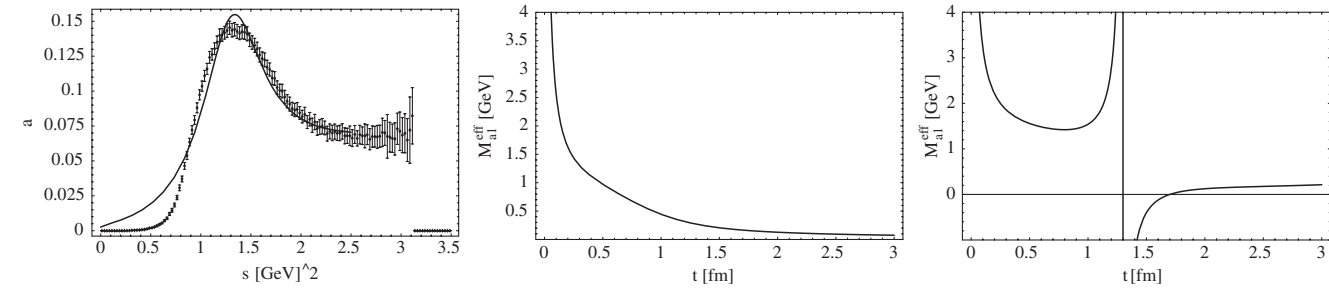


TABLE I.  $\pi$ ,  $\rho$ ,  $a_1$  masses (in GeV units) calculated in the ILM for different quark masses.

$m_q$	$M_\pi$	$M_\rho$	$M_{a_1}$	$\Gamma_\rho$	$\Gamma_{a_1}$
0.02	$0.30 \pm 0.04$	$1.0 \pm 0.1$	$1.6 \pm 0.1$	$\simeq 0.01$	$< 0.02$
0.03	$0.36 \pm 0.04$	$0.9 \pm 0.1$	$1.6 \pm 0.1$	$\simeq 0.01$	$< 0.03$
0.05	$0.46 \pm 0.04$	$1.0 \pm 0.1$	$1.7 \pm 0.1$	$\simeq 0.05$	$< 0.01$
0.07	$0.53 \pm 0.04$	$1.0 \pm 0.1$	$1.7 \pm 0.1$	$\simeq 0.05$	$< 0.01$
0.09	$0.60 \pm 0.04$	$0.9 \pm 0.1$	$1.8 \pm 0.2$	$\simeq 0.05$	$< 0.01$

Learn from failure: No confinement!

# Glueballs

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- V.A. Novikov, M.A. Shifman, A.I. Vainshtein, V.I. Zakharov, Nucl. Phys. B 191, 301 (1981)
- E.V. Shuryak, Nucl. Phys. B 203, 116 (1982)
- H. Forkel, Phys. Rev. D 64, 034015 (2001)
- H. Forkel, Phys. Rev. D 71, 054008 (2005)
- T. Schafer, E.V. Shuryak, Phys. Rev. Lett. 75, 1707 (1995)
- M. Tichy and P. Faccioli, Eur. Phys. J. C 63: 423–433 (2009)

# Electro-weak structure of light hadrons

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## E/M form factors of pion, neutrons and proton

Instanton contribution to the proton and neutron  
electric form factors

P. Faccioli, A. Schwenk, E.V. Shuryak

*Department of Physics and Astronomy, State University of New York, Stony Brook, NY 11794-3800, USA*

PHYSICAL REVIEW D **67**, 113009 (2003)

**Instanton contribution to the pion electromagnetic form factor at  $Q^2 > 1 \text{ GeV}^2$**

P. Faccioli, A. Schwenk, and E. V. Shuryak

*Department of Physics and Astronomy, State University of New York, Stony Brook, New York 11794-3800, USA*

(Received 1 March 2002; published 26 June 2003)

Instanton contribution to the electromagnetic form factors of  
the nucleon

P. Faccioli

Phys. Rev. C **69**, 065211 – Published 30 June 2004

## Non-leptonic decays of kaons & hyperons ( $\Delta I = 1/2$ rule)

Instantons, diquarks, and nonleptonic weak decays of  
hyperons

M. Cristoforetti, P. Faccioli, E. V. Shuryak, and M. Traini  
Phys. Rev. D **70**, 054016 – Published 16 September 2004

Instantons and the  $\Delta I = 1/2$  Rule

N. I. Kochelev and V. Vento

Phys. Rev. Lett. **87**, 111601 – Published 24 August 2001

Are there diquarks in the nucleon?

M. Cristoforetti, P. Faccioli, G. Ripka, and M. Traini  
Phys. Rev. D **71**, 114010 – Published 27 June 2005

# So what?

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## **Build qualitative insight:**

E.g. what is the structure of the nucleon wave function?  
-i.e. why E/M form factors are what they are?

Why bother  
about a  
model ?

## **Compute observables which are hard/impossible to get from LQCD**

E.g. time-like, hadronic resonances, neutron EDM, ...

## **Learn from failures**

The purpose of this exercise is not that of trying to prove the model “is right” (what does it mean?)

But rather to learn from success and failures

# CASE 1: Pion FF

PHYSICAL REVIEW D **67**, 113009 (2003)

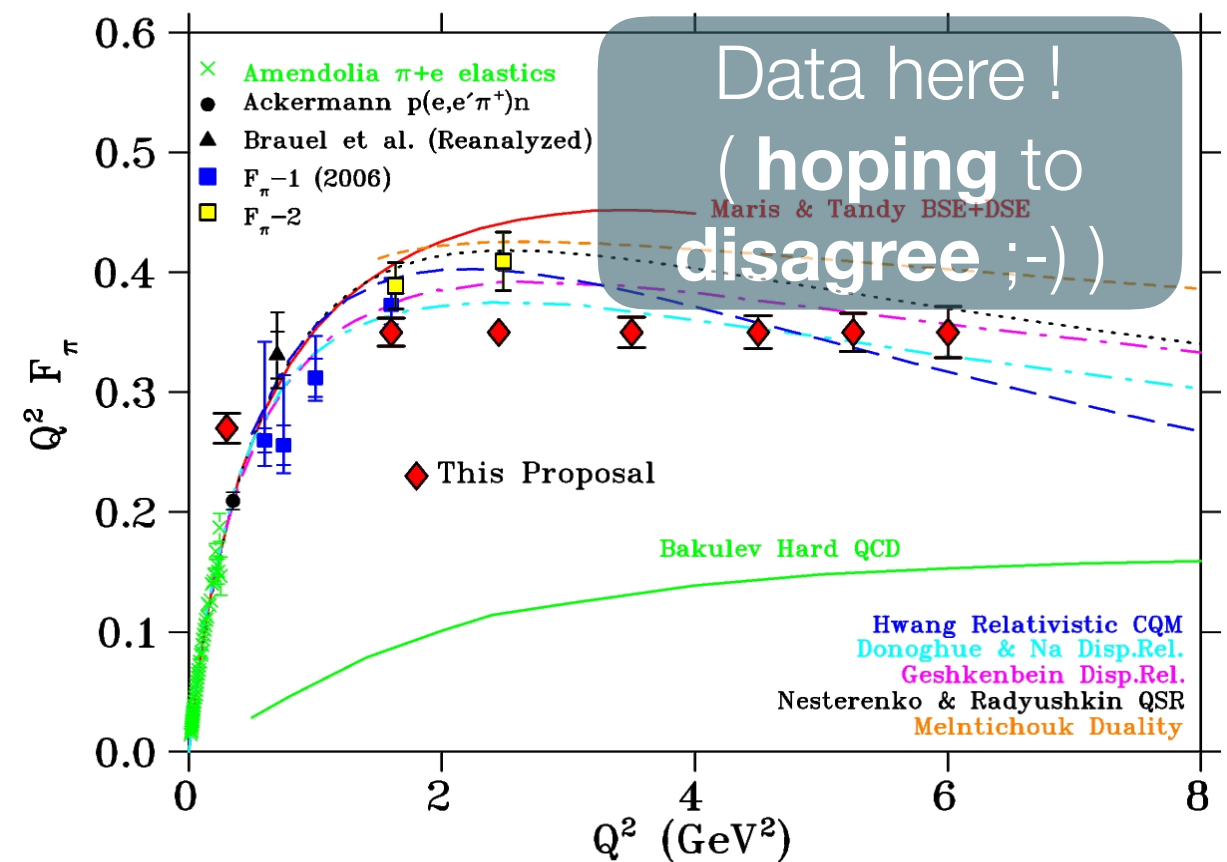
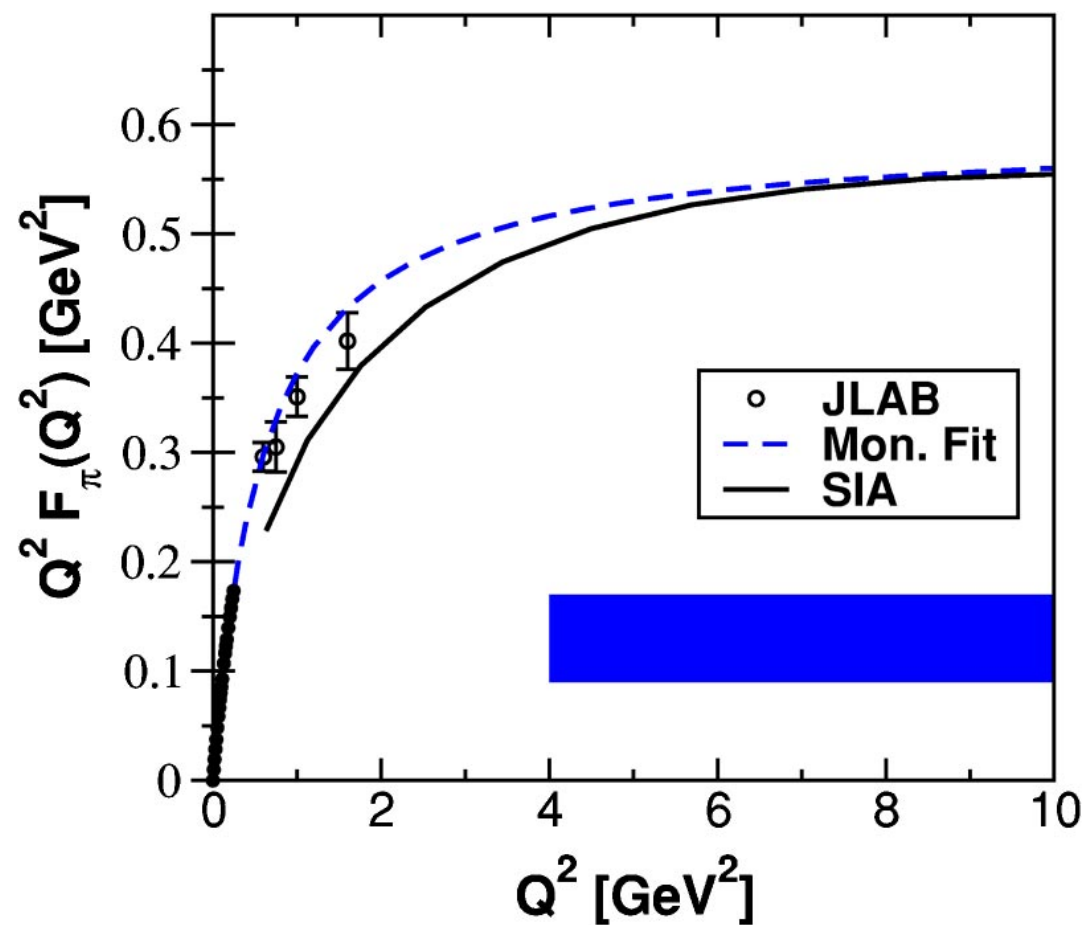
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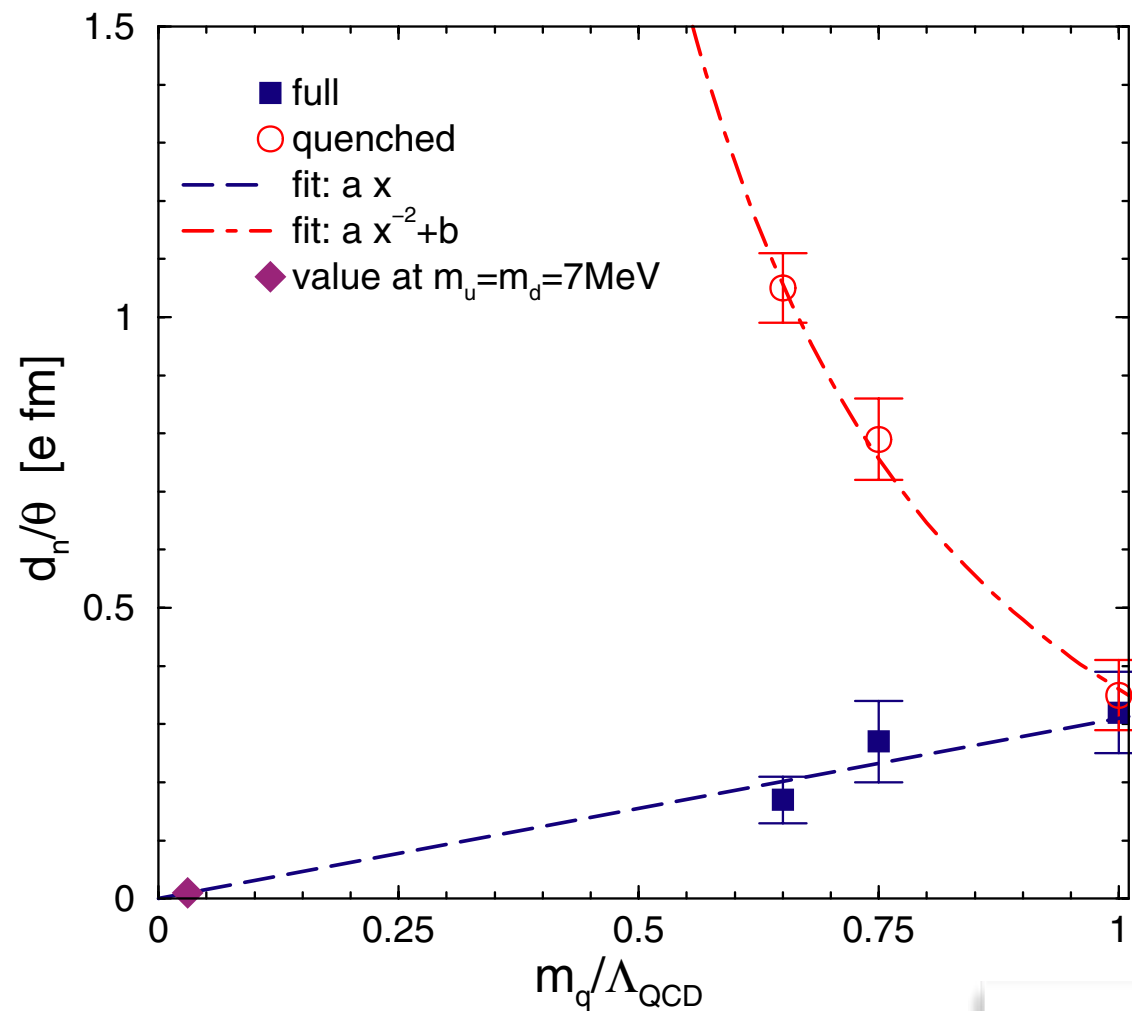
- \* Picture: localized fluctuations of size 0.3 fm explain short range non perturbative forces at the  $\sim 1 \text{ GeV}$  scale  $\rightarrow$  Delay of the onset of pert. theory



It also qualitatively explains the earlier on-set of pert. regime in  $\gamma\gamma^* \rightarrow \pi_0$  form factor.

# CASE 2: Compute observables which are not accessible to exact calculations

\* Electric dipole moment of the neutron.



PHYSICAL REVIEW D, VOLUME 70, 074017

## Neutron electric dipole moment in the instanton vacuum: Quenched versus unquenched simulations

P. Faccioli,<sup>1</sup> D. Guadagnoli,<sup>2</sup> and S. Simula<sup>3</sup>

<sup>1</sup>E. C. T.\* Strada delle Tabarelle 286, I-38050 Trento, and INFN, Sezione Collegata di Trento, Trento, Italy

<sup>2</sup>Dipartimento di Fisica, Università di Roma "La Sapienza", and INFN, Sezione di Roma, P.le A. Moro 2, I-00185 Rome, Italy

<sup>3</sup>INFN, Sezione di Roma Tre, Via della Vasca Navale 84, I-00146, Roma, Italy

(Received 7 July 2004; published 14 October 2004)



# CASE 3: Learn from failures

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## Chiral physics and confinement

These results would seem to imply that the chiral properties of the QCD vacuum are at most weakly entangled with color confinement

**Puzzle:** however, the de-confinement and chiral restoration phase transition occur at (almost?) the same temperature. Some strong entanglement must there!

Discovery of instanton monopoles (Kraan-van-Baal-Lee-Lu calorons )

PHYSICAL REVIEW D **87**, 074009 (2013)

### QCD topology at finite temperature: Statistical mechanics of self-dual dyons

Pietro Faccioli<sup>1,2</sup> and Edward Shuryak<sup>3</sup>

<sup>1</sup>Physics Department, Trento University, Via Sommarive 14, Povo, Trento I-38100, Italy

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<sup>3</sup>Department of Physics and Astronomy, Stony Brook University, Stony Brook, New York 11794, USA  
(Received 27 January 2013; published 9 April 2013)

PHYSICAL REVIEW D **92**, 094022 (2015)

### Interacting ensemble of the instanton-dyons and the deconfinement phase transition in the SU(2) gauge theory

Rasmus Larsen and Edward Shuryak

Department of Physics and Astronomy, Stony Brook University, Stony Brook, New York 11794-3800, USA  
(Received 4 September 2015; published 19 November 2015)

# Conclusions and models

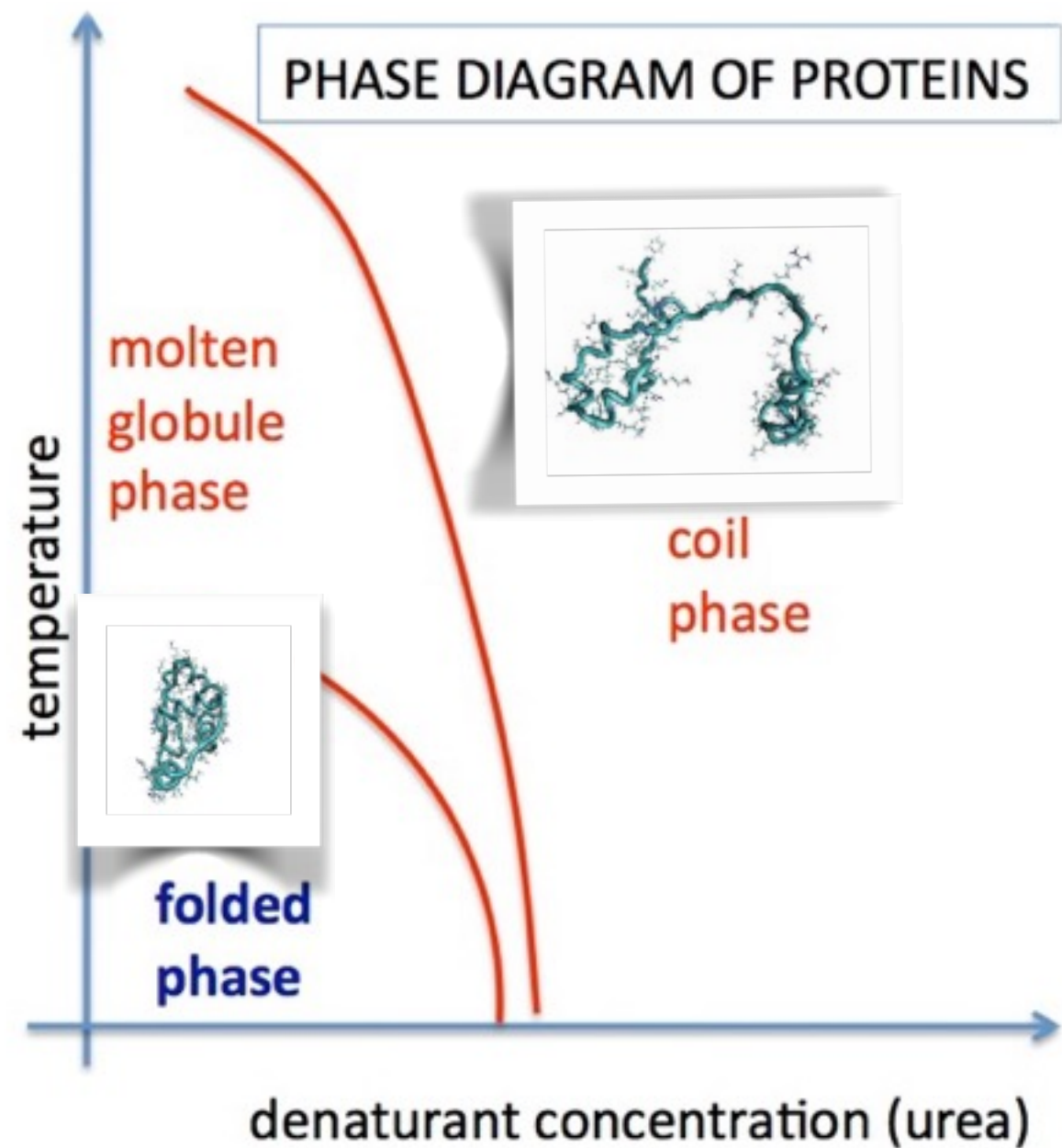
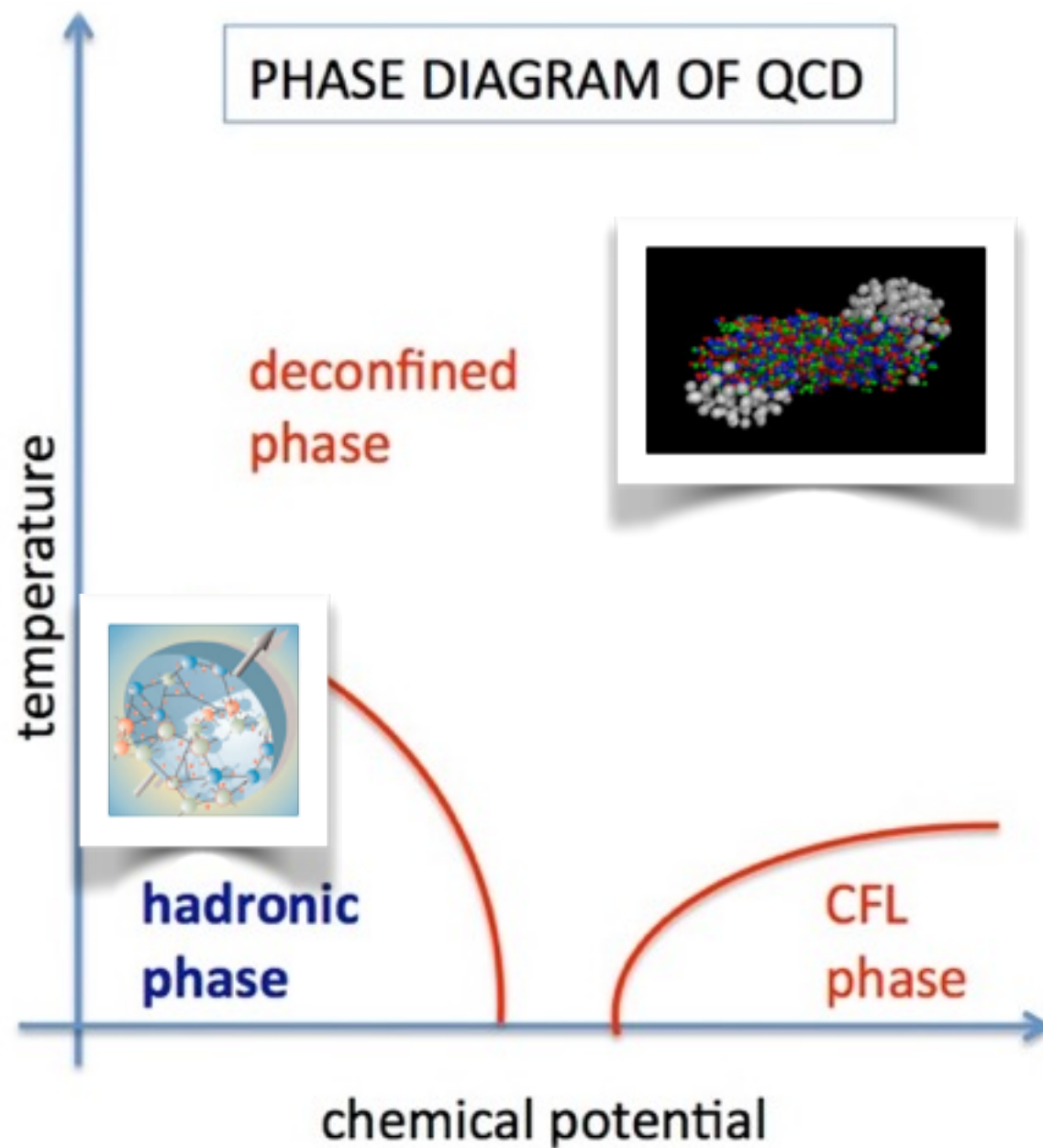
The wave function of light hadrons is strongly influenced by topology and chiral symmetry breaking

To a point that global phenomenology of pions and nucleon is well reproduced even in a theory which ignores confinement

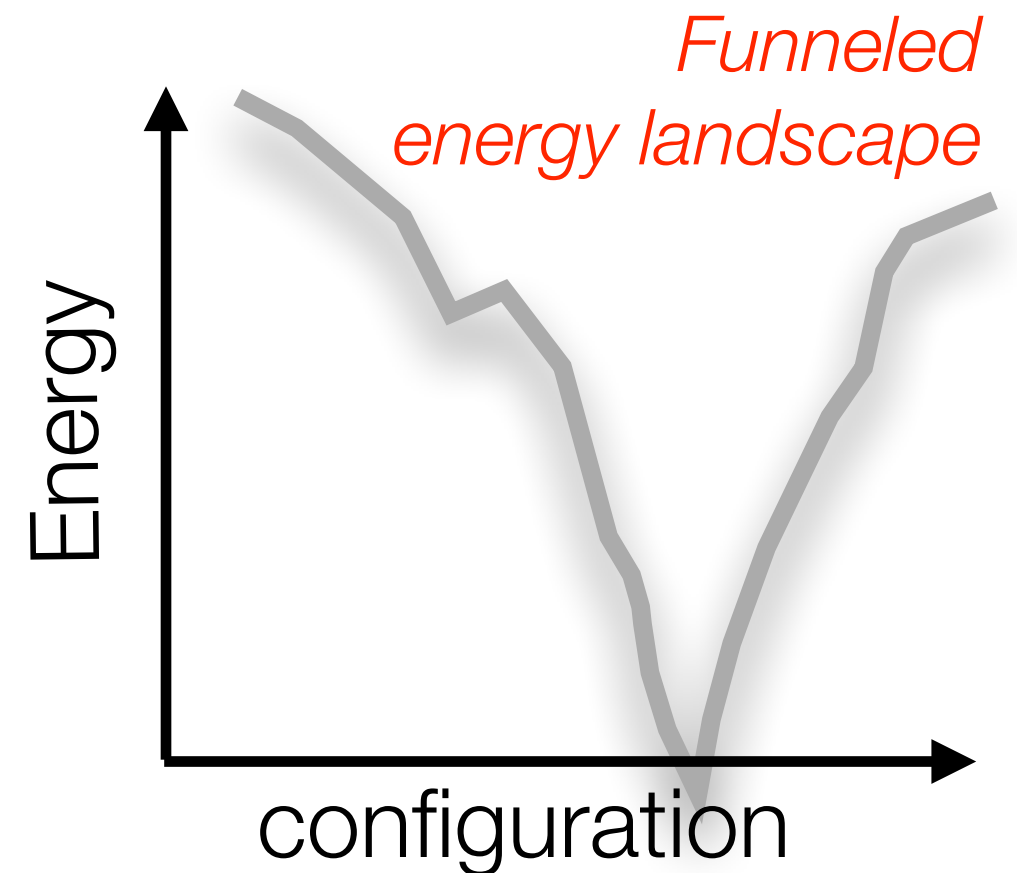
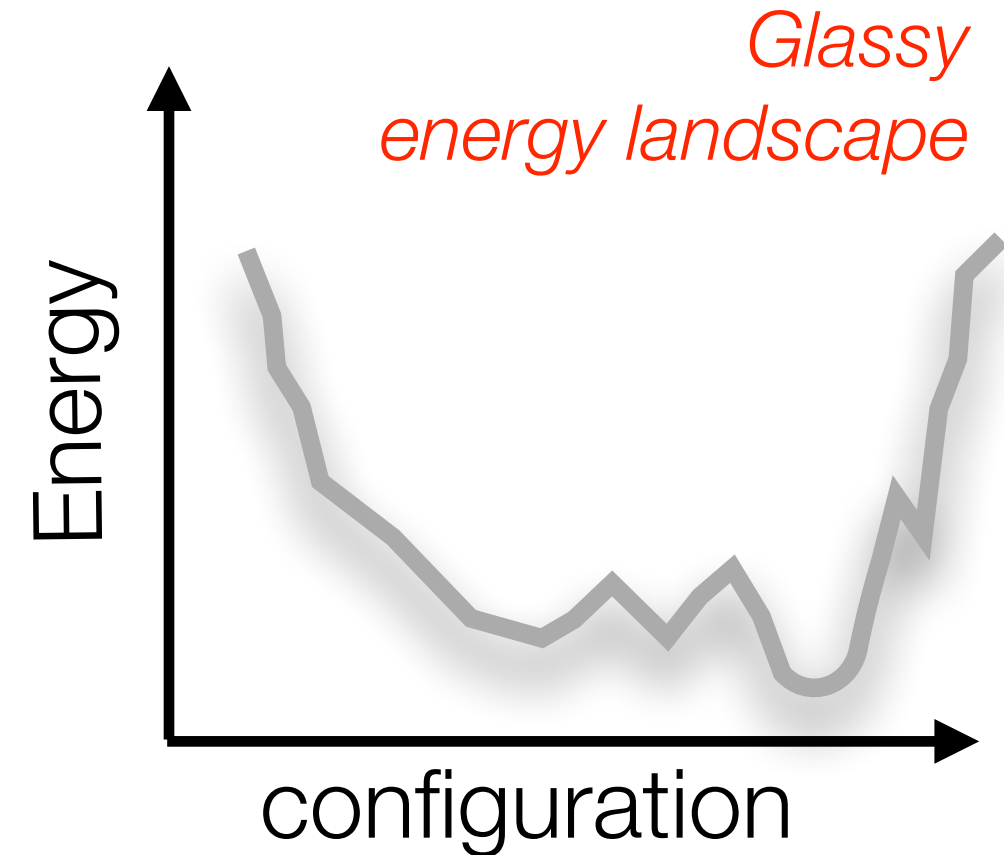
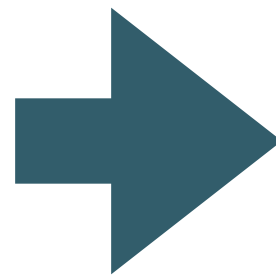
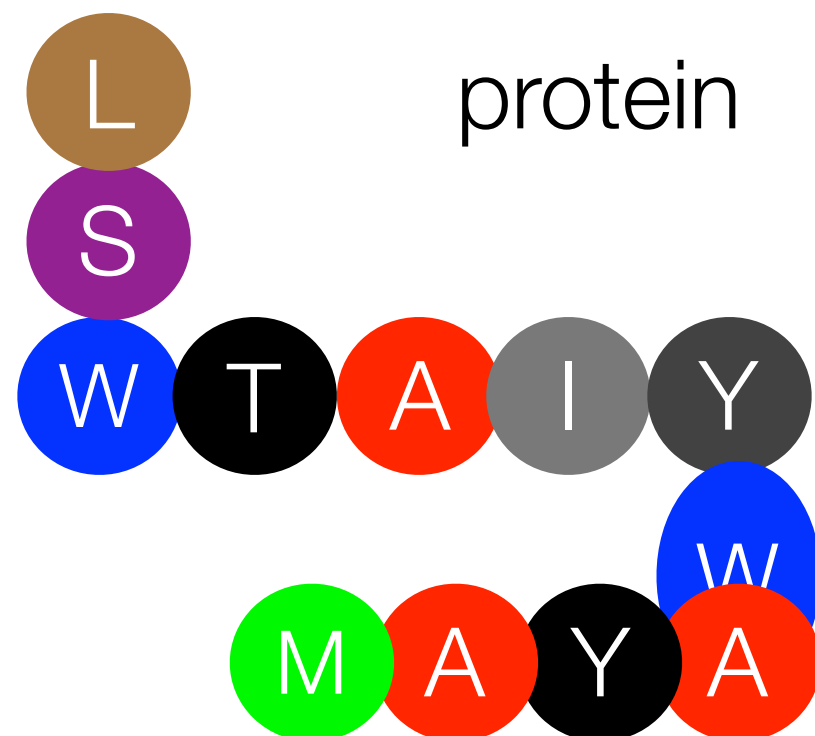
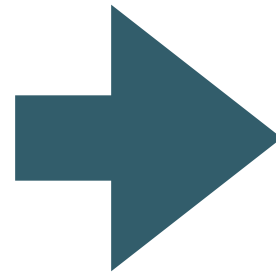
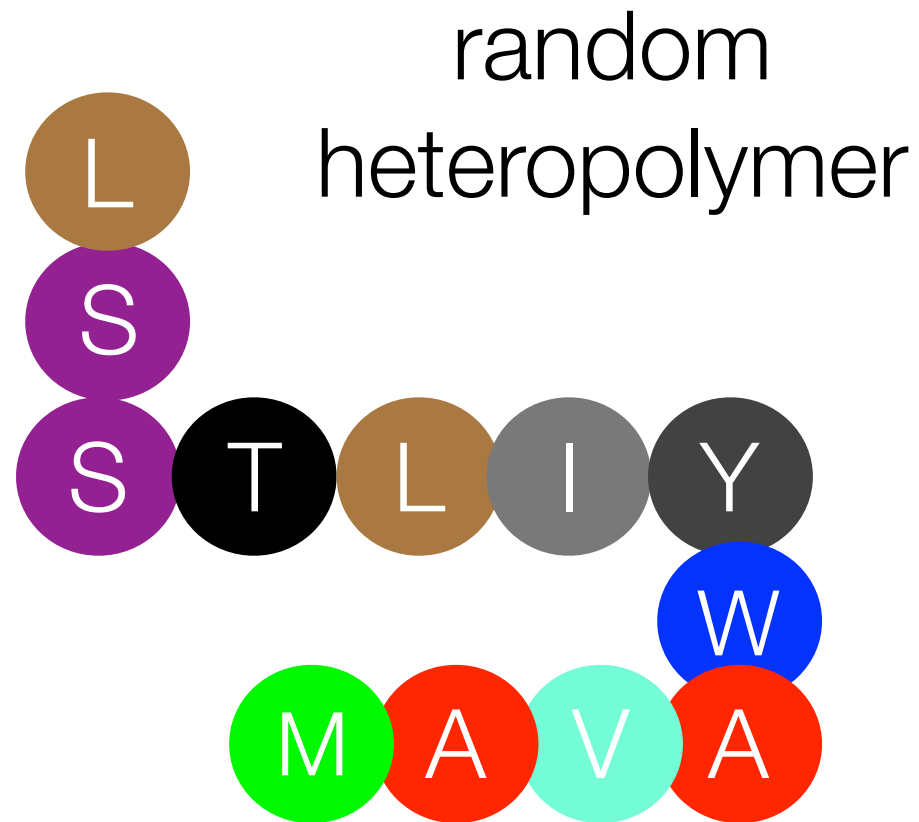
...but we know confinement is there...Fundamental ingredients are missing and are needed to understand the QCD phase transition and the heavy hadron sector.

The discovery of instanton dyons open an interesting perspective to unify the monopole picture with the instanton picture.

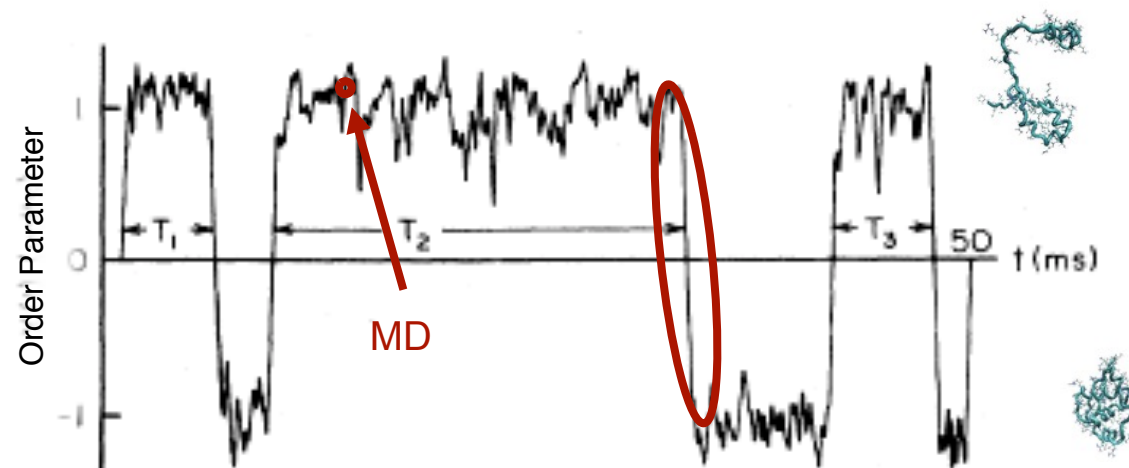
# Prologue: a suggestive analogy



ONLY EXTREMELY SPECIFIC COMBINATION OF AMINOACID  
LEAD TO A UNIQUE NATIVE STATE:



# Instanton Theory of Protein folding



$$P(Q_f, t | Q_i) = \int_{Q_i}^{Q_f} \mathcal{D}R e^{-\frac{\beta}{4M\gamma} \int_0^t d\tau (M\ddot{R} - M\gamma\dot{R} + \nabla U(R))^2}$$

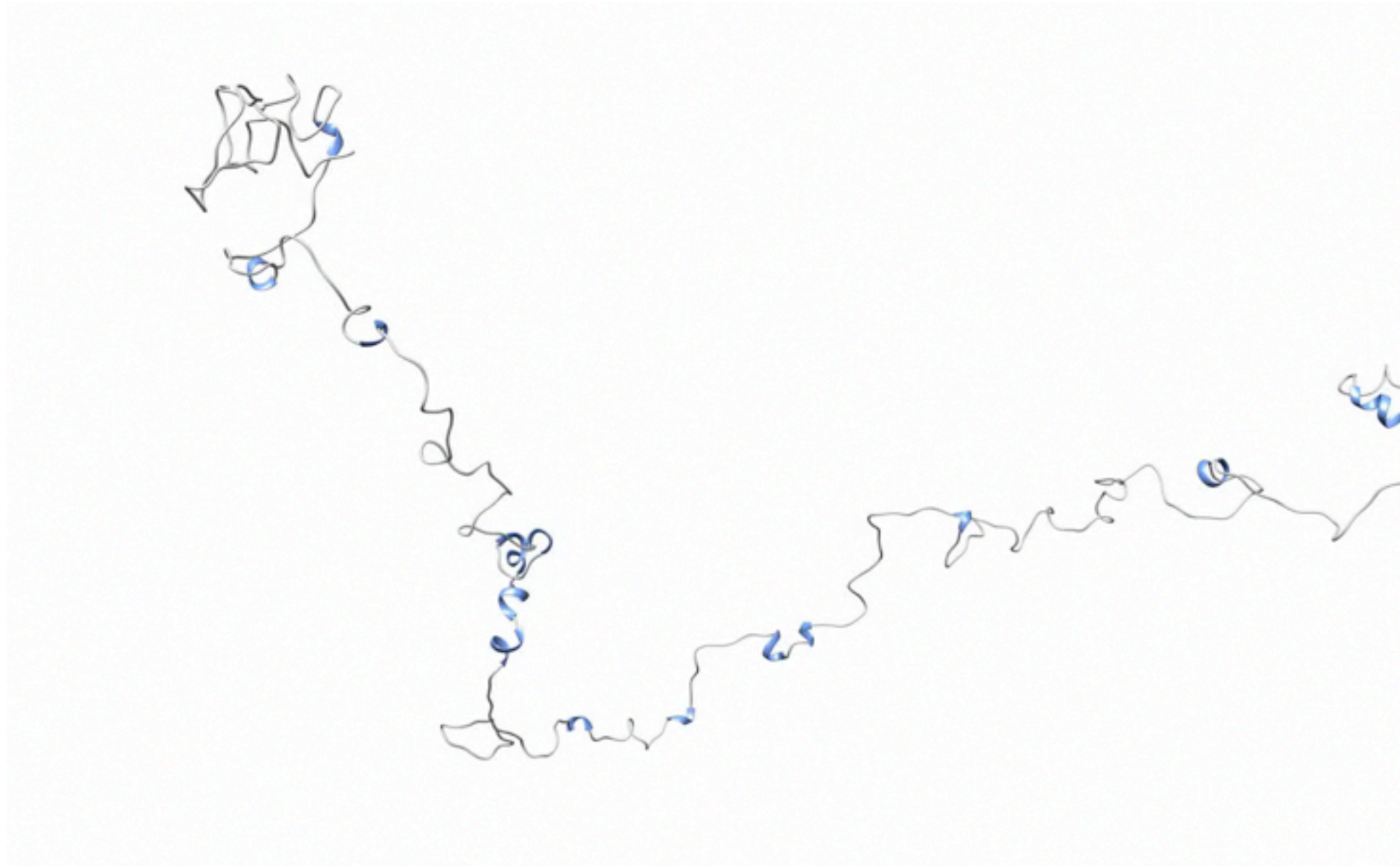


Develop variational approximations for this path integral



# Protein folding instanton

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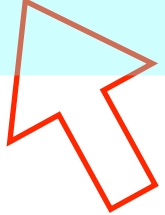


# With electronic excitations: MOLECULAR QUANTUM FIELD THEORY:

$$\rho \propto \int_{Q_i}^{Q_f} \mathcal{D}R \int \mathcal{D}\bar{\psi} \mathcal{D}\psi e^{iS_0[\bar{\psi}, \psi]} \times e^{-S_{OM}[R]} \times e^{iI[R, \bar{\psi}, \psi]}$$

$\langle k_i | \hat{\rho}(t) | k_i \rangle$        $\hat{\rho}(0) = |k_0\rangle \langle k_0|$

$\times \bar{\psi}_i(t) \gamma_- \gamma_5 \psi_i(t) \times \bar{\psi}_{k_0}(0) \gamma_+ \gamma_5 \psi_{k_0}(0)$


  
real time  
irreversible dynamics

## Electronic-Dynamical Coupling

$$S_{OM} = -\frac{\beta}{4M\gamma} \int_0^t d\tau \left[ M\ddot{R} + M\gamma\dot{R} + \nabla_R V(R) \right]^2$$

$I[R, \bar{\psi}, \psi] =$  "coupling of elect. excitations to molecular dynamics"

  
 analog to  $(F_{\mu\nu})^2$

Tackling nuclear physics fundamental questions  
(such as the origin on proton mass)  
is useful beyond nuclear physics...



Thank you  
for your  
attention!