

TMD to Twist-3 Approach

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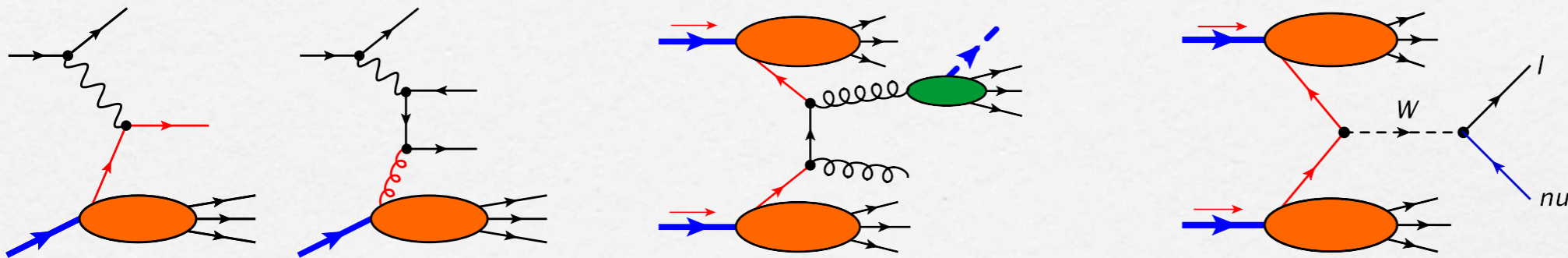
in collaboration with K. Kanazawa, Y. Koike, A. Metz, D. Pitonyak
based on Phys. Rev. D 93, 054024 (2016)

“Parton TMDs at large x ”,
ECT*, Trento / Italy, April 13, 2016

TMD vs. collinear factorization

Collinear factorization in pQCD

- applicable to one-scale processes, e.g. 1-particle inclusive processes

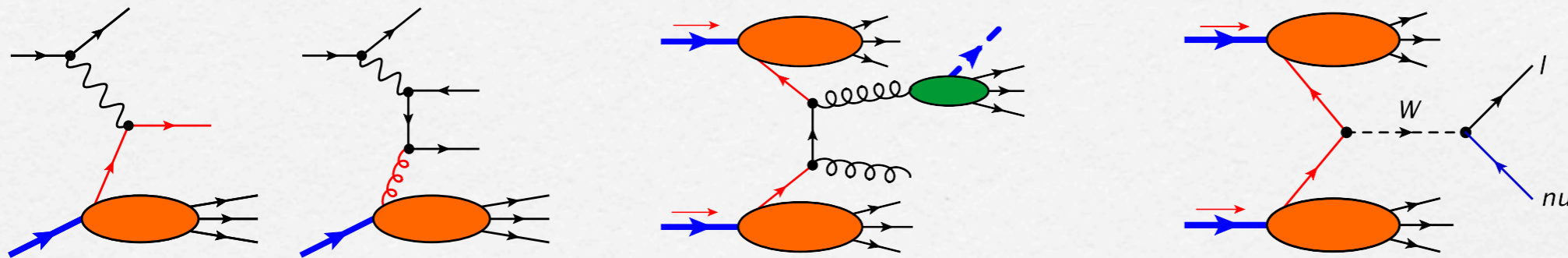


$$\frac{d\sigma}{dx dQ^2}$$

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$$\frac{d\sigma}{dx dQ^2}$$

- Cross sections at high energies \rightarrow **(hard part)** \times **(soft parts)**
- **hard part** \rightarrow pQCD (NLO, NNLO,...) ; **soft parts** \rightarrow universal, 1-dim collinear parton distributions

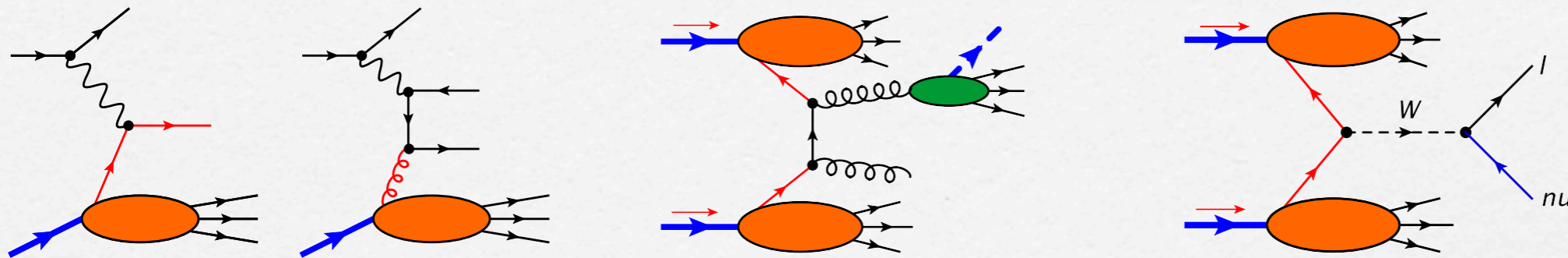
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$$G(x, \mu), \Delta G(x, \mu)$$

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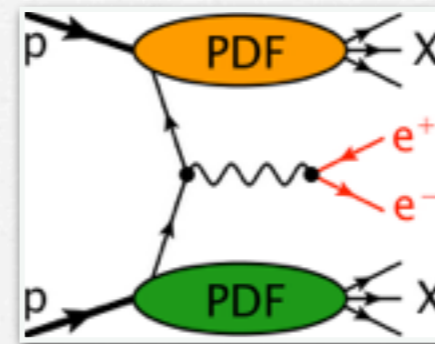
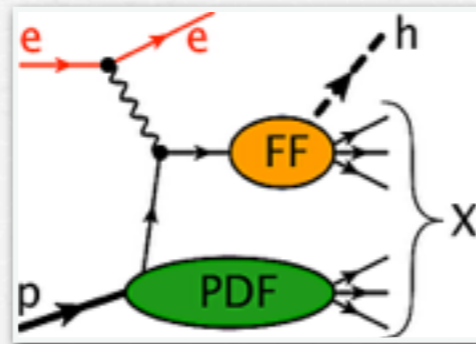
$$q(x, \mu), \Delta q(x, \mu), \delta q(x, \mu)$$

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- Transverse Single-Spin Asymmetries \rightarrow Twist-3 approach

- Collinear factorization: 2 (or more...)-particle inclusive processes

SIDIS

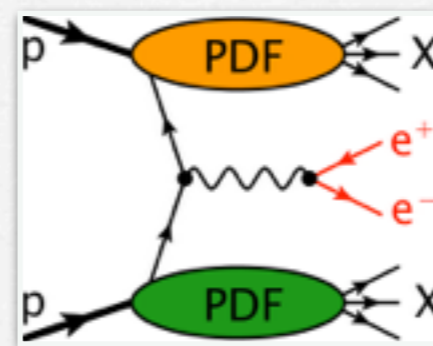
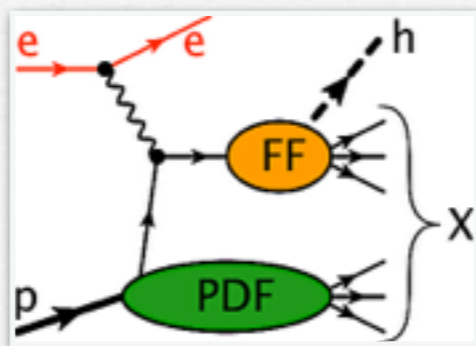


Drell-Yan

two scales: hard scale Q + final state transverse momentum q_T

- Collinear factorization: 2 (or more...)-particle inclusive processes

SIDIS



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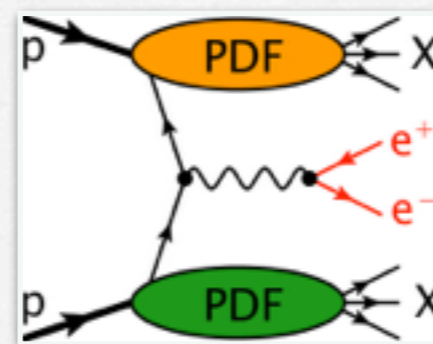
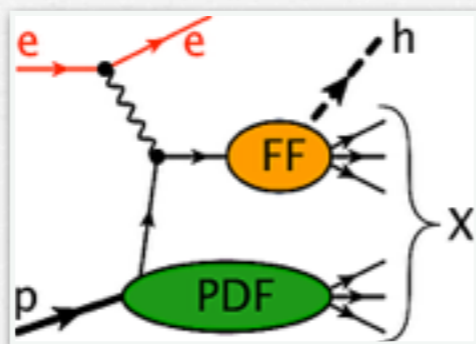
final state transverse momentum q_T

→ integrated observables

$$\int d^2 q_T w(q_T) \frac{d\sigma}{dx dQ^2 dq_T} \equiv \langle w(q_T) \rangle$$

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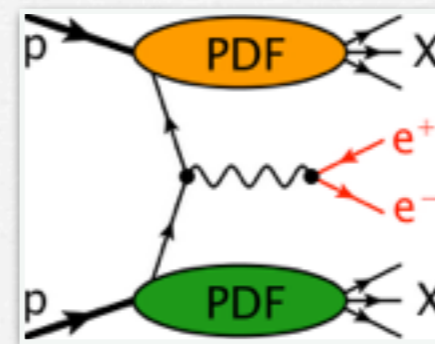
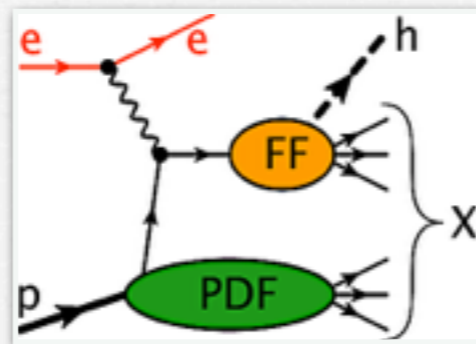
- q_T - dependence:

$$\frac{d\sigma}{dq_T} (q_T \sim Q)$$

one scale → collinear factorization ok,
transverse momentum generated perturbatively in hard part

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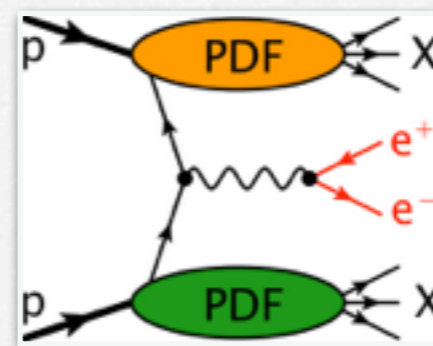
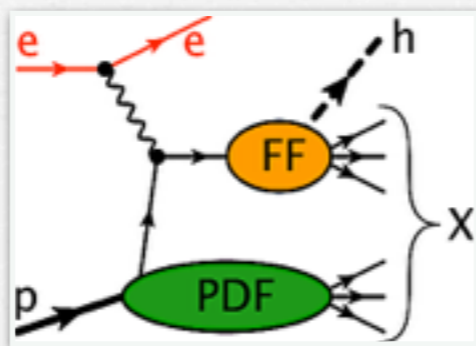
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$$\frac{d\sigma}{dq_T} (\Lambda_{\text{QCD}} \ll q_T \ll Q)$$

large logs in the hard part (gluon radiation) $\log^n(q_T/Q)$
→ CSS-resummation → coll. fact. still applicable

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→ **Transverse momentum dependent (TMD) factorization!**

(Naive) definition of the TMD parton distributions

$$\Phi^{[\Gamma]}(x, k_T) = \int \frac{dz^- d^2 z_T}{2(2\pi)^3} e^{ik \cdot z} \langle P, S | \bar{q}(0) \Gamma \mathcal{W}[0, z] q(z) | P, S \rangle \Big|_{z^+ = 0}$$

N \ q	U	L	T
U			
L			
T			

time-reversal odd

Plot courtesy of B. Musch

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**Color gauge
Invariance**

N \ q	U	L	T
U	f_1		h_1^\perp
L		g_1	h_{1L}^\perp
T	f_{1T}^\perp	g_{1T}	h_1 h_{1T}^\perp

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Color gauge Invariance

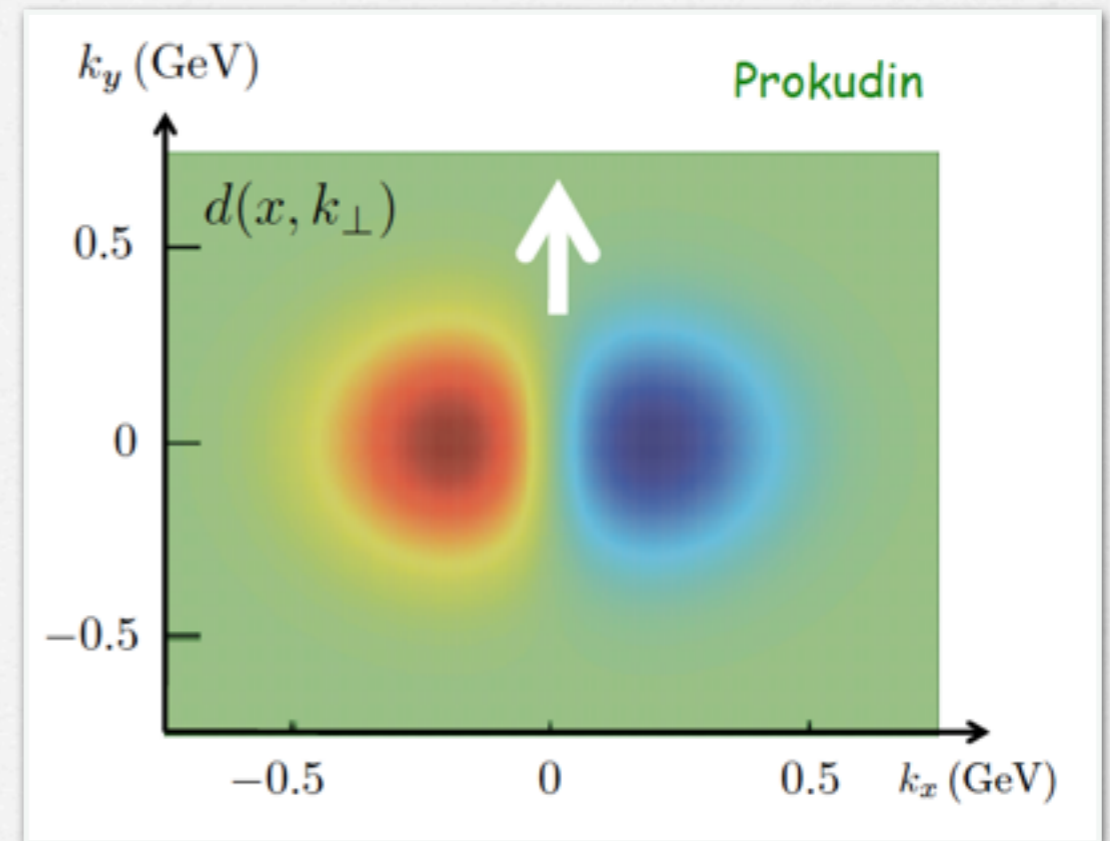
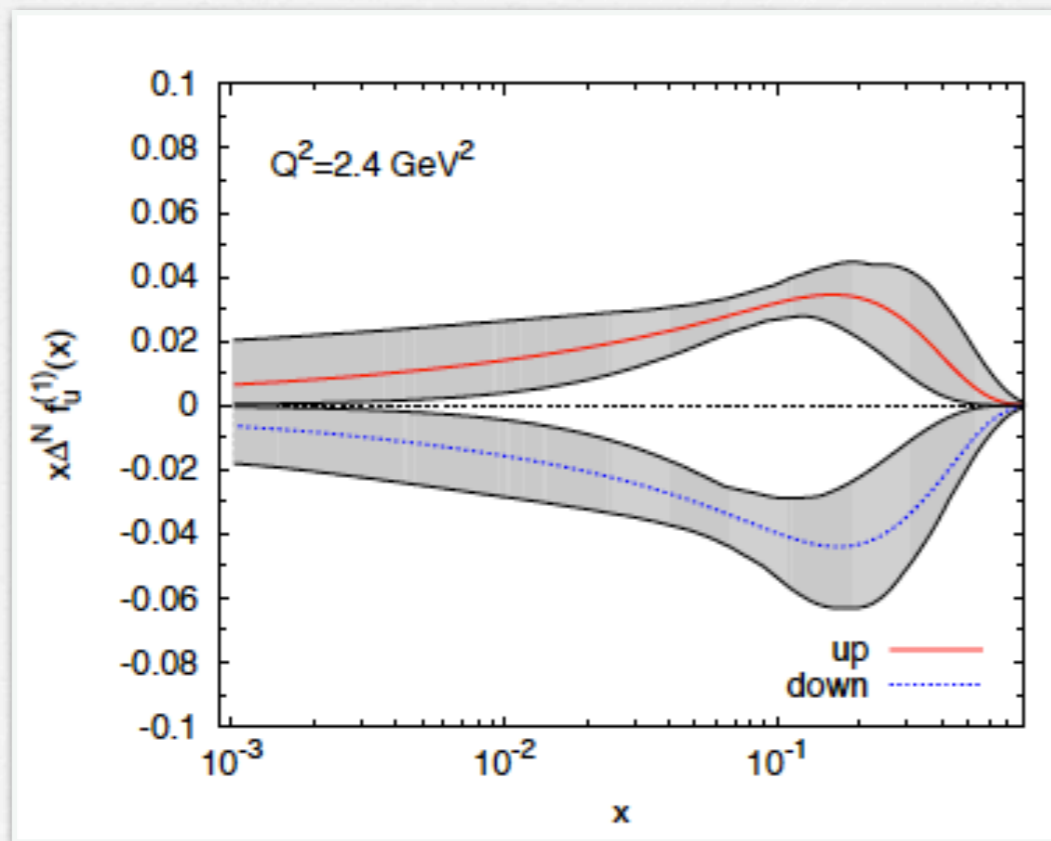
vanish without Wilson line

Sivers - Effect in Semi-Inclusive DIS (HERMES, COMPASS, JLab)

$$A_{UT}^{\text{Siv}} = \frac{d\sigma^\uparrow - d\sigma^\downarrow}{d\sigma^\uparrow + d\sigma^\downarrow}$$

$$\propto \sin(\phi_h - \phi_s) \int d^2k_T d^2p_T \delta^{(2)}(k_T - p_T - P_{h\perp}/z_h) \frac{P_{h\perp} \cdot k_T}{|P_{h\perp}|M} f_{1T}^{\perp q}(x_B, k_T^2) D_1^q(z_h, p_T^2)$$

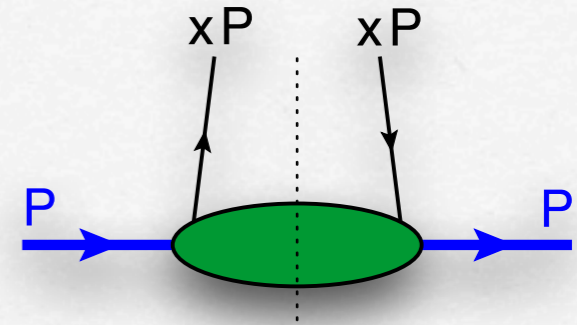
Sivers function



[Anselmino et al., PRD(2012)]

**Twist-3 approach to
Transverse Spin effects in
single-inclusive processes**

Transverse Spin Matrix Elements



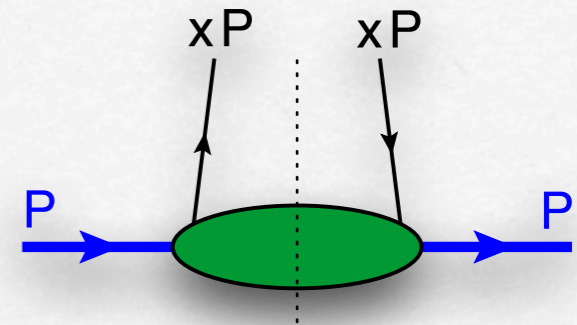
1) Transversity distribution

$$h_1^q(x) = \int_{-\infty}^{\infty} \frac{d\lambda}{4\pi} e^{i\lambda x(P \cdot n)} \langle P, S_T | \bar{q}(0) \not{S}_T \not{n} \gamma_5 [0; \lambda n] q(\lambda n) | P, S_T \rangle$$

“chiral-odd” structure

- in combination with other chiral-odd objects (Collins effect)
- extractions from Collins effect, Dihadron fragmentation

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2) ‘intrinsic twist-3’ → g_T

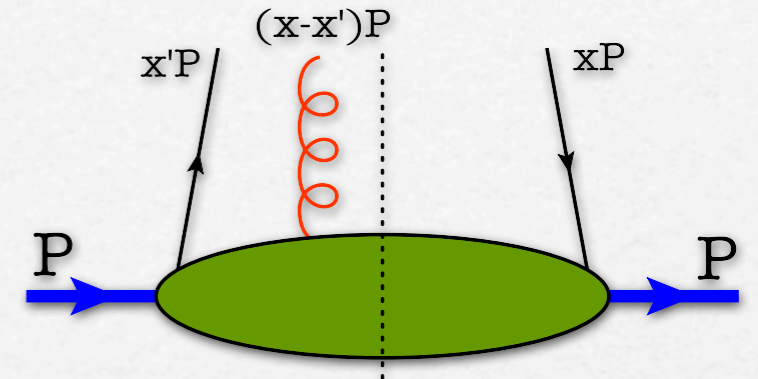
$$g_T^q(x) = -\frac{(P \cdot n)}{M} \int_{-\infty}^{\infty} \frac{d\lambda}{4\pi} e^{i\lambda x(P \cdot n)} \langle P, S_T | \bar{q}(0) \not{S}_T \gamma_5 [0; \lambda n] q(\lambda n) | P, S_T \rangle$$

Twist - 3 characteristics hidden in Dirac structure

3) Three-parton correlation functions 'dynamical twist-3'

$$\Phi_F^\alpha(x, x') \sim \langle P, S | \bar{q}(0) g F^{n\alpha}(\mu n) q(\lambda n) | P, S \rangle$$

$$\implies F_{FT}(x, x'), G_{FT}(x, x'), H_{FL}(x, x'), H_{FU}(x, x')$$



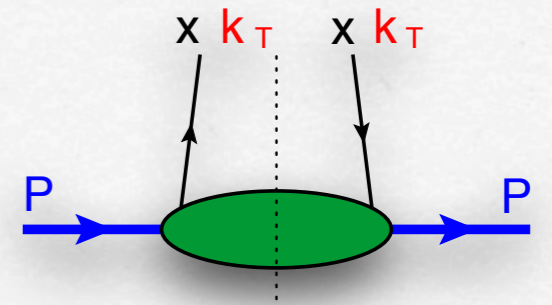
2-dimensional support, much richer parton dynamics
 observables beyond leading twist \rightarrow test of factorization in pQCD

\rightarrow so far: only "diagonal support" $F_{FT}(x, x)$ constraint by data

\rightarrow color Lorentz force on struck quark [Burkardt]

$$F^y = [\vec{E} + \vec{v} \times \vec{B}]^y \propto \int dx \int dx' F_{FT}(x, x')$$

4) 'Transverse Parton Momenta k_T '



'Sivers function'

$$f_{1T}^{\perp,(1)}(x) = \int d^2 k_T \frac{k_T^2}{2M^2} f_{1T}^{\perp}(x, k_T^2)$$

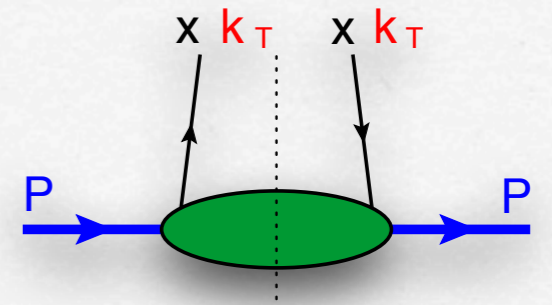
'wormgear function'

$$g_{1T}^{(1)}(x) = \int d^2 k_T \frac{k_T^2}{2M^2} g_{1T}(x, k_T^2)$$

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→ suppression by small transverse parton momentum k_T

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Relations in collinear factorization

Gluonic Poles:

$$f_{1T}^{\perp,(1)}(x) = \pm \pi F_{FT}(\mathbf{x}, \mathbf{x})$$

$$h_1^{\perp,(1)}(x) = \pm \pi H_{FU}(\mathbf{x}, \mathbf{x})$$

'QCD - equation of motion':

$$g_{1T}^{(1)}(x) = x g_T(x) - \frac{m_q}{M} h_1(x) + \int_{-1}^1 dx' \frac{F_{FT}(x, x') - G_{FT}(x, x')}{x - x'}$$

+ Lorentz - Invariance relations

Lorentz - Invariance relations

[Kanazawa, Koike, Metz, Pitonyak, MS, PRD 93, 054024 (2016)]

$$g_T(x) = g_1(x) + \frac{d}{dx} g_{1T}^{(1)}(x) - 2 \int_{-1}^1 dx' \frac{G_{FT}(x, x')}{(x - x')^2}$$

formal derivation on operator level possible, but technical... known since 90s!

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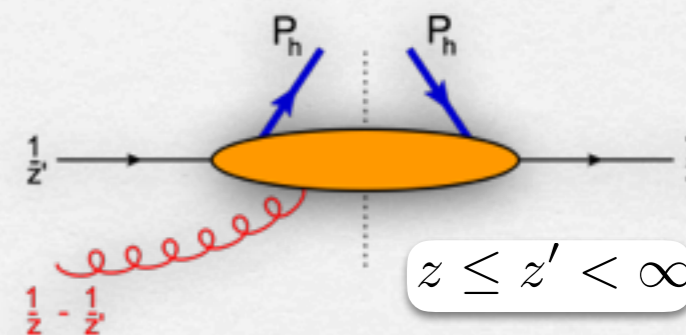
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Twist-3 Fragmentation Functions:

$$\Delta_F^\alpha(z, z') \sim \langle 0 | q(\lambda m) g F^{m\alpha}(\mu m) | P_h, S_h; X \rangle \langle P_h, S_h; X | \bar{q}(0) | 0 \rangle$$

$$\implies \hat{H}_{FU}(z, z'), \hat{F}_{FT}(z, z'), \hat{G}_{FT}(z, z'), \hat{H}_{FL}(z, z')$$



complex functions:

$$FF(z, z) = 0$$

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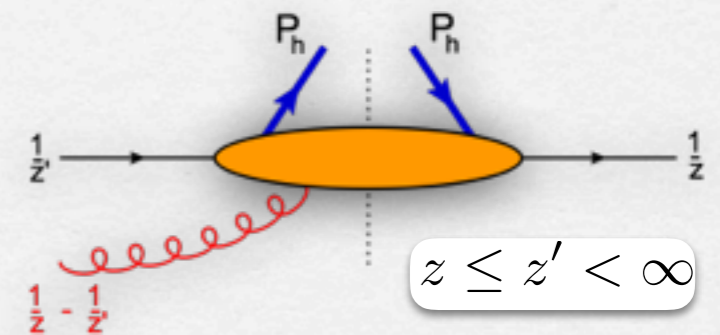
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QCD - equation of motion

$$H_1^{\perp(1)}(z) = -\frac{H(z)}{2z} + \int_z^\infty \frac{dz'}{z'^2} \frac{\Im[\hat{H}_{FU}(z, z')]}{1/z - 1/z'}$$

Lorentz - Invariance relations for FFs

$$\frac{H(z)}{z} = -\left(1 - z \frac{d}{dz}\right) H_1^{\perp(1)}(z) - \frac{2}{z} \int_z^\infty \frac{dz'}{z'^2} \frac{\Im[\hat{H}_{FU}(z, z')]}{(1/z - 1/z')^2}$$

QCD Equation of Motion + Lorentz-invariance relation:

Two equations, three functions → eliminate 'intrinsic & kinematical twist-3'

$$g_T(x) = \int_x^1 \frac{dy}{y} g_1(y) + \frac{m_q}{M} \left(\frac{1}{x} h_1(x) - \int_x^1 \frac{dy}{y^2} h_1(y) \right) + \int_x^1 \frac{dy}{y^2} \int_{-1}^1 dz \left[\frac{(1-y\delta(y-x)) F_{FT}(y,z)}{y-z} - \frac{(3y-z-y(y-z)\delta(y-x)) G_{FT}(y,z)}{(y-z)^2} \right]$$

Wandzura-Wilczek term

$$g_{1T}^{(1)}(x) = x \left(\int_x^1 \frac{dy}{y} g_1(y) - \frac{m_q}{M} \int_x^1 \frac{dy}{y^2} h_1(y) + \int_x^1 \frac{dy}{y^2} \int_{-1}^1 dz \left[\frac{F_{FT}(y,z)}{y-z} - \frac{(3y-z) G_{FT}(y,z)}{(y-z)^2} \right] \right)$$

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Fragmentation functions:

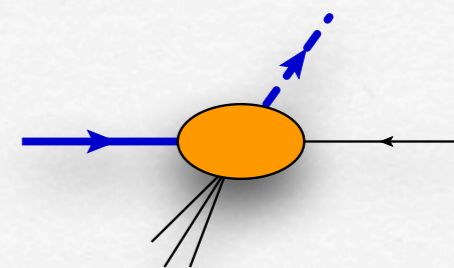
$$H(z) = 2 \int_z^1 \frac{dy}{y} \int_y^\infty \frac{d\bar{y}}{\bar{y}^2} \left[\frac{(2(2/y-1/\bar{y}) + (1/y)(1/y-1/\bar{y}) \delta(1/y-1/z)) \Im[\hat{H}_{FU}](y,\bar{y})}{(1/y-1/\bar{y})^2} \right]$$

$$H_1^{\perp(1)}(z) = -\frac{2}{z} \int_z^1 \frac{dy}{y} \int_y^\infty \frac{d\bar{y}}{\bar{y}^2} \left[\frac{(2/y-1/\bar{y}) \Im[\hat{H}_{FU}](y,\bar{y})}{(1/y-1/\bar{y})^2} \right]$$

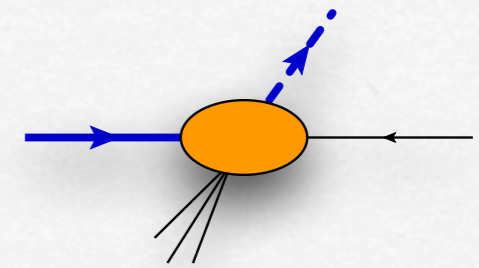
also for polarized Fragmentation Functions (Λ - Production)...

**Why are Lorentz-Invariance
Relations important?**

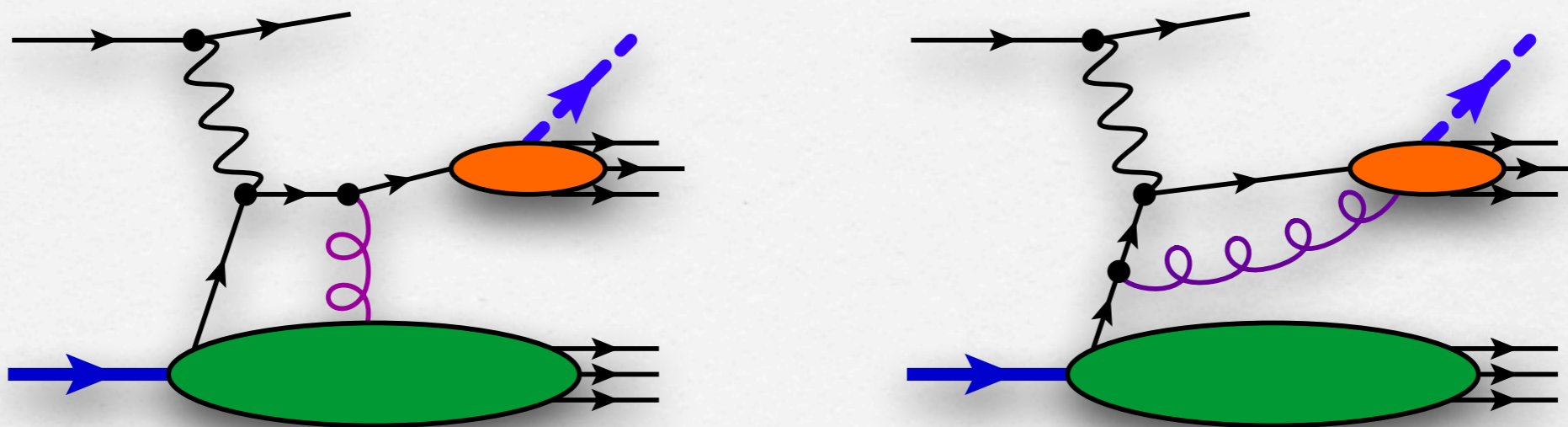
Example: Single-Inclusive Hadron Production
in e-p collisions [$e(l) + N(P) \rightarrow h(P_h) + X$]
theoretically simple, measured at HERMES, JLab 6



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Transverse single spin asymmetry A_{UT} (LO):



$$A_{UT} \sim \left[\left(1 - x \frac{d}{dx}\right) F_{FT}(x, x) \otimes D_1(z) \otimes \hat{\sigma}_1 \right] \\
+ h_1(x) \otimes \left[H_1^{\perp(1)}(z) \otimes \hat{\sigma}_2 + \left(z \frac{d}{dz}\right) H_1^{\perp(1)}(z) \otimes \hat{\sigma}_3 + H(z) \otimes \hat{\sigma}_4 + \Im[\hat{H}_{FU}] \otimes \hat{\sigma}_5 \right]$$

Partonic Coefficients differ in various frames (!?)

e-p c.m. frame

$$\xi_z = z \left(\frac{1}{z} - \frac{1}{z_1} \right)$$

$$P_h^0 \frac{d\sigma_{UT}}{d^3\vec{P}_h} = -\frac{8\alpha_{em}^2}{S} \varepsilon_{\perp\mu\nu} S_{P\perp}^\mu P_{h\perp}^\nu \sum_q e_q^2 \int_{z_{\min}}^1 \frac{dz}{z^3} \frac{1}{S+T/z} \frac{1}{x}$$

$$\times \left\{ -\frac{\pi M}{\hat{u}} D_1^{h/q}(z) \left(F_{FT}^q(x, x) - x \frac{dF_{FT}^q(x, x)}{dx} \right) \left[\frac{\hat{s}(\hat{s}^2 + \hat{u}^2)}{2\hat{t}^3} \right] \right.$$

$$+ \frac{M_h}{-x\hat{u} - \hat{t}} h_1^q(x) \left\{ \left(\hat{H}^{h/q}(z) - z \frac{d\hat{H}^{h/q}(z)}{dz} \right) \left[\frac{(1-x)\hat{s}\hat{u}}{\hat{t}^2} \right] \right.$$

$$\left. \left. + \frac{1}{z} H^{h/q}(z) \left[\frac{\hat{s}(\hat{s}^2 + (x-1)\hat{u}^2)}{\hat{t}^3} \right] + 2z^2 \int_z^\infty \frac{dz_1}{z_1^2} \frac{1}{\frac{1}{z} - \frac{1}{z_1}} \hat{H}_{FU}^{h/q, \mathfrak{S}}(z, z_1) \left[\frac{x\hat{s}^2\hat{u}}{\xi_z \hat{t}^3} \right] \right\} \right\}$$

p-π c.m. frame

$$P_h^0 \frac{d\sigma_{UT}}{d^3\vec{P}_h} = -\frac{8\alpha_{em}^2}{S} \varepsilon_{\perp\mu\nu} S_{P\perp}^\mu P_{h\perp}^\nu \sum_q e_q^2 \int_{z_{\min}}^1 \frac{dz}{z^3} \frac{1}{S+T/z} \frac{1}{x}$$

$$\times \left\{ -\frac{\pi M}{\hat{u}} D_1^{h/q}(z) \left(F_{FT}^q(x, x) - x \frac{dF_{FT}^q(x, x)}{dx} \right) \left[\frac{\hat{s}(\hat{s}^2 + \hat{u}^2)}{2\hat{t}^3} \right] \right.$$

$$\left. + M_h h_1^q(x) \left\{ \frac{H^{h/q}(z)}{z} \left[\frac{\hat{s}(\hat{s} - \hat{u})}{\hat{t}^3} \right] + \hat{H}^{h/q}(z) \left[\frac{\hat{s}^2}{\hat{t}^3} \right] + z \frac{d\hat{H}^{h/q}(z)}{dz} \left[\frac{\hat{s}\hat{u}}{\hat{t}^3} \right] \right\} \right\}$$

e-p c.m. frame

$$\xi_z = z \left(\frac{1}{z} - \frac{1}{z_1} \right)$$

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$$+ \frac{M_h}{-x\hat{u} - \hat{t}} h_1^q(x) \left\{ \left(\hat{H}^{h/q}(z) - z \frac{d\hat{H}^{h/q}(z)}{dz} \right) \left[\frac{(1-x)s\hat{u}}{\hat{t}^2} \right] \right.$$

$$\left. \left. + \frac{1}{z} H^{h/q}(z) \left[\frac{\hat{s}(\hat{s}^2 + (x-1)\hat{u}^2)}{\hat{t}^3} \right] + 2z^2 \int_z^\infty \frac{dz_1}{z_1^2} \frac{1}{\frac{1}{z} - \frac{1}{z_1}} \hat{H}_{FU}^{h/q, \mathfrak{S}}(z, z_1) \left[\frac{x\hat{s}^2\hat{u}}{\xi_z \hat{t}^3} \right] \right\} \right\}$$

p-π c.m. frame

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QCD - EoM already implemented, σ_i Lorentz invariant

Origin of the discrepancy:

Different choice of light-cone vector m in different frames

e-N c.m. frame:

$$m^\mu = \frac{1}{2E_h^2} \left(E_h, -\vec{P}_h \right)$$

N- π c.m. frame:

$$m^\mu = \frac{1}{2E_h} (1, 0, 0, 1)$$

Twist-3 partonic coefficients do depend on m !

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Re-evaluation of SSA with general light-cone vector:

$$A_{UT} = \dots + \left[\frac{H(z)}{z} + \left(1 - z \frac{d}{dz}\right) H_1^{\perp(1)}(z) + \frac{2}{z} \int_z^\infty \frac{dz'}{z'^2} \frac{\Im[\hat{H}_{FU}](z, z')}{(1/z - 1/z')^2} \right] (\eta a + \eta_\epsilon b)$$

$= 0, \text{ LIR}$

Lorentz - Invariance relations remove spurious dependence on the choice of light cone vectors!

Summary & Conclusions

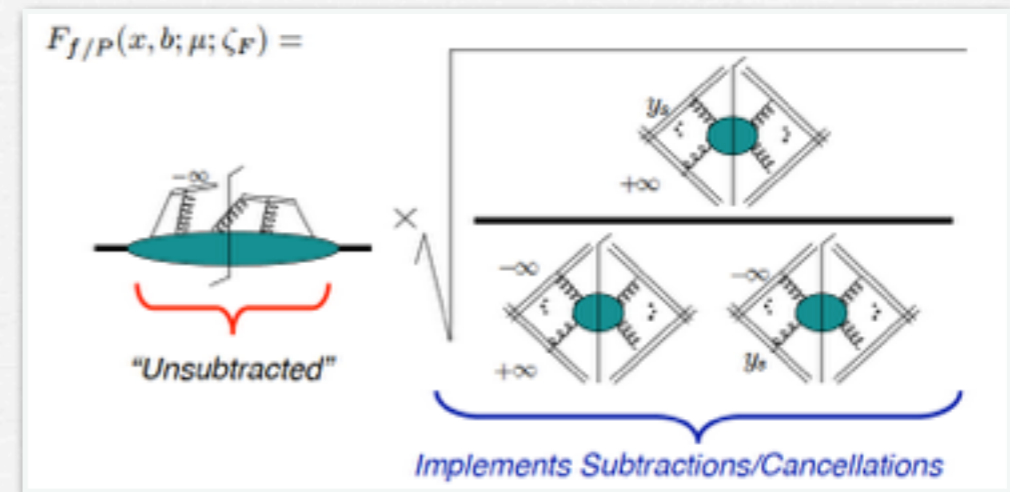
- Twist-3 formalism \iff
 - ‘intrinsic’, ‘kinematical’ & ‘dynamical’ twist-3 contributions to transverse spin observables
- Relations through QCD equation of motion & Lorentz - Invariance relations
- Write ‘intrinsic’ & ‘kinematical’ distributions/FFs in terms of Quark-Gluon-Quark Correlations
- Lorentz - Invariance Relations remove dependence on the choice of light-cone vectors
- Conjecture: General behaviour, removal valid to all orders!

Relations in TMD factorization: Evolution

[Aybat, Collins, Qiu, Rogers; Collins' "Foundations of pQCD"; Ji, Ma, Yuan; Echevarria, Idilbi, Schimemi]

Exact TMD definition beyond tree-level:

- 1) Wilson lines are off the light cone
 - ξ regulates light cone divergences
 - "unsubtracted" TMD
- 2) "Soft factors" implemented

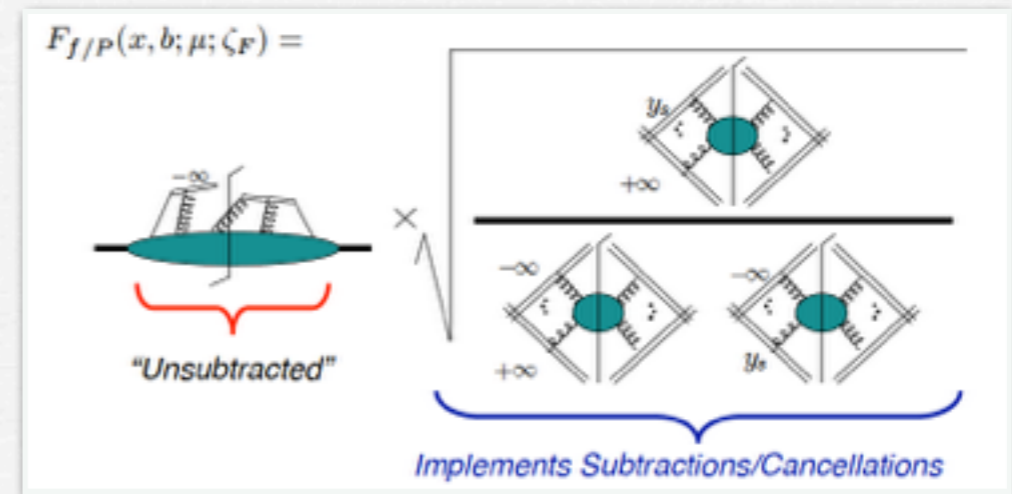


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Solution of CS - evolution equation

$$f_1^q(x, \vec{b}_T^2; \mu; \xi) = \sum_{q'} \left(\tilde{C}_{qq'} \otimes q(x) \right) \Big|_{\mu \propto 1/b_*} e^{S_{\text{pert}}(b_*)} \Big|_{\mu \propto 1/b_*} e^{g_q(x, b_T) + \frac{1}{2} g_K(b_T) \ln \frac{\xi}{\xi_0}}$$

TMD at large k_T

perturbative Sudakov factor

non-perturbative input

$$\frac{\partial}{\partial b_T} f_{1T}^\perp(x, b_T; \mu, \xi) \propto \left[\int_x^1 dz dz' \tilde{C}(z, z', \mu_b) F_{FT}(z, z', \mu_b) \right] e^{(S_{\text{pert}} + S_{\text{non-pert}})(b_T)}$$

F_{FT} drives x-dependence of the Sivers function