



# A Brief Overview of the R&D Efforts towards High Quantum Efficiency and High Polarization Photocathodes

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**Photocathode Physics for Photoinjectors (P3) 2016**

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# Outline

- ❑ Motivation
- ❑ Polarized Photocathode R&D, highlights
- ❑ Summary & Future development

# Motivation Behind Intense Polar. $e^-$ Sources

□ Research subjects require polar.  $e^-$

***Spin observables***  $\Rightarrow$  unique insights in studying

- fundamental symmetries/interactions
- particle properties & hadron structure

***Spin experiments***  $\Rightarrow$  understanding of

- nuclear reaction dynamics
- structure of hadron-nucleon many body systems

***High Polar.  $e^-$  beams at mA level will enable new capabilities & new physics experiments!***

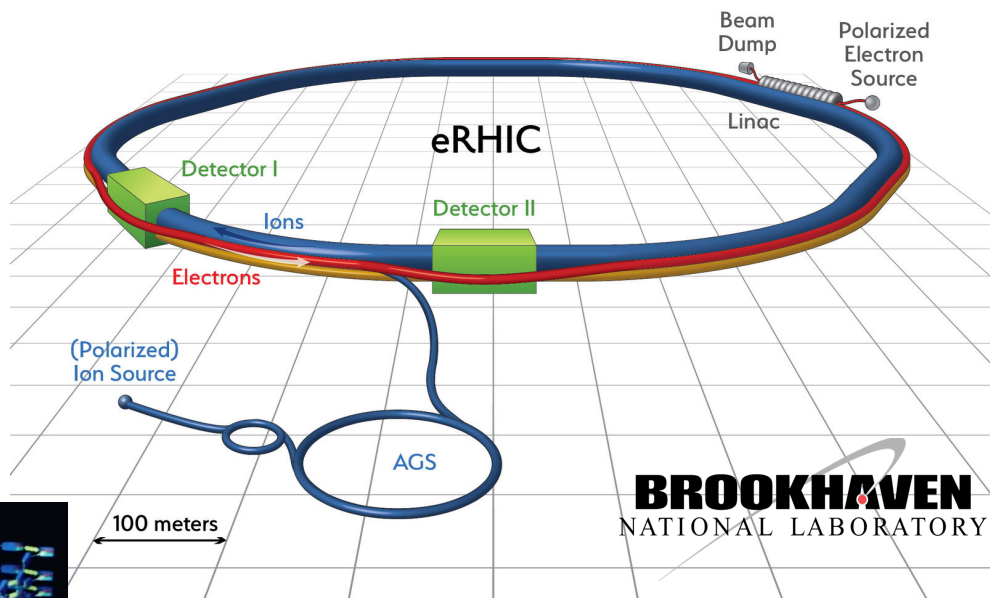
# High Current Pol. $e^- / e^+$ Accelerators



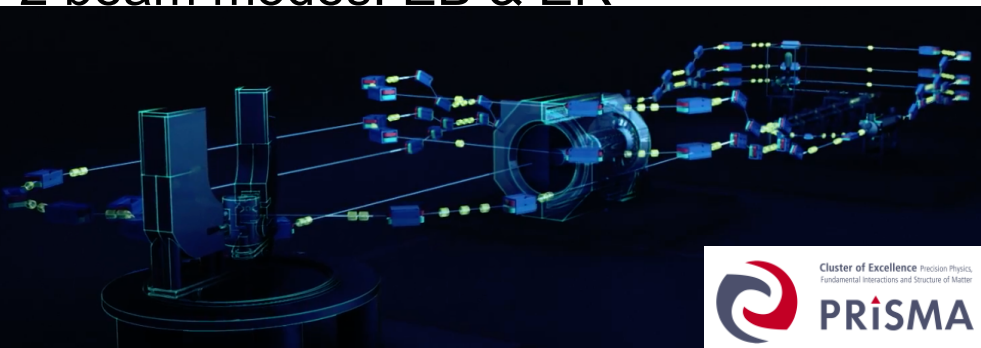
**CEBAF CW beam/250 MHz**

**$e^-$ : Ave = 1-10 mA, 4-40 pc**

**$e^+$ : Ave = 100 nA - 1  $\mu$ A**



**MESA: CW beam 1mA (80% pol.),**  
 10mA (un-pol.), 100~150MeV,  
 2 beam modes: EB & ER



**BNL eRHIC: CW beam**  
**50~250mA (80% pol.)/18GeV**  
**5.3C/bunch**

[https://www.youtube.com/watch?v=RrfiGatx9\\_4&list=PLB22EC2B38A982F06](https://www.youtube.com/watch?v=RrfiGatx9_4&list=PLB22EC2B38A982F06)



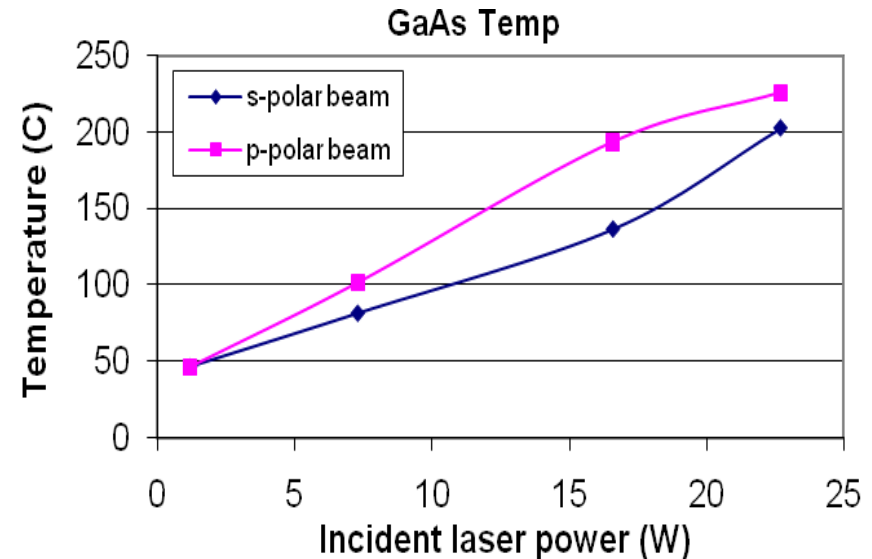
# Existing Polarized Photocathodes

- **High Pol.** Satisfies most physics experiment
- But **low QE** only supporting ~ uA sustained beam delivery

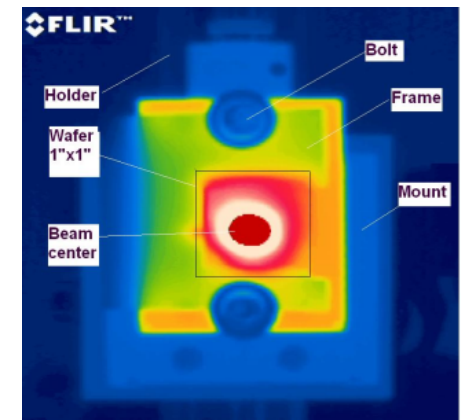
Material / Structure	P (%)	QE (%)
Bulk GaAs	35	10
GaAsSb/AlGaAsP	75	0.3
GaAs/GaAsP	92	1.2
GaAs/GaAsP	92	1.6
InGaAs/AlGaAs	77	0.7
AlInGaAs/GaAs	91	0.5
AlInGaAs/AlGaAs (with DBR)	92	0.85
AlInGaAs/GaAsP (with DBR)	92	0.6

# QE Really Matters

- Enough laser power?  
**limitation:** dissipation & heating.,  
Cs evaporation, vacuum deterioration,.....
- Cooling? complicated with HV



High polar. & ~ 10%QE desired:  
simplify gun design, reduce  
laser power, prolong operating  
lifetime

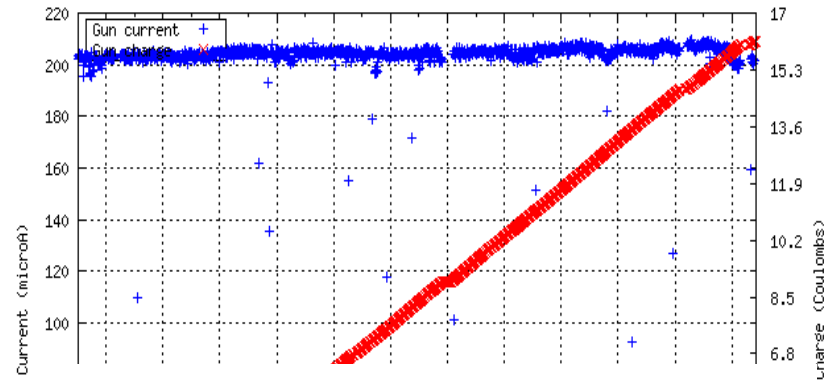
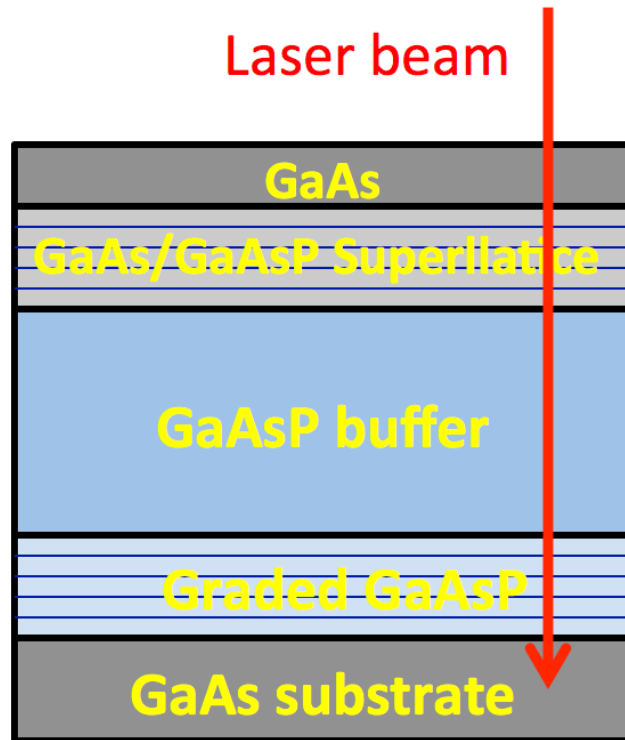


**A solution: Distributed Bragg Reflector**

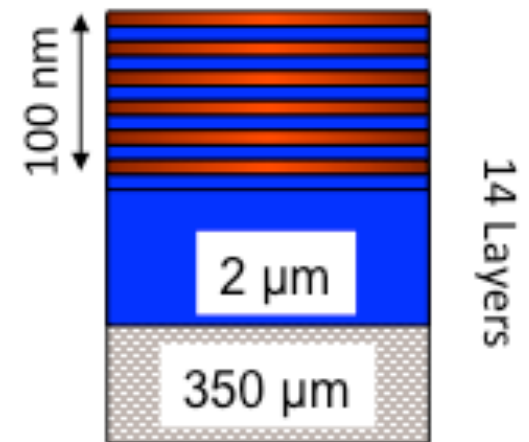
S. ZHANG, NIMA631, 22 (2011)

# Supertlattice Photocathodes

- non-DBR Photocathode :  
Absorpt. in GaAs/GaAsP SL < 5%  
Most light deposited  
→ unwanted heat



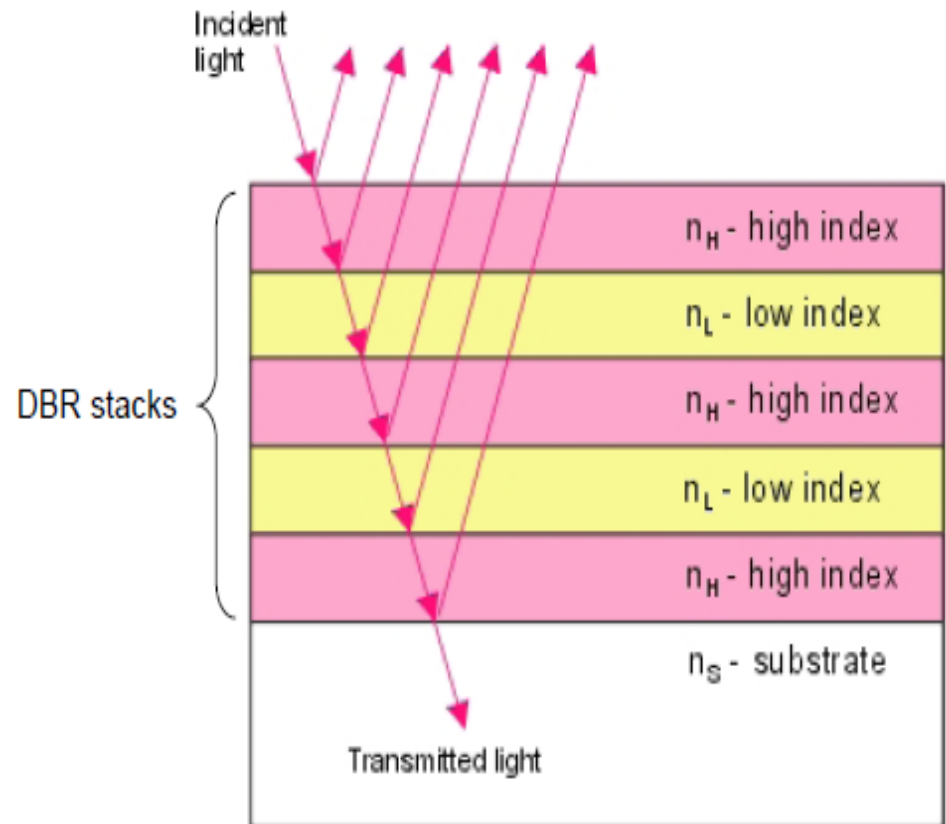
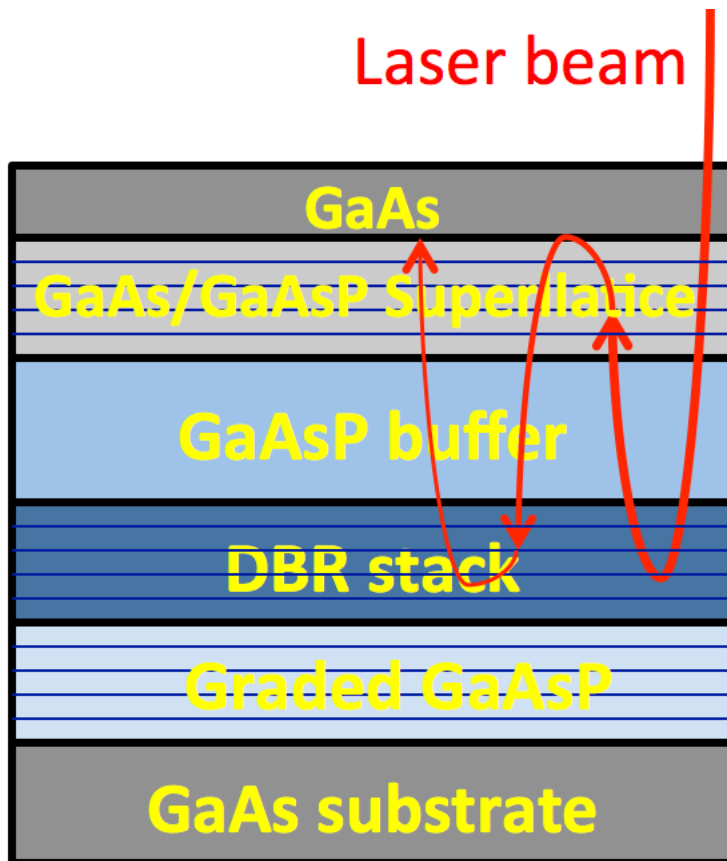
Superlattice GaAs:  
Layers of GaAs on GaAsP



No strain relaxation  
QE  $\sim$  1%, 6  $\mu\text{A}/\text{mW}$   
Pol  $\sim$  85% @ 780 nm (1.59 eV)

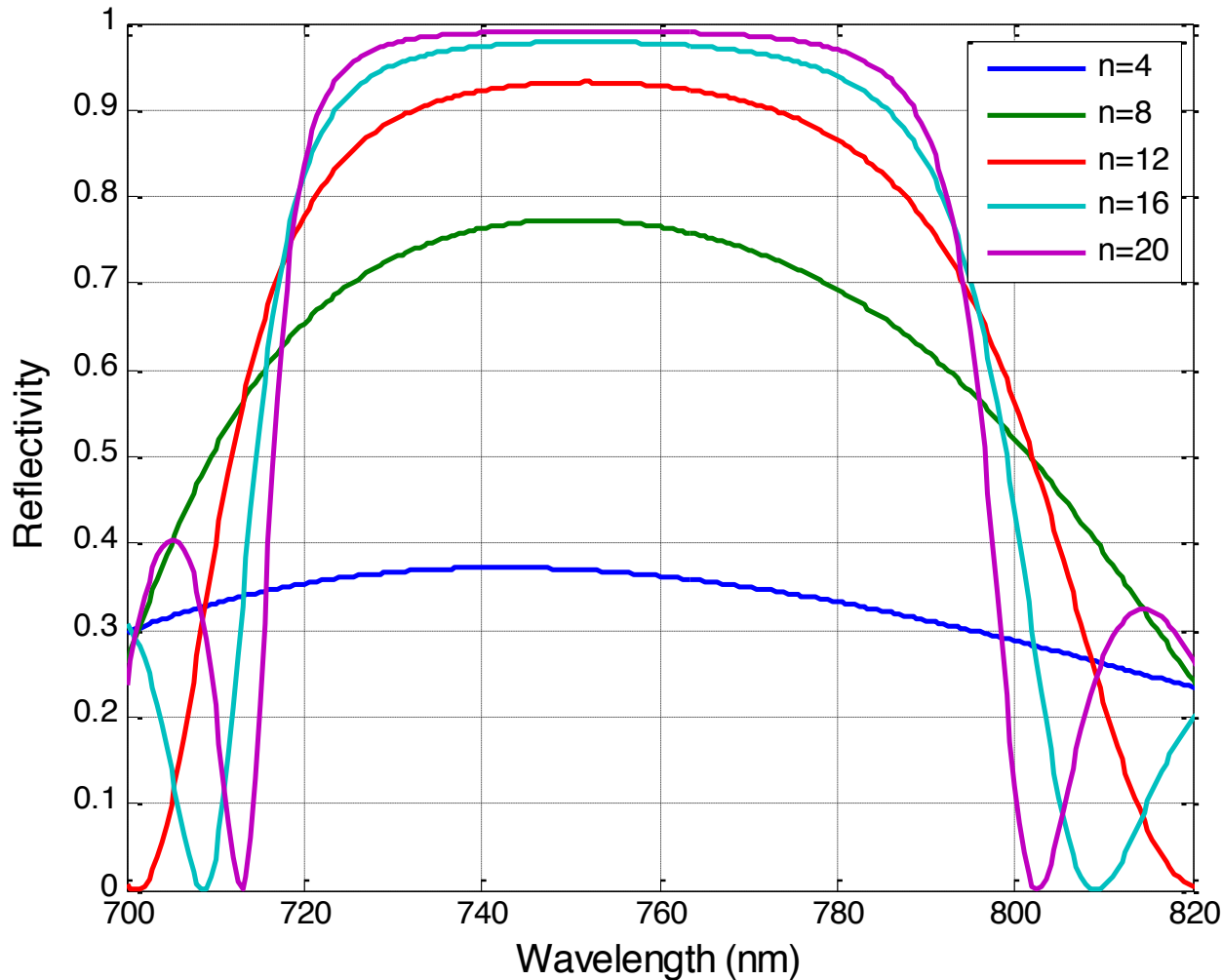
# Benefits of DBR

- DBR photocathode : absorpt. in GaAs/GaAsP SL >20%  
Less light needed  $\Rightarrow$  less heat deposited
- F-P can be formed btw top layer & DBR





# Surface Reflection of DBR



GaAsP/AlAsP

12 paired layers:  
highest reflection  
~93.2%

More layers,  
higher reflection,  
but more  
challenging

DBR Surface reflection vs. numbers of paired layers (n)

# Fabrication of Photocathodes

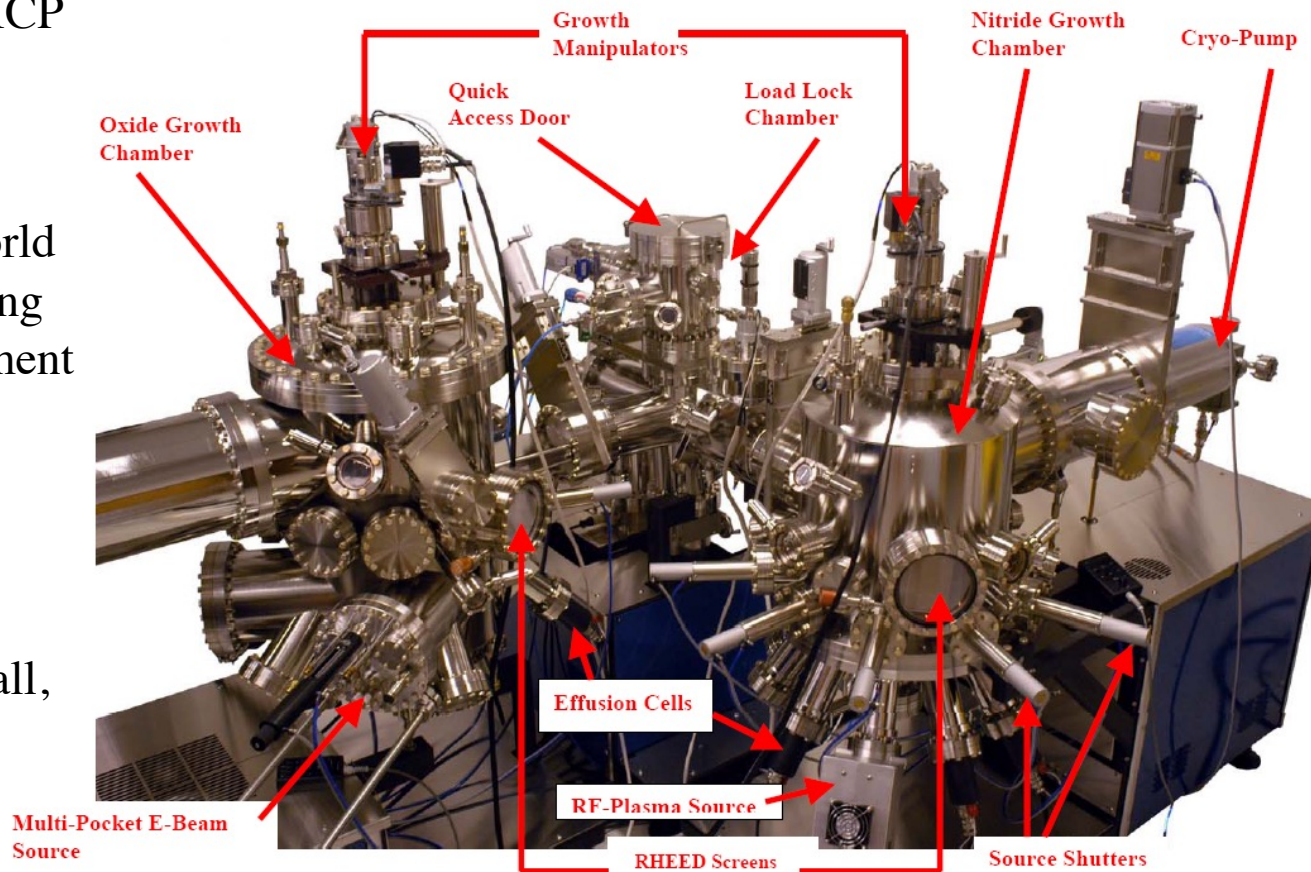
- Material deposition systems: MBE PLD, ALD, PECVD, ICP

Established know-how:

8 Applications Laboratory  
MBE systems producing world class epitaxial growth, feeding requirements back to equipment designers

- Complete semiconductor material characterization facility: HR-XRD, FTIR, Hall, Low-temp probe station, Semiconductor parameter analyzer, ellipsometer.

- Device Fabrication



Dual Oxide - Nitride MBE



# Structure of Photocathodes

GaAs	5 nm	$p=5E19 \text{ cm}^{-3}$
GaAs/GaAsP SL	(3.8/2.8 nm) $\times 14$	$p=5E17 \text{ cm}^{-3}$
GaAsP <sub>0.35</sub>	2750 nm	$p=5E18 \text{ cm}^{-3}$
Graded GaAsP <sub>x</sub> (x = 0~0.35)	5000 nm	$p=5E18 \text{ cm}^{-3}$
GaAs buffer	200 nm	$p=2E18 \text{ cm}^{-3}$
p-GaAs substrate ( $p>1E18 \text{ cm}^{-3}$ )		

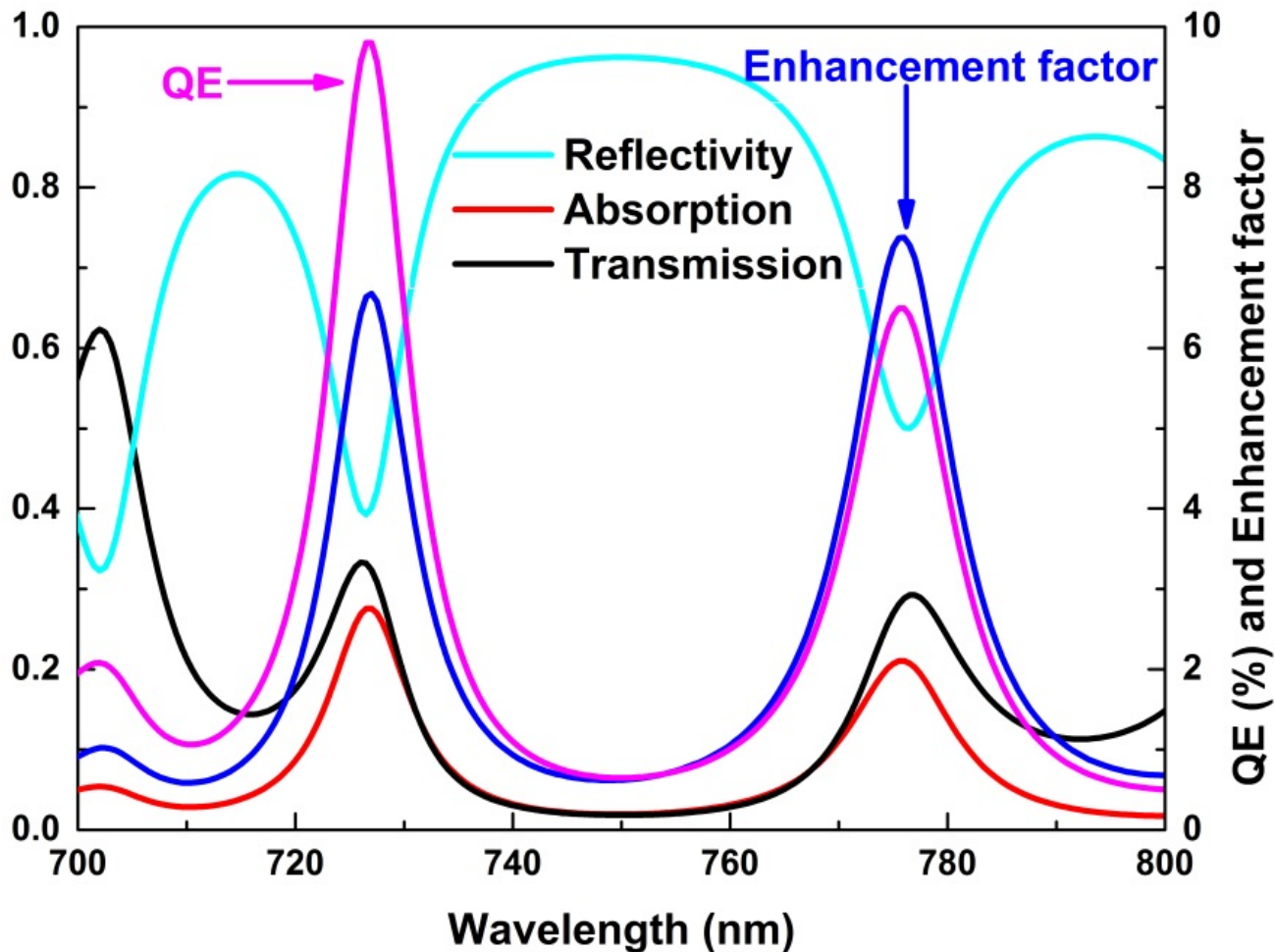
GaAs	5 nm	$p=5E19 \text{ cm}^{-3}$
GaAs/GaAsP SL	(3.8/2.8 nm) $\times 14$	$p=5E17 \text{ cm}^{-3}$
GaAsP <sub>0.35</sub> spacer	750 nm	$p=5E18 \text{ cm}^{-3}$
GaAsP <sub>0.35</sub> /AlAsP <sub>0.4</sub> DBR	(54/64 nm) $\times 12$	$p=5E18 \text{ cm}^{-3}$
GaAsP <sub>0.35</sub>	2000 nm	$p=5E18 \text{ cm}^{-3}$
Graded GaAsP <sub>x</sub> (x = 0~0.35)	5000 nm	$p=5E18 \text{ cm}^{-3}$
GaAs buffer	200 nm	$p=2E18 \text{ cm}^{-3}$
p-GaAs substrate ( $p>1E18 \text{ cm}^{-3}$ )		

Key design consideration: **Optical path length**

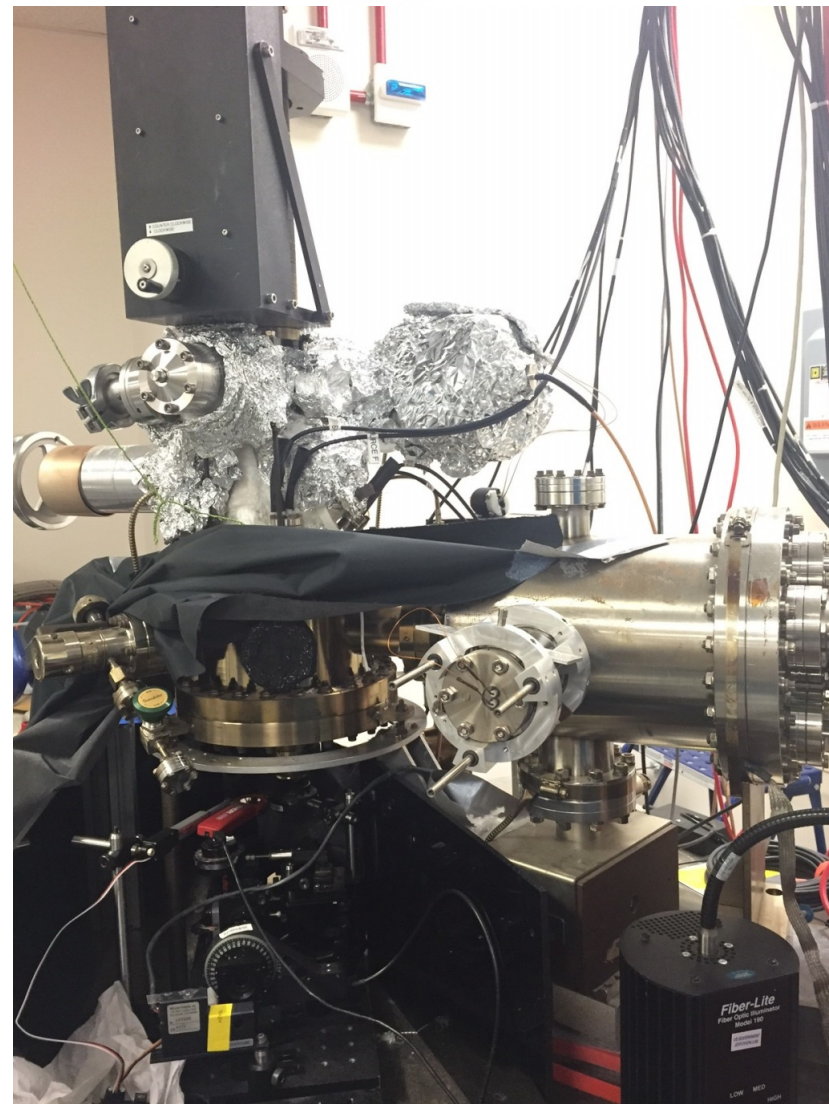
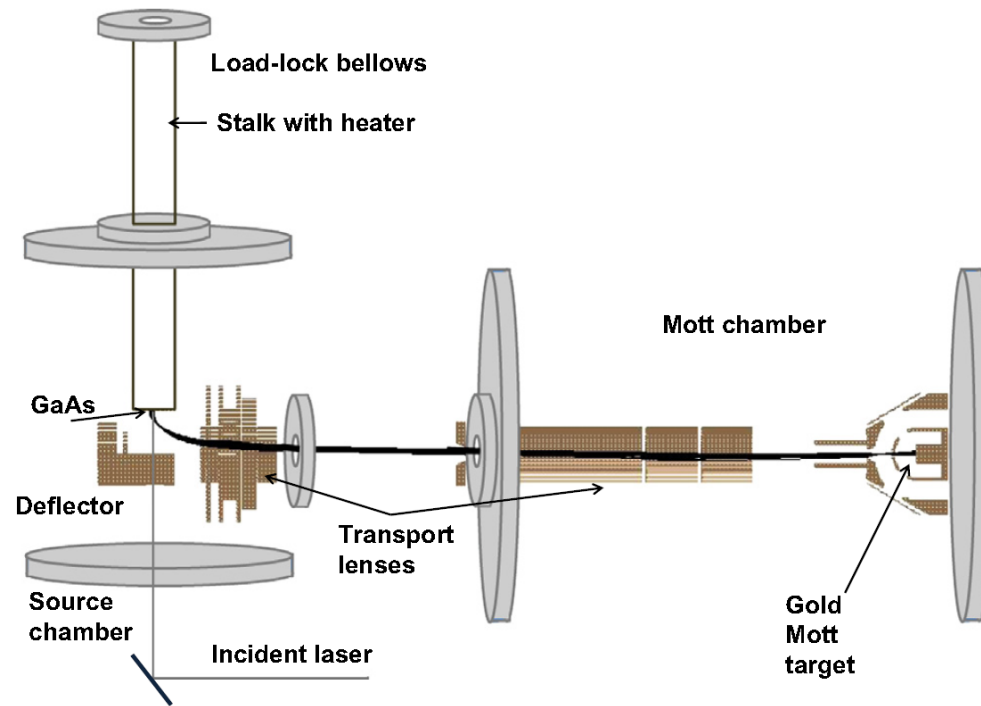
- Layer thickness, and
- Refractive index/Phosphorus content

# Calculation/Prediction

Absorpt: 21.03% QE~ 6.4%, Enhancement ~7.4 @ 776 nm

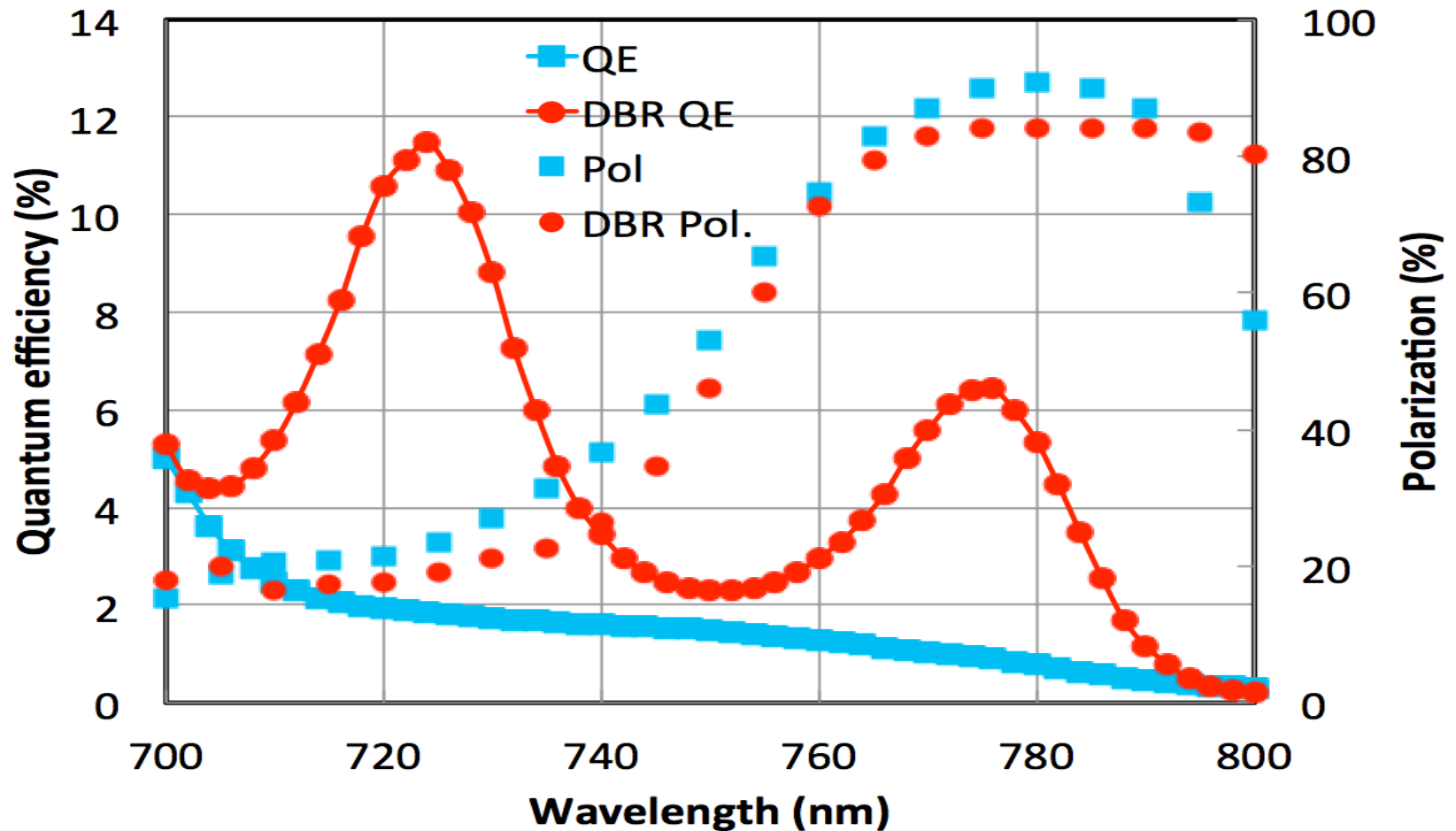


# Experimental Apparatus-Mott



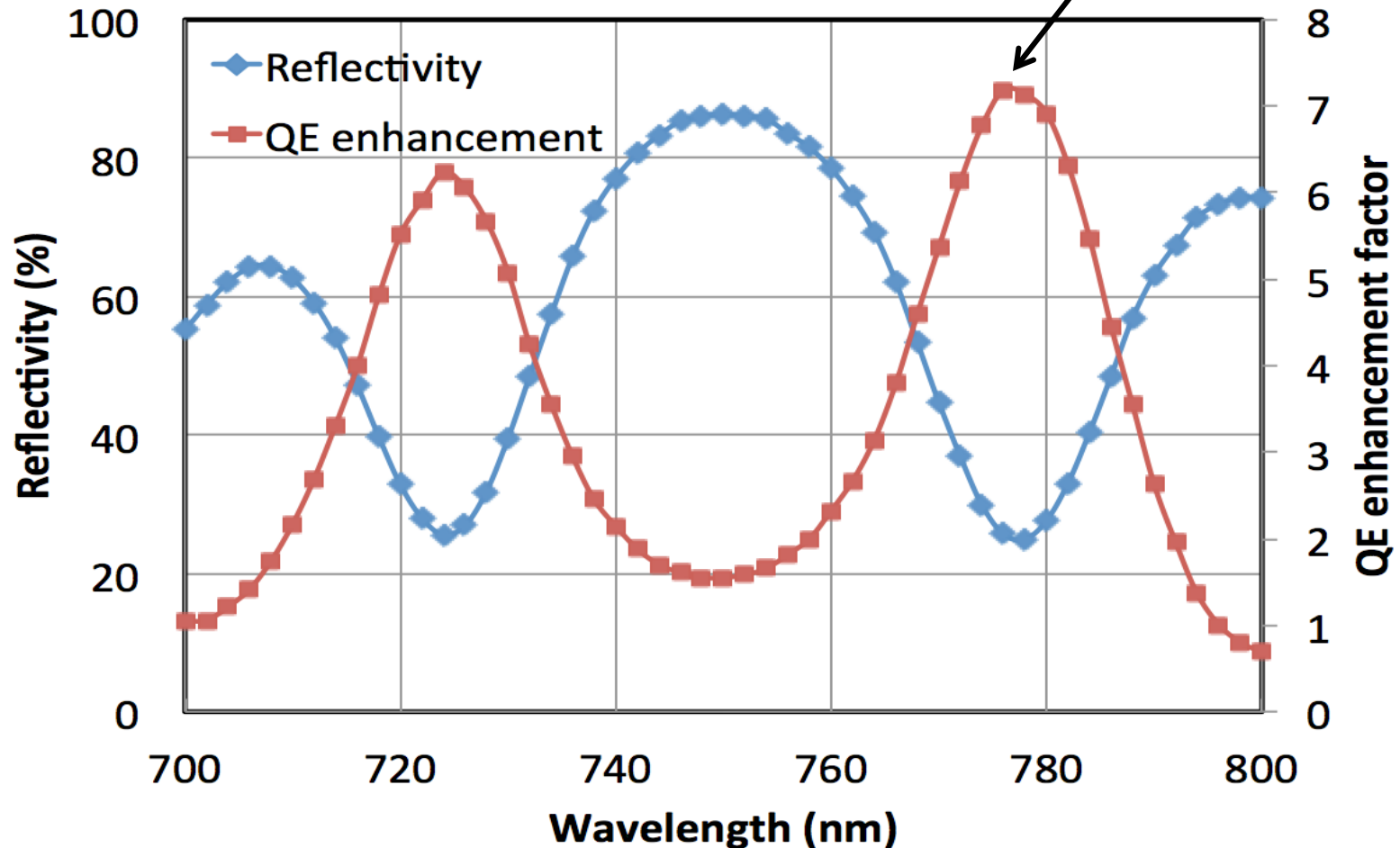
# Experimental Results

- non-DBR: QE  $\sim$  0.89%, Pol  $\sim$  92% @ 776 nm:
  - DBR: Pol.  $\sim$  84%, QE  $\sim$  6.4%, Enhancement:  $\sim$  7.2



# Experimental Results

QE Enhancement: **7.2** @776 nm:



# Performance of Photocathodes

- Accurate modeling helps
- Precise control over many layers - the real challenge!

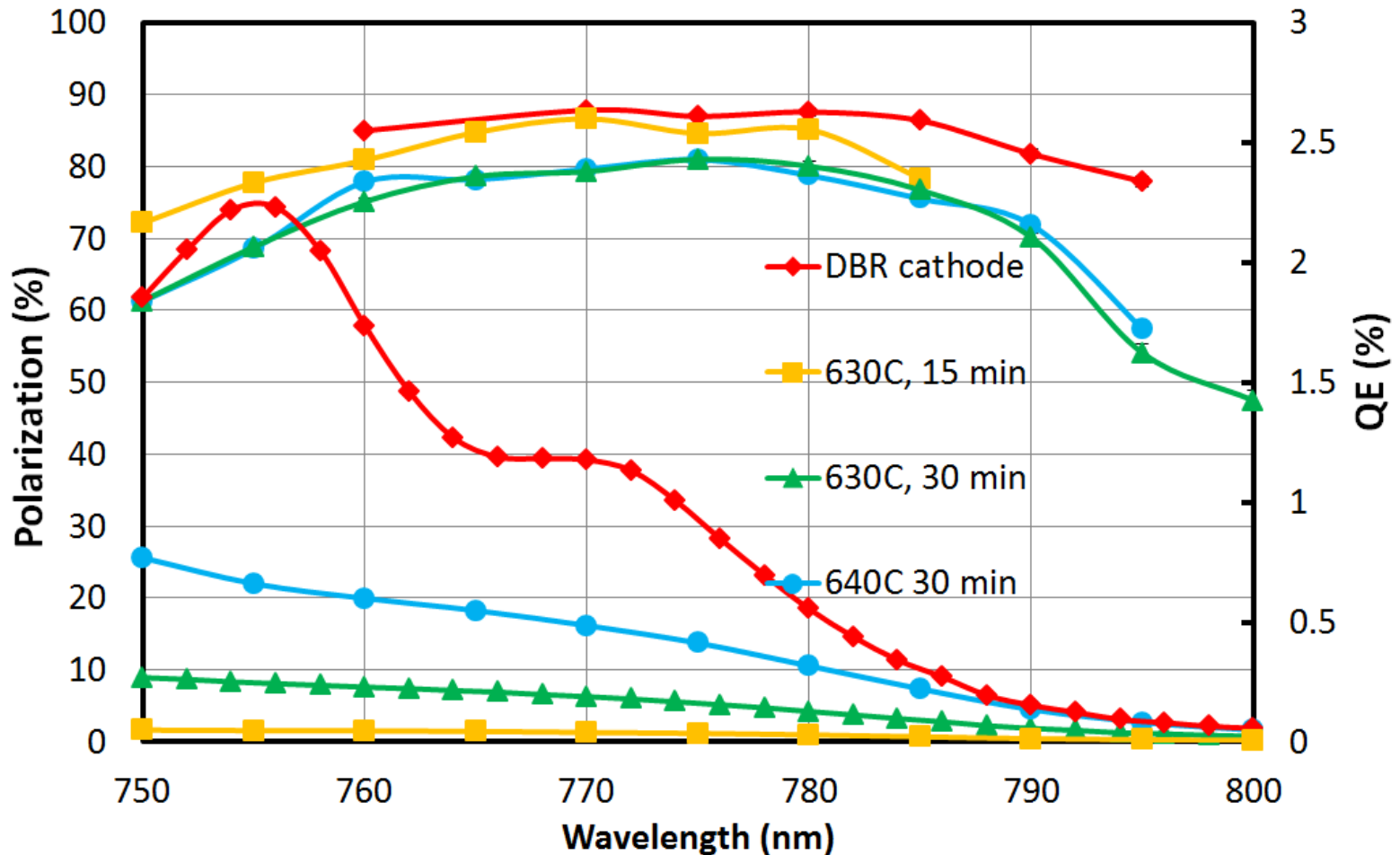
Cathode	Ref.	P(%)	QE (%)	FOM*
GaAs/GaAsP <sub>0.36</sub> (non-DBR)	SLAC/SVT	86	1.2	0.89
GaAs/GaAsP <sub>0.38</sub> (non-DBR)	Nagoya	92	1.6	1.35
Al <sub>0.19</sub> In <sub>0.2</sub> GaAs/Al <sub>0.4</sub> GaAs (DBR)	St. Peterburg	92	0.85	0.72
GaAs/GaAsP <sub>0.35</sub> (DBR)	JLab/SVT	84	6.4	4.52

\* Figures of Merit= $P^2 \cdot QE$



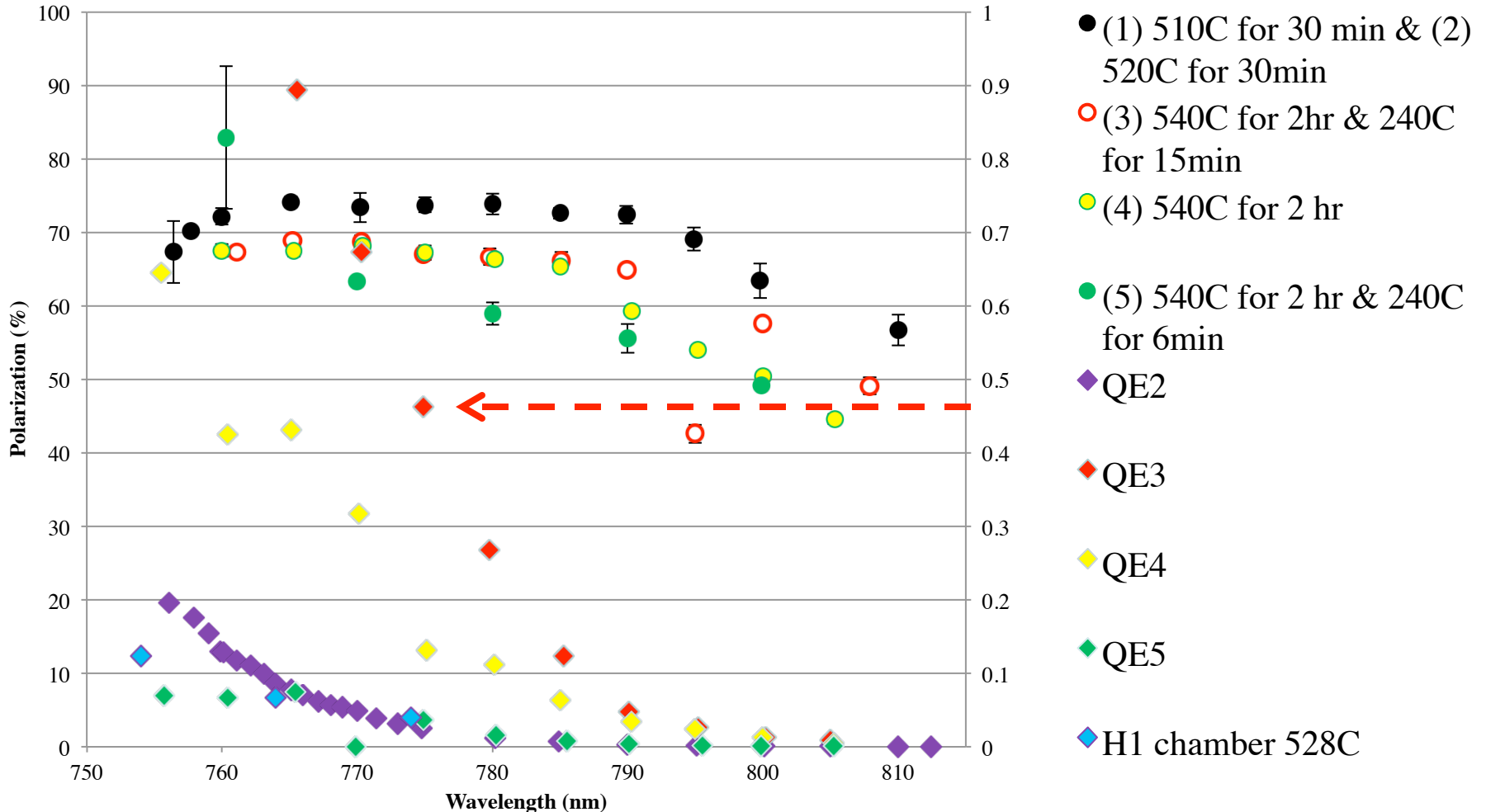
# Results back in 2015

- Significant improvement in QE achieved!



# GaAs/GaAsP:Sb Photocathode

- Different exp. conditions explored, relatively low QE & Polar.



# Summary

- Dramatic QE enhancement  $\sim 7x$ , polarization  $\sim 84\%$  achieved with Strained DBR GaAs/GaAsP SL photocathodes
- DBR photocathodes will be used to produce high current polarized electron beams in UITS/CEBAF
- Further effort to tune the wavelength and increase QE peak is underway.
- Simulation and new polarized photocathode R&D have been proposed

**Also like to hear ideas from you!**