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The Sivers effect in atomic Compton scattering

JLab Positron Working Group Meeting

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Motivation

Can we devise **analogues of hadron structure**
observables that can be studied in a
low-energy framework?

YES!

protons \rightarrow atoms

QCD \rightarrow QED bound states

GeV \rightarrow MeV physics

Atomic Compton scattering

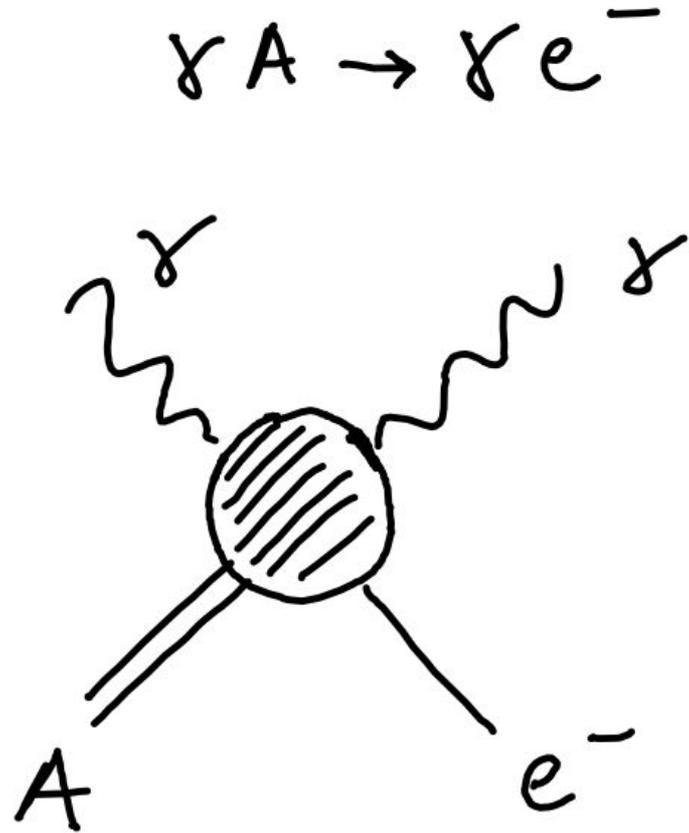
Unpolarized TMD PDF e/A

Sivers TMD PDF e/A

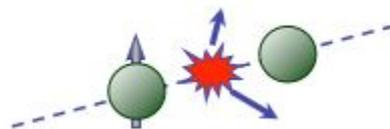
$$f_{1T}^{[U]\perp}(x, k_T^2) \epsilon_T^{\alpha\beta} S_{T\alpha} k_{T\beta}$$

Transverse spin
of target

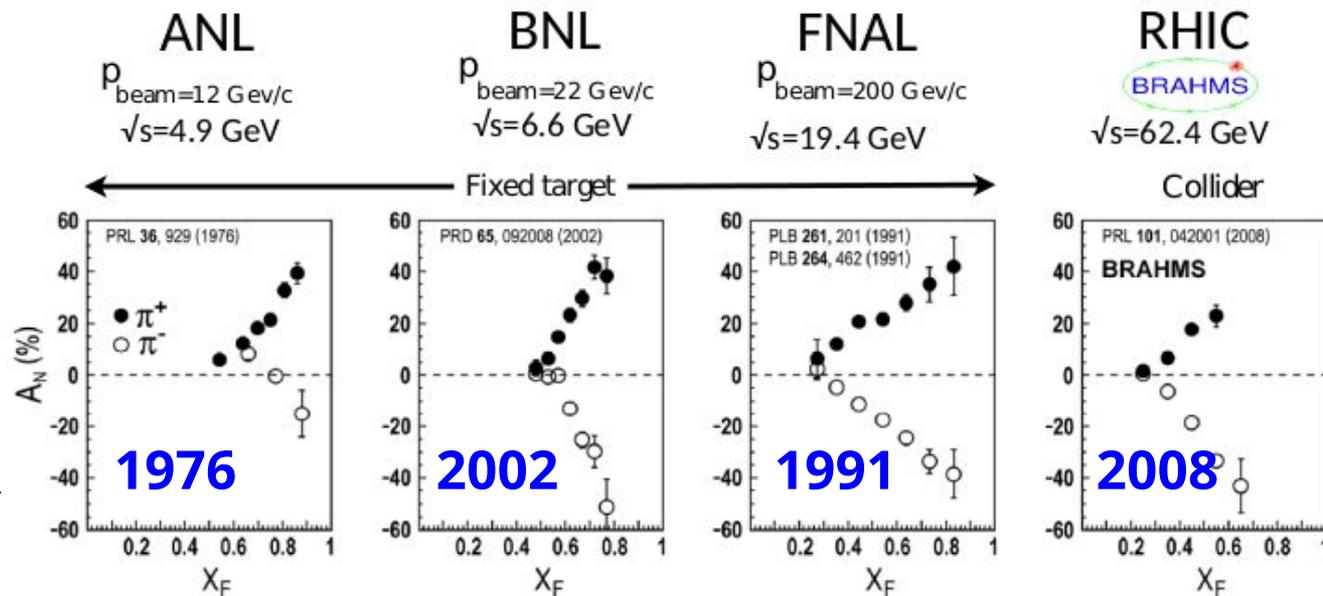
Transverse
momentum
of "parton"



Single-spin asymmetries



$$A_N = \frac{\sigma^\uparrow - \sigma^\downarrow}{\sigma^\uparrow + \sigma^\downarrow}$$



$$x_F = 2p_l / \sqrt{s}$$

$$A_N^{\text{pQCD}} \sim \alpha_s m_q / \sqrt{s} \ll A_N^{\text{exp}}$$

**Need different mechanism:
non-perturbative
→ Sivers effect**

See also <https://inspirehep.net/literature/1410100> (review for asymmetries in pp collisions)

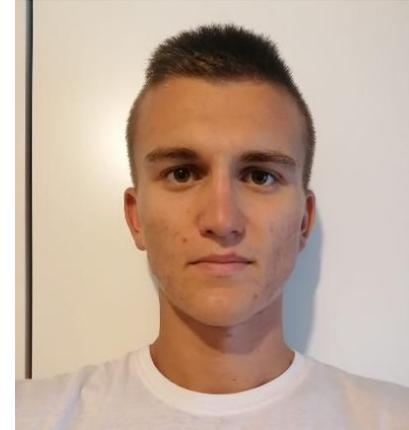
Outline of the content

1. **The Sivers effect and Wilson lines**
2. **Atomic Compton scattering**
3. **Atomic bound states**
4. **Results**

Contributors



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1. The Sivers effect and Wilson lines

Quark TMD PDFs in a proton

quark pol.

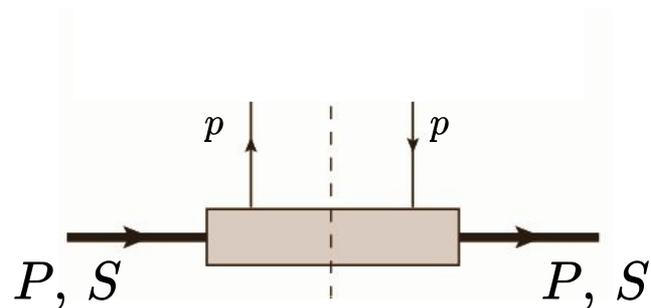
	U	L	T
U	f_1		h_1^\perp
L		g_{1L}	h_{1L}^\perp
T	f_{1T}^\perp	g_{1T}	h_1, h_{1T}^\perp

nucleon pol.

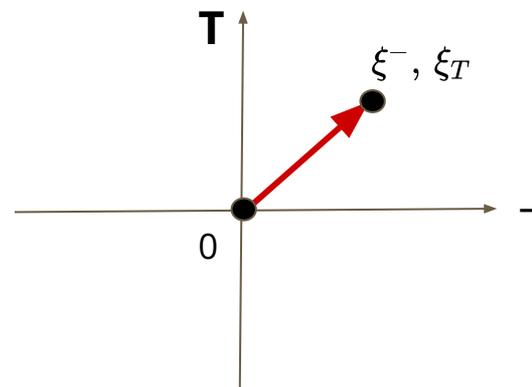
The **symmetries of QCD** play a **crucial role** in this classification

Quark TMD correlator

$$\Phi_{ij}(p, P, S) = \int \frac{d^4\xi}{(2\pi)^4} e^{i p \cdot \xi} \langle PS | \bar{\psi}_j(0) \psi_i(\xi) | PS \rangle$$



$$\begin{aligned} \Phi_{ij}(x, \mathbf{p}_T, S) &= \int dp^+ dp^- \delta(p^+ - xP^+) \Phi(p, P, S) = \\ &= \int \frac{d\xi^- d^2\xi_T}{2\pi} e^{i p \cdot \xi} \langle PS | \bar{\psi}_j(0) \psi_i(\xi) | PS \rangle_{\xi^+ = 0} \end{aligned}$$



Implications of time reversal symmetry

$$\Phi_{ij}^*(k, P, S) = (-i\gamma_5 C)_{ik} \Phi_{kl}(\tilde{k}, \tilde{P}, \tilde{S}) (-i\gamma_5 C)_{lj}$$

$$f_1(x, k_T^2) = f_1(x, k_T^2)$$

Trivial ...

$$f_{1T}^\perp(x, k_T^2) = -f_{1T}^\perp(x, k_T^2)$$

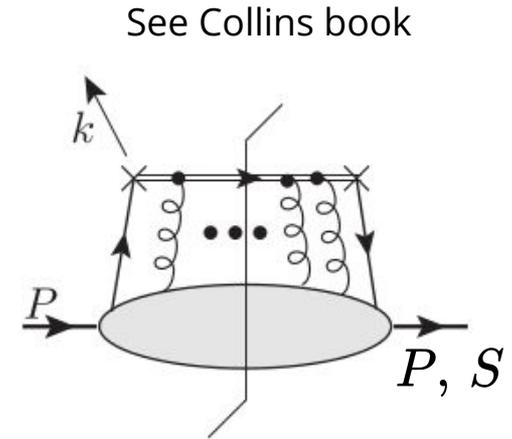
There is no Siverts function!

Where is the problem ?

Gauge invariant quark correlator

$$\Phi_{ij}(k, P, S) = \int \frac{d^4\xi}{(2\pi)^4} e^{ik \cdot \xi} \langle PS | \bar{\psi}_j(0) U(0, \xi) \psi_i(\xi) | PS \rangle$$

GAUGE INVARIANT!



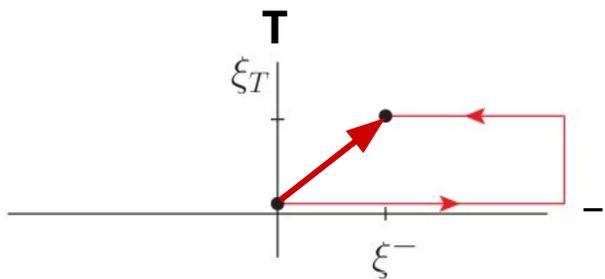
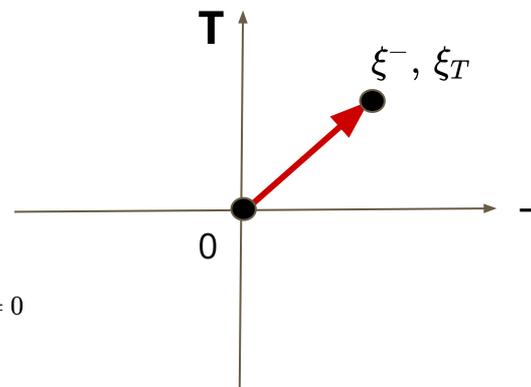
$$U(0, \xi) = \mathcal{P} e^{-ig \int_0^\xi ds^\mu A_\mu^a(s) T^a}$$



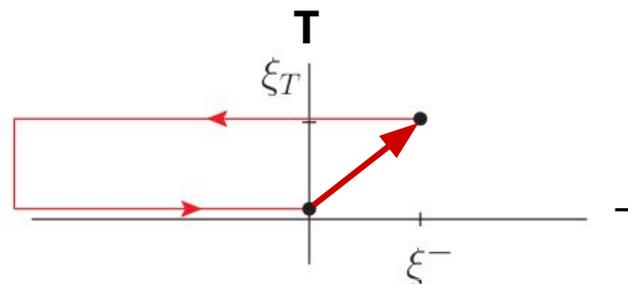
Eventually the correlator and the (TMD) PDFs **depend on the gauge link and its path** in spacetime

Gauge links for TMD PDFs

$$\begin{aligned} \Phi_{ij}^{[U]}(x, \mathbf{p}_T, S) &= \int dp^+ dp^- \delta(p^+ - xP^+) \Phi^{[U]}(p, P, S) = \\ &= \int \frac{d\xi^- d^2\xi_T}{2\pi} e^{ip \cdot \xi} \langle PS | \bar{\psi}_j(0) U(0, \xi) \psi_i(\xi) | PS \rangle_{\xi^+ = 0} \end{aligned}$$



$U^{[+]}$ Future pointing (SIDIS)



$U^{[-]}$ Past pointing (Drell-Yan)

Implication of time reversal symmetry (again)

$$\Phi^{[\pm]*}(k; P, S) = i\gamma^1\gamma^3\Phi^{[\mp]}(\tilde{k}; \tilde{P}, \tilde{S})i\gamma^1\gamma^3$$

$$f_1^{[+]}(x, k_T^2) = f_1^{[-]}(x, k_T^2) \quad \text{Time-reversal even}$$

$$f_{1T}^{\perp[+]}(x, k_T^2) = -f_{1T}^{\perp[-]}(x, k_T^2) \quad \text{Time-reversal odd}$$

Sign-change relation for the Sivers function:
a striking consequence of **the symmetries** of QCD

Do we really need QCD in the proof ?

$$U(0, \xi) = \mathcal{P} e^{-ig \int_0^\xi ds^\mu A_\mu^a(s) T^a}$$

In this proof, **nothing would change** if we considered **U(1) symmetry** instead of SU(3) !

We'd consider QED bound states and Wilson lines: a **QED-induced Sivers effect**



Why considering a QED-induced Sivers effect ?

PROS:

- QED is Abelian and perturbative at low energies: the PDFs for QED bound states are **calculable**
- QED measurements are **extremely precise**: up to 11 significant figures for alpha
- The sign change relation, a feature of the geometry of gauge theories, could be tested **with low energy experiments**

CONS:

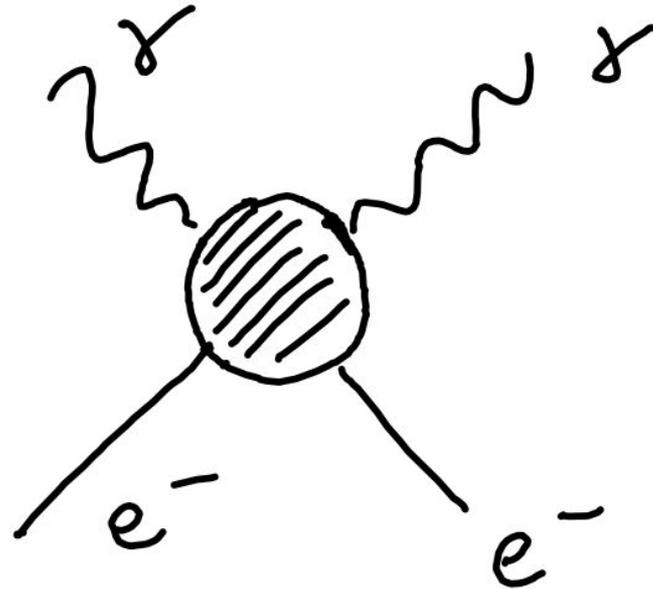
not a strong interaction ... a QED structure effect might be **extremely weak** and difficult to measure

...

2. Atomic Compton scattering

Compton scattering

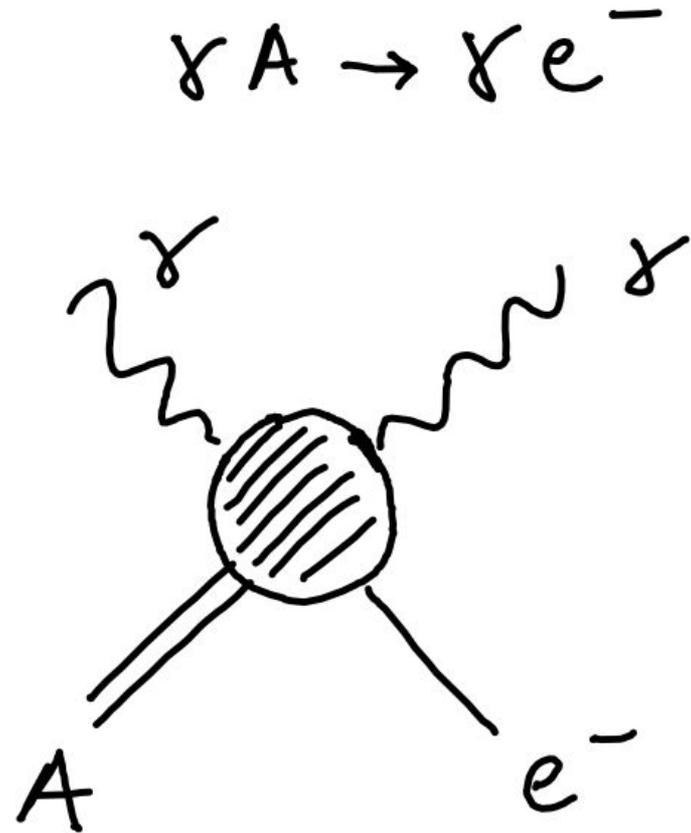
$$\gamma e^- \rightarrow \gamma e^-$$



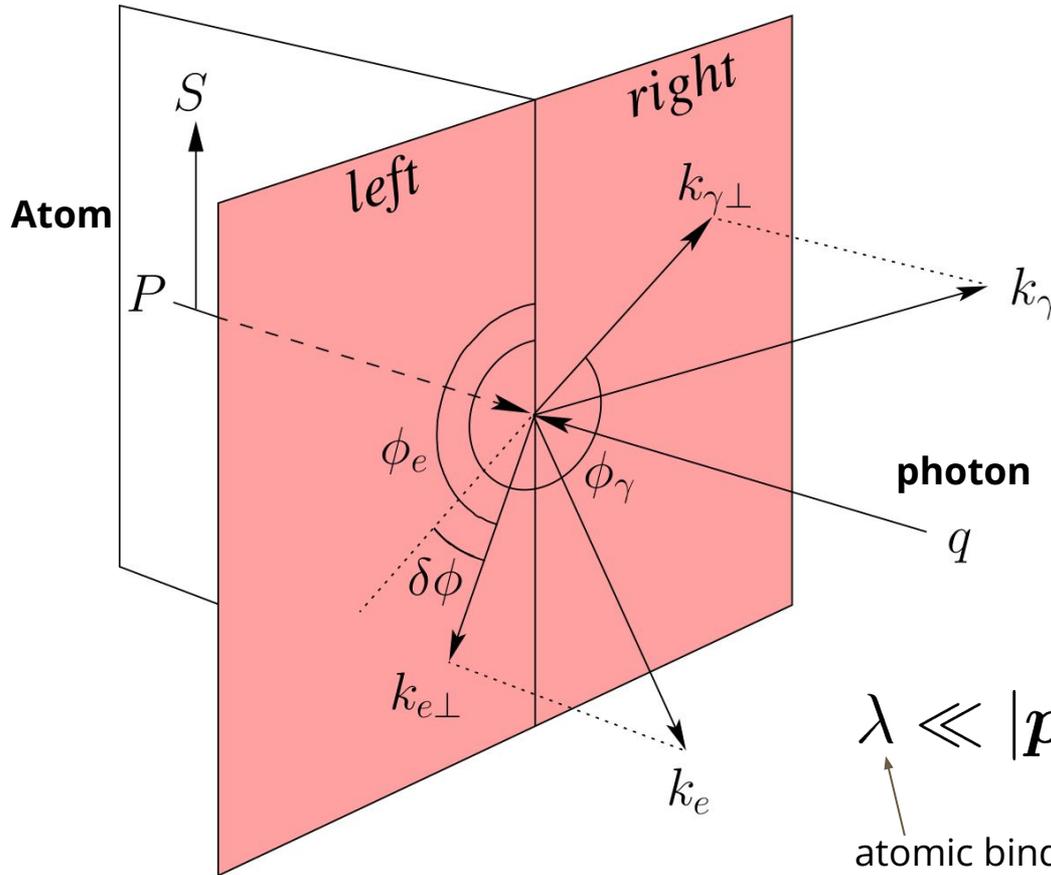
Atomic Compton scattering

Kinematics?

Cross section?



Atomic Compton scattering: kinematics



$$\mathbf{p}_{\perp} = \mathbf{k}_{\gamma\perp} + \mathbf{k}_{e\perp}$$

Transverse momentum imbalance

photon

q

keV electron momentum

$$\lambda \ll |\mathbf{p}_{\perp}| \ll |\mathbf{k}_{\gamma\perp} - \mathbf{k}_{e\perp}| \approx \sqrt{s}$$

atomic binding (eV)

MeV photon beam

Atomic Compton scattering: cross section

$$\frac{d\bar{\sigma}_{\text{ACS}}}{dx_{\perp} d\eta_{\gamma} d\eta_e d\phi_{\gamma} d\phi_e} =$$

$$= \frac{\alpha_e^2}{64} \frac{x_{\perp}^2}{4x_e} \bar{f}(m_e, \hat{s}) \left\{ f_1^{e/A}(x_e, p_{\perp}^2) + \frac{|\mathbf{k}_{\gamma\perp}| |\mathbf{S}_{\perp}|}{M} \sin(\delta\phi) \cos(\phi_{\gamma}) f_{1T}^{\perp e/A}(x_e, p_{\perp}^2) \right\}$$

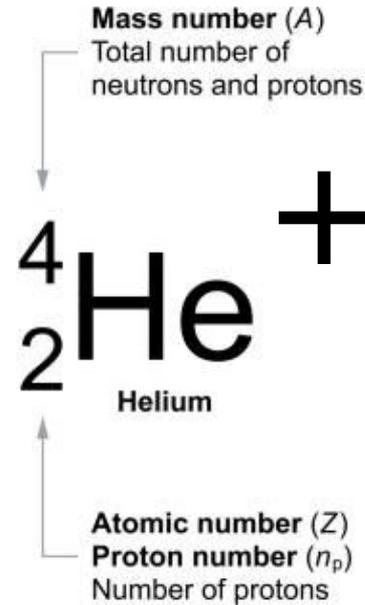
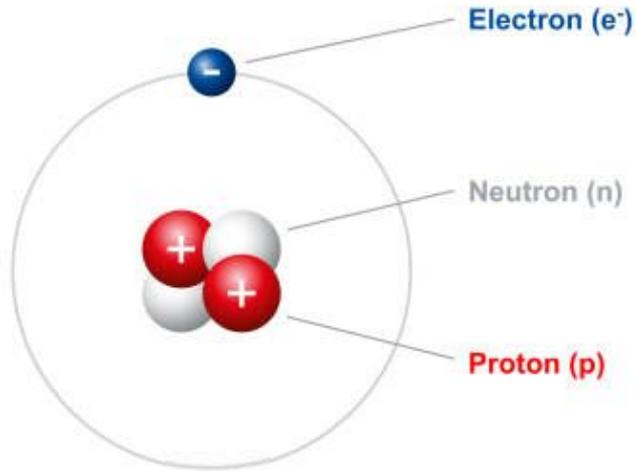
Unpolarized TMD e/A distribution

“free” Compton scattering

Sivers TMD e/A distribution

3. Atomic bound states

Ionized Helium-4



Hydrogen-like system:
Scalar nucleus,
only one "parton" (the electron)

Tool:
Quantum mechanics
(Schrodinger equation)

Electron wavefunctions

JULY 1, 1929

PHYSICAL REVIEW

VOLUME 34

THE MOMENTUM DISTRIBUTION IN HYDROGEN-LIKE ATOMS

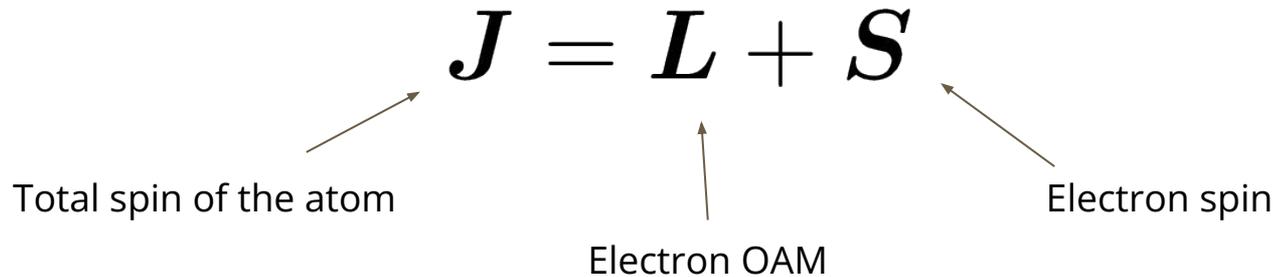
BY BORIS PODOLSKY* AND LINUS PAULING
University of California, Berkeley

(Received April 5, 1929)

$$\psi_{nlm}(|\mathbf{p}|, \theta, \phi) = \left\{ \frac{1}{(2\pi)^{\frac{1}{2}}} e^{+im\phi} \right\} \left\{ \left(\frac{(2l+1)(l-m)!}{2(l+m)!} \right)^{\frac{1}{2}} P_l^m(\cos \theta) \right\} \\ \times \left\{ -\frac{(-i)^l \pi 2^{2l+4} l!}{(\gamma h)^{\frac{3}{2}}} \left(\frac{n(n-l-1)!}{(n+l)!} \right)^{\frac{1}{2}} \frac{\zeta^l}{(\zeta^2+1)^{l+2}} C_{n-l-1}^{l+1} \left(\frac{\zeta^2-1}{\zeta^2+1} \right) \right\}$$

Eigenfunctions of L^2, L_z, S^2, S_z

$$\Psi_{nlm_\ell m_s}(|\mathbf{p}|, \theta, \phi) = \psi_{nlm_\ell}(|\mathbf{p}|, \theta, \phi) \chi_{m_s} \quad \text{Separable solutions}$$



We want to mimic the case of the proton: $j = 1/2$, $m_j = \pm 1/2$

Eigenfunctions of J^2, J_z

$$m_j = m_\ell + m_s$$

$$\Psi^{z\uparrow} = -\frac{1}{\sqrt{3}} \psi_{210}(|\mathbf{p}|, \theta, \phi) \chi_z^\uparrow + \sqrt{\frac{2}{3}} \psi_{211}(|\mathbf{p}|, \theta, \phi) \chi_z^\downarrow,$$

Eigenfunctions of J^2, J_z

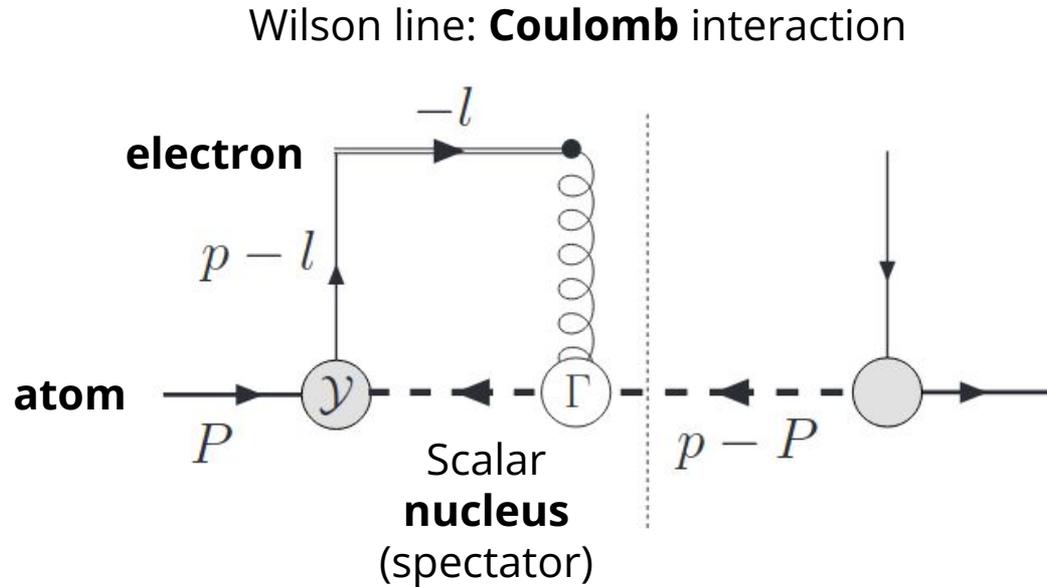
$$m_j = m_\ell + m_s$$

$$\Psi^{z\uparrow} = -\frac{1}{\sqrt{3}} \psi_{210}(|\mathbf{p}|, \theta, \phi) \chi_z^\uparrow + \sqrt{\frac{2}{3}} \psi_{211}(|\mathbf{p}|, \theta, \phi) \chi_z^\downarrow,$$

$$\Psi^{z\downarrow} = -\sqrt{\frac{2}{3}} \psi_{21-1}(|\mathbf{p}|, \theta, \phi) \chi_z^\uparrow + \frac{1}{\sqrt{3}} \psi_{210}(|\mathbf{p}|, \theta, \phi) \chi_z^\downarrow.$$

Then one needs to **rotate the polarization** from the z to the y axis

A spectator model-like approach



<https://inspirehep.net/literature/789754>

4. Results

Unpolarized vs Sivers TMDs

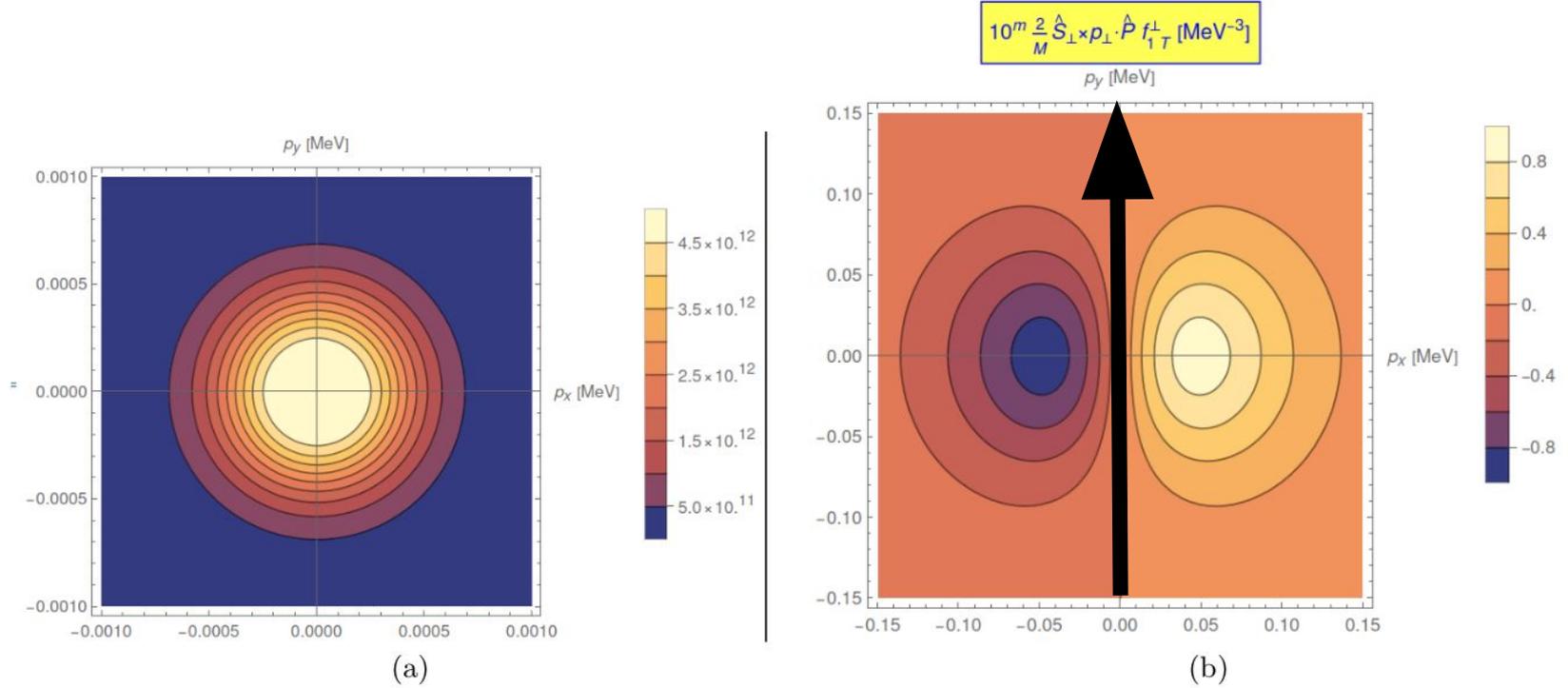
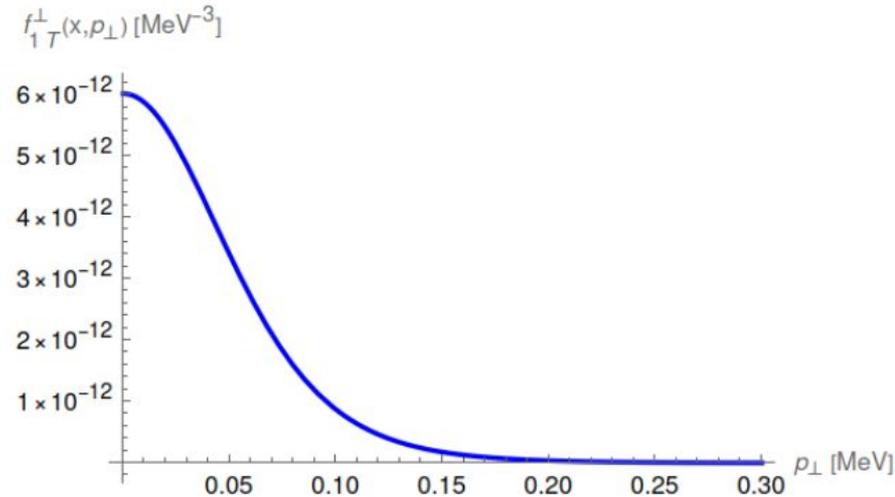
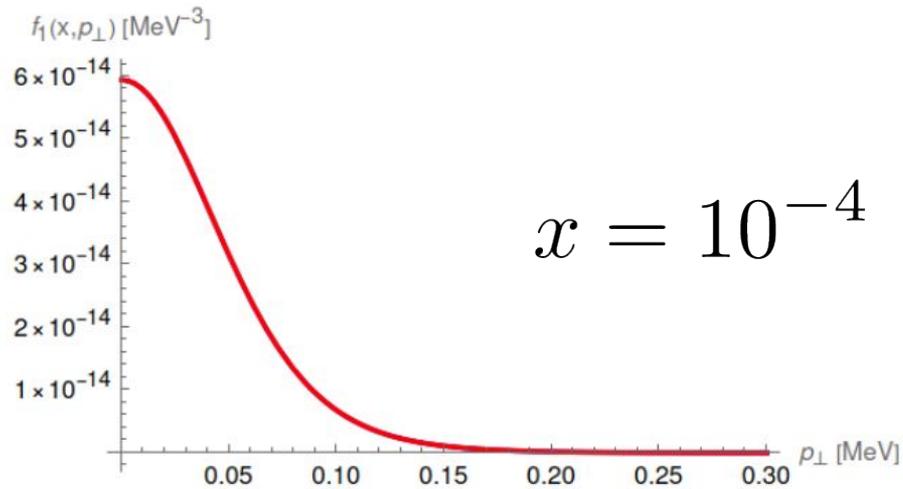


FIG. 5: Contour 2D plots for the electron distributions in the transverse plane. (a) Contour plot for the unpolarized distribution, $10^m f_1^e(x, \mathbf{p}_\perp^2)$. (b) Contour plot for the polarized term in the correlation function, $\frac{2}{M} (\hat{\mathbf{S}}_\perp \times \mathbf{p}_\perp \cdot \hat{\mathbf{P}}) f_{1T}^\perp(x, \mathbf{p}_\perp^2)$. We choose the value $x = 10^{-4}$ in both plots. For both distributions, the 2D plot contains a magnification factor 10^m , with $m = 14$ in (a) and $m = 16$ in (b).

Unpolarized vs Sivers TMDs

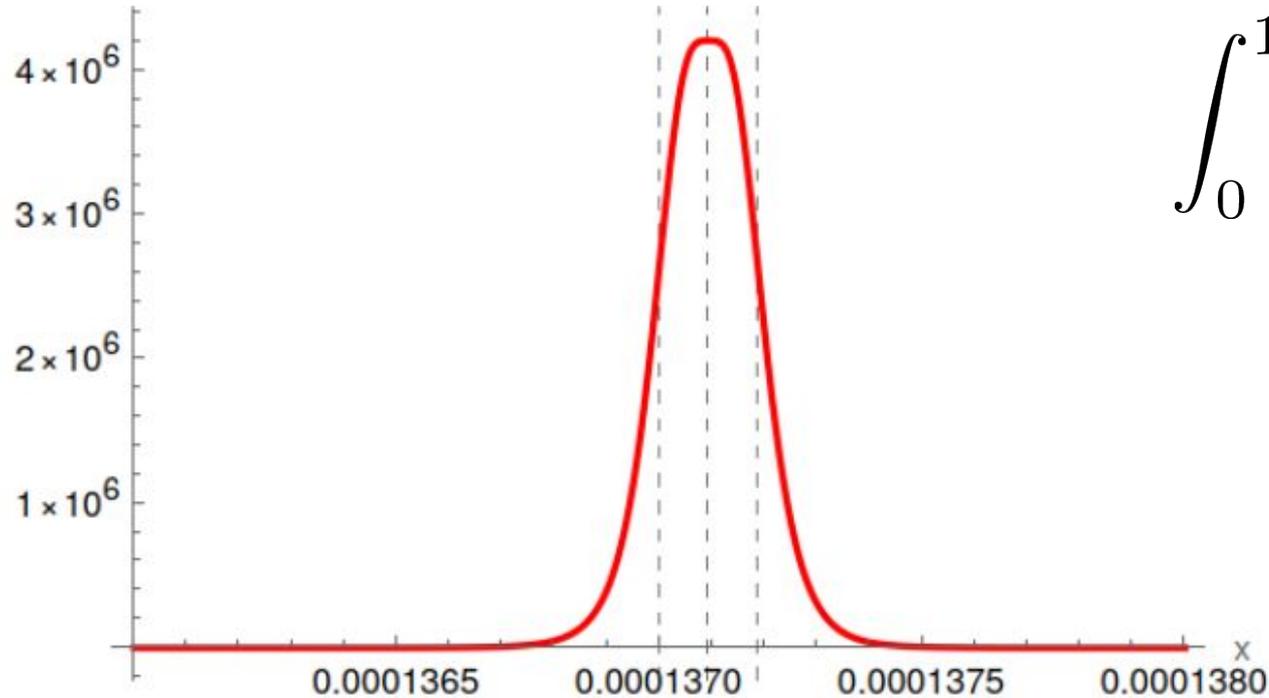


Ratio pol./unpo.: 2 to 4 orders of magnitude depending on the x value

Electron collinear distribution

$$f_1^e(x) \quad x_0 \approx m_e/M_{He}$$

Number sum rule:
only one parton



$$\int_0^1 dx f_1^e(x) = 1$$

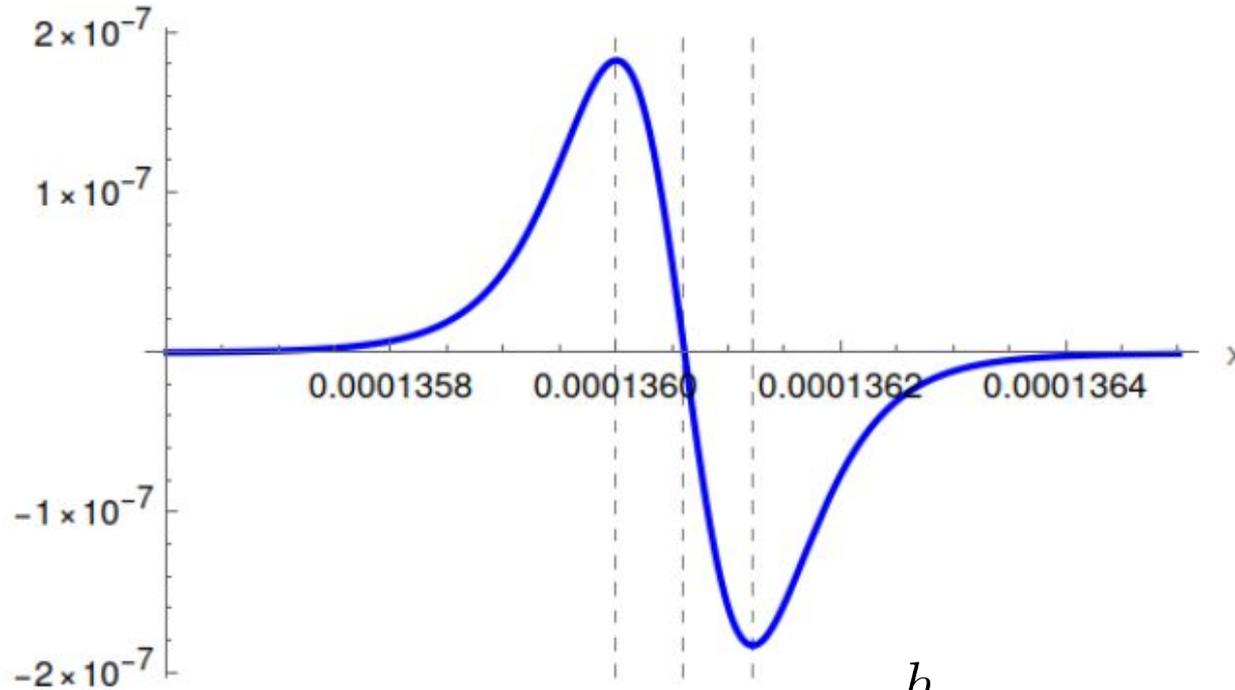
(a)

First moment of the Siverts function

$$f_{1T}^{\perp(1)e}(x)$$

$$\bar{x} = m_e/M_{He}$$

Position of the node

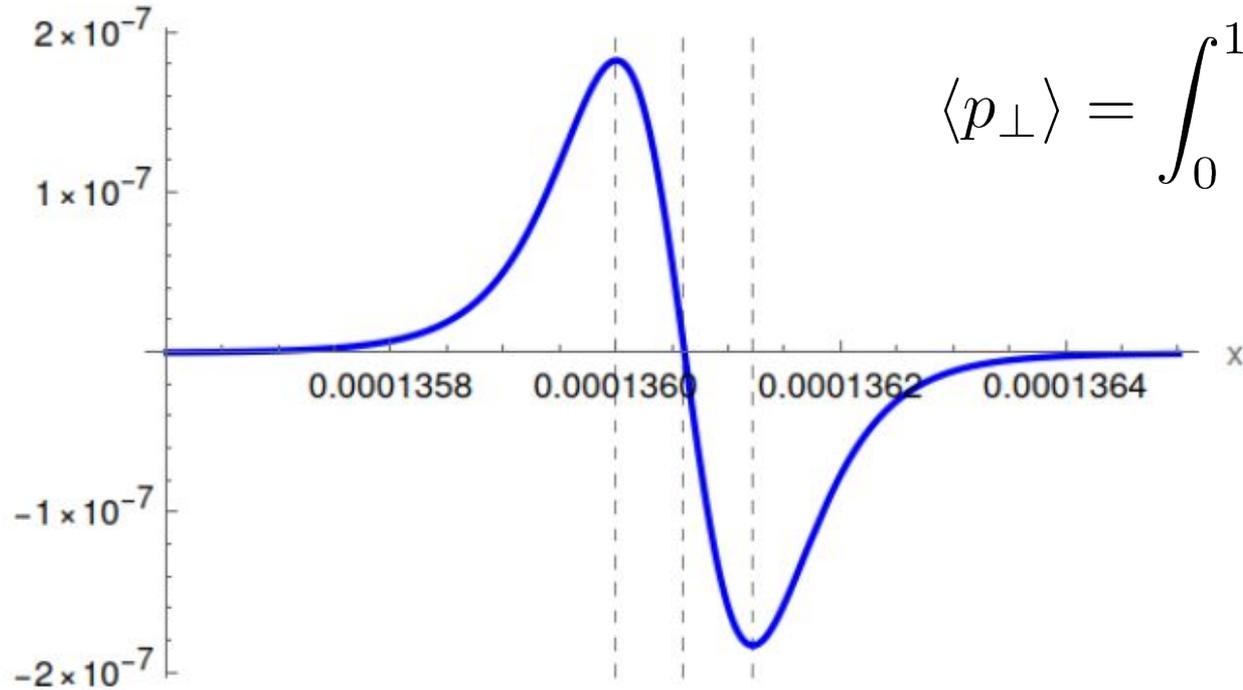


$$x_{1,2} = \bar{x} \pm \frac{h}{2\sqrt{7}a_0\pi M}$$

Position of the peaks

First moment of the Siverts function

$$f_{1T}^{\perp(1)e}(x)$$

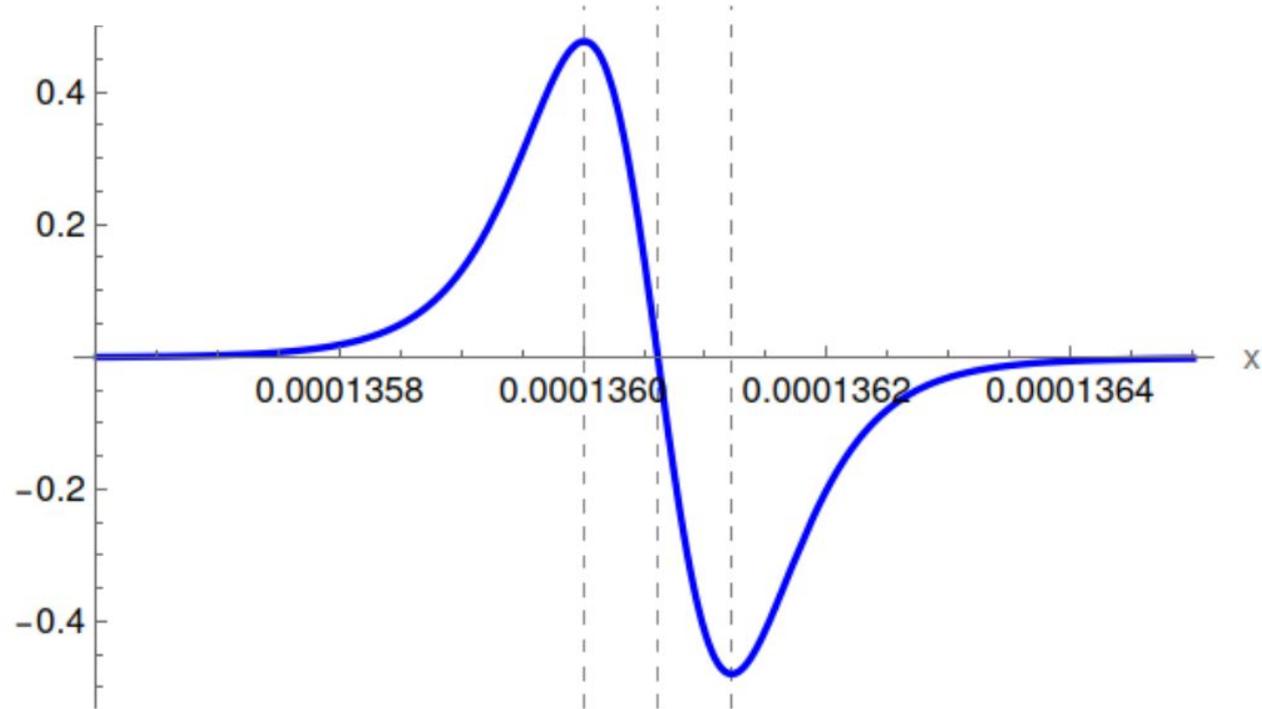


Burkardt sum rule

$$\langle p_{\perp} \rangle = \int_0^1 f_{1T}^{\perp(1)e}(x) = 0$$

The observable

$M^{\perp(1)}(x)$ [MeV] $\bar{x} = m_e/M_{He}$ Position of the node



$x_{1,2} = \bar{x} \pm \frac{h}{2\sqrt{7}a_0\pi M}$ Position of the peaks

Conclusions and outlook

We have devised a **QED analogue of the Sivers effect in QCD**

There are certainly ways to improve the treatment from the theoretical point of view.

As the QCD one, it probes the symmetries of the theory: the same conceptual relevance

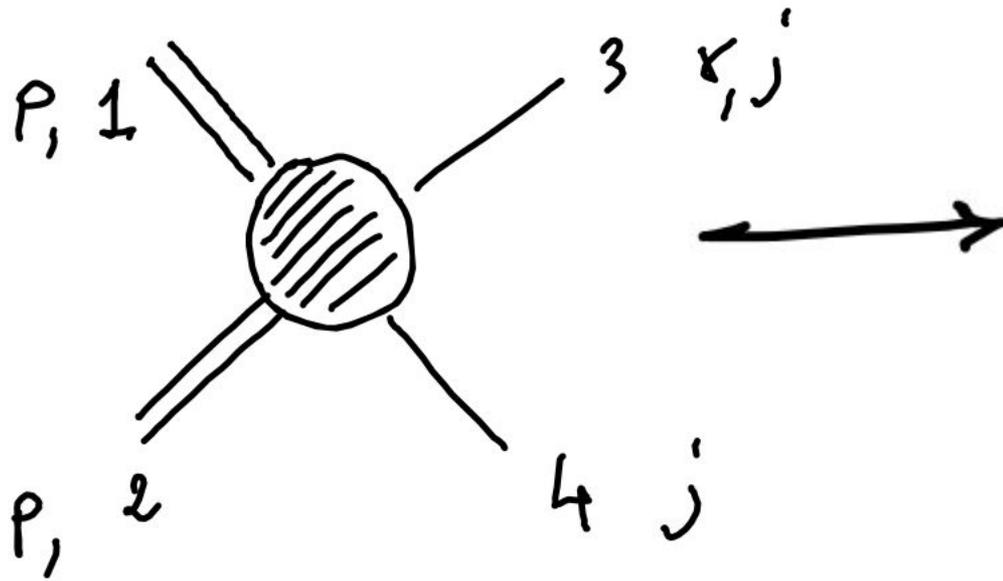
It might be possible **to test the sign change relation with much simpler experimental devices**

We need atomic physicists to **measure** this

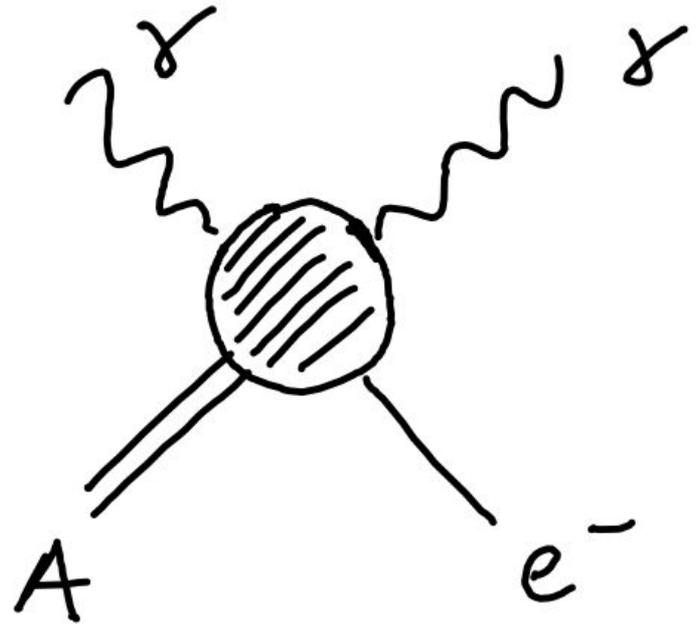
Backup

Similarity with the photon-jet case

$$pp \rightarrow \gamma_j X, j\bar{j} X$$



$$\gamma A \rightarrow \gamma e^-$$



Time reversal symmetry

$$a^\mu = (a^0, \vec{a}), \quad \tilde{a}^\mu = (a^0, -\vec{a}) \quad \leftarrow \text{let's consider this definition}$$

$$z^\mu \longrightarrow -\tilde{z}^\mu$$

$$P^\mu \longrightarrow \tilde{P}^\mu$$

$$S^\mu \longrightarrow \tilde{S}^\mu$$

$$n_\pm \longrightarrow -n_\mp$$

$$\psi(\xi) \longrightarrow \mathcal{T} \psi(\xi) \mathcal{T}^\dagger = \Lambda_{\mathcal{T}} \psi(-\tilde{\xi}), \quad \Lambda_{\mathcal{T}} = -i\gamma_5 C = i\gamma^1 \gamma^3$$

$$\gamma^\mu \longrightarrow \mathcal{T} \gamma^\mu \mathcal{T}^\dagger = \Lambda_{\mathcal{T}} \gamma^\mu \Lambda_{\mathcal{T}}^\dagger = \gamma_\mu^*$$

The action on the quark field is the one that leaves the QCD lagrangian invariant under time reversal transformation (symmetry)

Existing work in this direction

<https://inspirehep.net/literature/1390132>

Electron in three-dimensional momentum space

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²*Istituto Nazionale di Fisica Nucleare, Sezione di Pavia, I-27100 Pavia, Italy*

(Dated: December 23, 2015)

Electron and photon in a dressed electron: Sivers function is zero

Target: a dressed electron, **not a bound state**

From Schrodinger to LF wavefunctions

$$\begin{aligned}x &= \frac{p^+}{P^+} \stackrel{TRF}{=} \frac{E_e + p_z}{M} = \\ &= \frac{\sqrt{m_e^2 + |\mathbf{p}_e|^2} + p_z}{M} \approx \frac{m_e \sqrt{1 + \alpha^2} + p_z}{M} \approx \frac{m_e + p_z}{M}\end{aligned}$$

Bohr
momentum:
 $p \approx m_e \alpha$

<https://inspirehep.net/literature/1841546> (Paul Hoyer)

A spectator model-like approach

$$f_1^e(x, \mathbf{p}_\perp^2) = \frac{M}{4} \sum_{\lambda=\pm} \left(|\Psi_\lambda^{y\uparrow}(x, \mathbf{p}_\perp)|^2 + |\Psi_\lambda^{y\downarrow}(x, \mathbf{p}_\perp)|^2 \right)$$

$$\frac{2(\hat{\mathbf{S}}_\perp \times \mathbf{p}_\perp) \cdot \hat{\mathbf{P}}}{M} f_{1T}^\perp(x, \mathbf{p}_\perp^2) =$$

$$= \frac{M}{4} \int d\mathbf{p}'_\perp G(x, \mathbf{p}_\perp, \mathbf{p}'_\perp) \sum_{\lambda=\pm} \left[\Psi_\lambda^{y\uparrow\dagger}(x, \mathbf{p}_\perp) \Psi_\lambda^{y\uparrow}(x, \mathbf{p}'_\perp) - \Psi_\lambda^{y\downarrow\dagger}(x, \mathbf{p}_\perp) \Psi_\lambda^{y\downarrow}(x, \mathbf{p}'_\perp) \right] + \text{hc}$$

QED gauge-link
contribution

$$\text{Im } G(x, \mathbf{p}_\perp, \mathbf{p}'_\perp) = -\frac{e^2}{2(2\pi)^2} \frac{1}{(\mathbf{p}_\perp - \mathbf{p}'_\perp)^2}$$

Sum over electron
chirality states

Change to light-cone
coordinates for a
non-relativistic system

Analytic results: unpolarized

$$f_1^e(x, \mathbf{p}_\perp^2) = \frac{M}{3\pi^2} \left(\frac{2\hbar}{a_0} \right)^7 \frac{(xM - m_e)^2 + \mathbf{p}_\perp^2}{\left((xM - m_e)^2 + \mathbf{p}_\perp^2 + \left(\frac{\hbar}{a_0} \right)^2 \right)^6}$$

$$f_1^e(x) = \int d^2\mathbf{p}_\perp f_1^e(x, \mathbf{p}_\perp^2) = \frac{64 M a_0 h^7 (h^2 + 20 a_0 \pi^2 (m_e - Mx)^2)}{15 (h^2 + 4 a_0^2 \pi^2 (m_e - Mx)^2)^5}$$

Analytic results: Sivers

$$f_{1T}^{\perp e}(x, \mathbf{p}_{\perp}^2) = \frac{\alpha}{12\pi^2} \left(\frac{2\hbar}{a_0}\right)^7 \frac{M(m_e - xM) \left(\mathbf{p}_{\perp}^2 + 2(xM - m_e)^2 + 2\left(\frac{\hbar}{a_0}\right)^2 \right)}{\left(\mathbf{p}_{\perp}^2 + (xM - m_e)^2 + \left(\frac{\hbar}{a_0}\right)^2 \right)^5 \left((xM - m_e)^2 + \left(\frac{\hbar}{a_0}\right)^2 \right)^2}$$

$$f_{1T}^{\perp(1)e}(x) = \frac{8a_0\alpha h^7(m_e - xM)}{3M \left(h^2 + 4a_0^2\pi^2(m_e - xM)^2 \right)^4}$$

Unpolarized vs Sivers TMDs

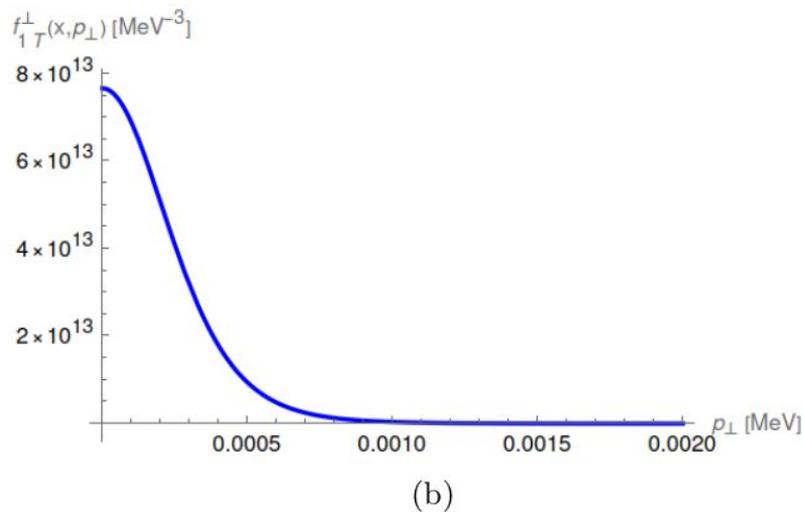
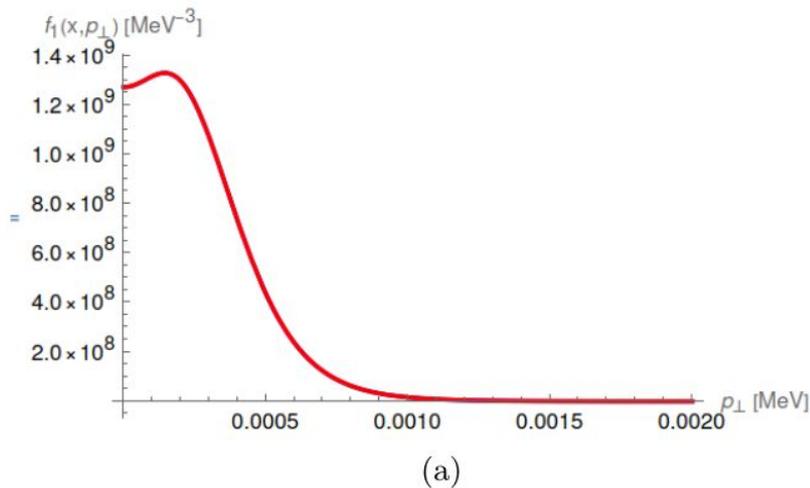
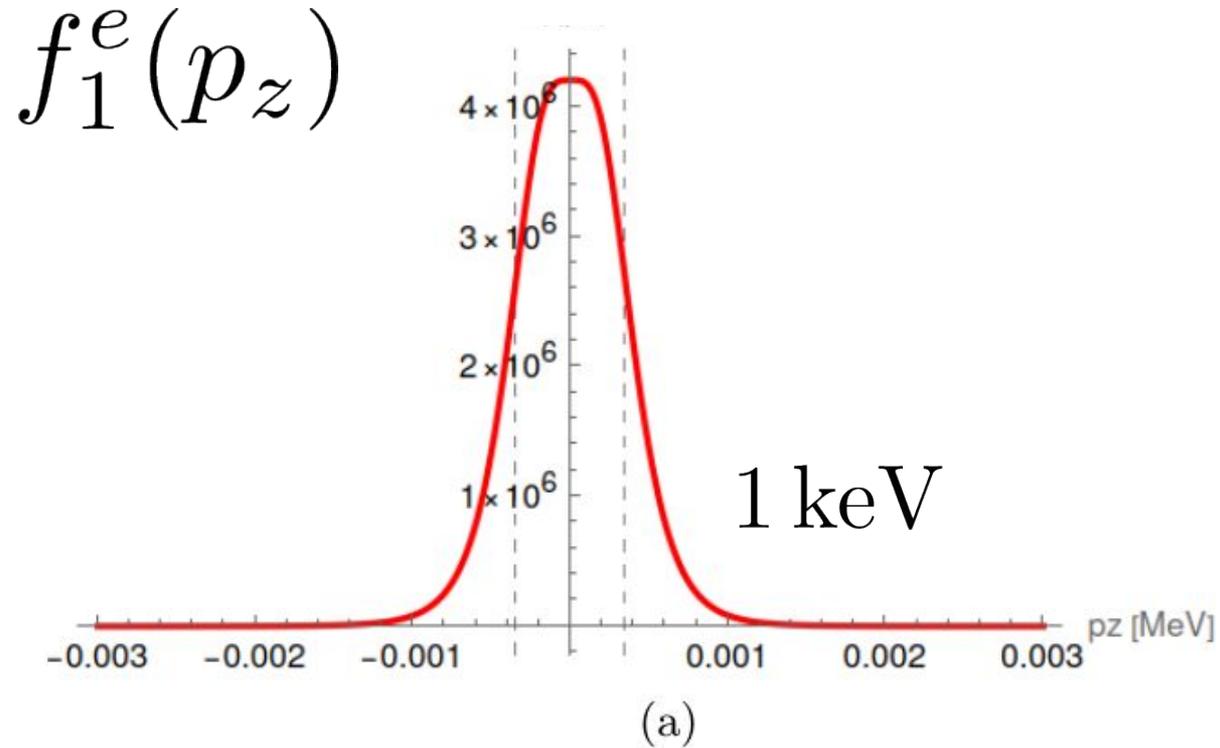


FIG. 7: (a) The transverse momentum dependence of the unpolarized distribution $f_1^e(x, \mathbf{p}_\perp^2)$ at $x = x_2$ and (b) the Sivers TMD PDF $f_{1T}^{\perp e}(x, \mathbf{p}_\perp^2)$ at $x = x_2$. To compare the two distributions we choose a value of x that maximizes/minimizes the first transverse moment of the Sivers TMD PDF.

Electron collinear distribution



Isolating the Siverts function

$$\begin{aligned}
 M^\perp(x_e, \mathbf{p}_\perp^2) &= \int_0^{2\pi} \frac{d\phi_\gamma \int_0^{2\pi} d\phi_e \sin(\delta\phi) \cos(\phi_\gamma)}{dx_\perp d\eta_\gamma d\eta_e d\phi_\gamma d\phi_e} \frac{d\bar{\sigma}_{\text{ACS}}}{dx_\perp d\eta_\gamma d\eta_e d\phi_\gamma d\phi_e} \\
 &= \pi^2 \frac{\alpha_e^2}{64} \frac{x_\perp^2}{4x_e} \bar{f}(m_e, \hat{s}) \frac{|\mathbf{k}_{\gamma\perp}| |\mathbf{S}_\perp|}{M} f_{1T}^{\perp e/A}(x_e, \mathbf{p}_\perp^2).
 \end{aligned}$$

$$\begin{aligned}
 M^{\perp(1)}(x_e) &= \int d^2\mathbf{p}_\perp \mathbf{p}_\perp^2 M^\perp(x_e, \mathbf{p}_\perp^2) = \\
 &= \frac{M\pi^2 \alpha_e^2}{32 x_e s} \bar{f}(x_e, \hat{s}) |\mathbf{k}_{\gamma\perp}|^3 |\mathbf{S}_\perp| f_{1T}^{\perp(1)e/A}(x_e)
 \end{aligned}$$